

**AN ASSESSMENT OF MICROALGAL BIODIESEL WITH ACETONE, BUTANOL
AND ETHANOL USING LIFE CYCLE ASSESSMENT (LCA) METHODOLOGY**

by

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ABSTRACT

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Canada, as one of the largest producers and consumers of fossil fuels per capita on the planet, is attempting to reduce greenhouse gas (GHG) emissions. In order to accomplish this, fuel alternatives, such as biofuel, are required. Accordingly, this study uses LCA methodology to quantify the GHG impact of a unique biofuel production model. This unique model produces biodiesel (BD), acetone, butanol and ethanol (ABE) from microalgae and assesses the process GHG impact against other microalgal BD production processes.

This study's microalgal BD and ABE production process produces 76 kgCO_{2e} per functional unit, whereas other comparable microalgal BD production processes produce between 3.7 and 85 kgCO_{2e}. Overall, this study clarifies that without the development of versatile infrastructure to accommodate biofuel production, LCA studies will continue to find renewable fuel production processes net GHG positive for the simple reason that fossil resources are still the primary energy source.

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NOMENCLATURE

(bio)acetone – referring to both bio-acetone and acetone
(bio)butanol – referring to both bio-butanol and butanol
(bio)ethanol – referring to both bio-ethanol and ethanol
ABE – bio-acetone, bio-butanol and bio-ethanol
AD – Anaerobic Digestion
ASP – Aquatic Species Program
BD – Biodiesel
Bio-acetone – Acetone produced by fermentation
Bio-butanol – Butanol produced by fermentation
Bio-ethanol – Ethanol produced by fermentation
BMP – Biological methane potential
BOD – Biological oxygen demand
CCAP – Climate Change Action Plan
CEN – Centrifuge
CHP – Combined Heat and Power
CIE – Combustion Ignition Engine
CIE – Compression Ignition Engine
CMP – Compression
CN – Cetane Number
CO – Carbon Monoxide
COD – Chemical Oxygen Demand
CSTR – Continuous Stirred Tank Reactor
DAF – Dissolved Air Flootation
DAP – Di-Ammonium Phosphate
DG – Diglyceride Fatty Acid
DRY – Dryer
EMS – Environmental Management System
EROI – Energy Return on Investment
ERI – Energy Return on Investment
EVP – Evaporator
FAME – Fatty Acid Methyl Esters (also known as biodiesel)
FLC – Flocculation tank
FRM – Fermenter
FU – Functional Unit
GaBi – German for “holistic balancing”
GGE – Gallon of Gasoline Equivalent
GHG – Greenhouse Gas
GLY – Glycerol
GPE – Green Process Engineering
GWP – Global Warming Potential
HRT – Hydraulic Retention Time

HTL – Hydrothermal liquefaction
ICE – Internal Combustion Engine
ILCD – International Reference Life Cycle Data System
IO – Input /Output
ISO – International Organization for Standardization
LCA – Life Cycle Assessment
LCFS – Low Carbon Fuel Standard
LCI – Life Cycle Inventory
LCIA – Life Cycle Impact Assessment
LE – Lipid Extraction
LO – Left Over
LPG – Liquefied Petroleum Gas
MeOH – Methanol
MG – Monoglyceride fatty acid
MMLY – Million Metric Liters per Year
MTBE – Mthyl Tert-Butyl Ether
MXT – Mixing tank
N – Nitrogen containing compound
NA – Natural Gas
NER – Net Energy Ratio
NREL – National Renewable Energy Laboratory
ORP – Open Raceway Ponds
P – Phosphorus containing compound
PBR – Photobioreactor
PM – Particulate Matter
PUFA – Polyunsaturated Fatty Acid
RD – Renewable Diesel
RITE – Research Institute of Innovative Technology for the Earth
SAF – Suspended-Air-Floatation
SDTC – Sustainable Development Technology Canada
SHF – Separate enzymatic Hydrolysis and Fermentation
SRT – Solid Retention Time
SSF – Simultaneous Saccharification and Fermentation
STEM – Science, Technology, Engineering & Mathematics
STX – Heat treating vessel⁹⁷⁹⁷
TG – Triglyceride Fatty Acid
TN – Total Nitrogen
TP – Total Phosphorus
TS – Total Solids
UR – Un-reacted
US – United States of America
WCI – Western Climate Imitative
WWT – Waste Water Treatment
VKT – Vehicle Kilometers Travelled

Chapter 1 – Introduction

1.1 Motivation for carrying out this study

Studies have shown a direct link between anthropogenic GHG emissions and global warming (Bare et al., 2003; Bernstein et al., 2007; Obasi & Tolba, 1992; Oreskes, 2004; Qin et al., 2013). This link was made back in the 1960s; however, the political climate at the time was not receptive to decarbonizing the economy (Oreskes, 2018). Presently, it is well-known that fossil resource use, including fossil fuels, is one of the leading causes of GHG emissions globally (Wittcoff et al., 2013). The extraction and use of fossil fuel adds additional carbon, in the form of GHG, to the earth's atmosphere that had previously been stored underground, thus exacerbating the global warming effect (Oreskes, 2004).

Given the continued use of liquid fuels for certain forms of transportation for the foreseeable future (Pond Technologies Inc., 2017; United States of America Department of Energy, 2015), one way to reduce transportation-related GHG emissions is to produce and use renewable fuels or biofuels. In short, biofuels sequester carbon dioxide, one of the GHGs, and release the same during use, thus recycling the same atmospheric carbon. If renewable energy is used to produce biofuels, then the use of biofuels can assist in preventing the increase of GHG in the atmosphere and an increase in GHG induced global warming (Jacobson, 2009).

By definition, a biofuel is any fuel derived from terrestrial plants, aquatic plants or animal matter (Knothe, 2010). Biofuels are classified as primary, first generation, second generation and third generation (Wu et al., 2014). As seen in Figure 1, classification of biofuels is by their feedstock.

Primary biofuels are organic material burned directly to produce energy (Dragone et al., 2010). Wood and other unprocessed plant matter fall into this category. This material is impractical for transport fuel purposes for a couple reasons. First, burning wood, for example, in any transport vehicle today would be logistically unweildly. Second, burning unprocessed biomass has a reputation of creating air pollution due to incomplete combustion in areas of high population density where large amounts of energy is required (Sanhueza et al., 2009).

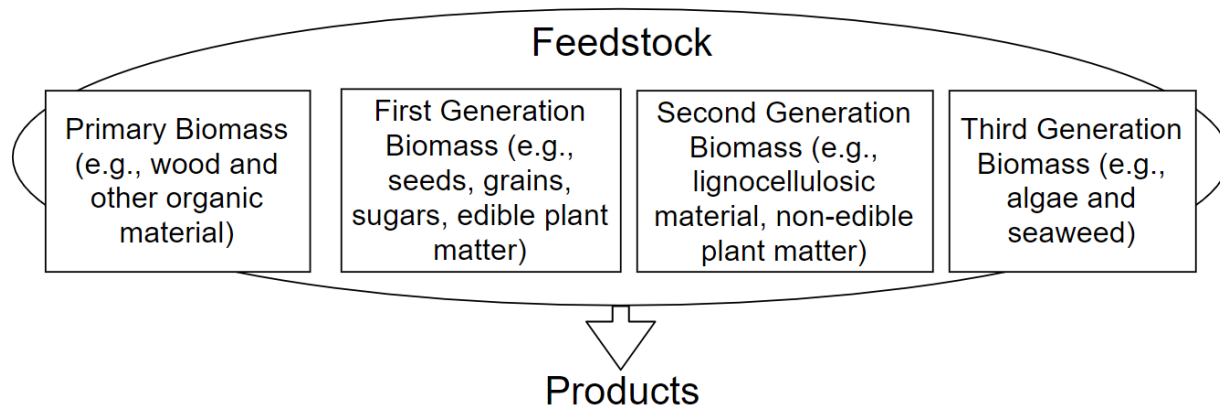


Figure 1.1: Types of biofuels, adapted from (Sharara et al., 2012)

First generation biofuels make up the majority of the biofuels used today. First generation biodiesel and ethanol biofuels produced today use vegetable oils (e.g., corn oil) and animal fats as their source feedstock (Dillon et al., 2008). There is a need to move away from relying on first generation biofuels because their feedstock would otherwise be human food (Suganya et al., 2016). With a growing population, it is more reasonable to use human food feedstock by-product, known as second generation feedstock, to produce second generation biofuels (Suganya et al., 2016).

Second generation biofuel feedstock is the non-edible by-product of food crops. For example, wheat straw from wheat production and cornhusks from corn cultivation are second generation feedstock (Begum & Dahman, 2015). There are advantages to using the inevitable by-product of the agricultural industry for biofuel production; no additional fertilizer, water or land is required to grow this feedstock. Industry does use some of this non-edible by-product to produce animal feed, however there is a substantial amount that could also be used for biofuel production (Syed, 2012). Expensive processes arguments against biofuel production from second generation feedstock plague this biofuel pathway (Sims et al., 2010). Regardless, second generation biofuel research and policy has the potential to develop this biofuel pathway into a productive source of biofuel (Balan, 2014; Begum & Dahman, 2015; United States of America Department of Energy, 2017).

Third generation biofuel production methods, like second generation biofuel production methods, are still more expensive than fossil fuel production methods. Consequently, the production of third generation biofuels, using algal feedstock, remains today predominantly at the pilot scale (Christenson & Sims, 2011; Ribeiro et al., 2017). However, algae's ability to sequester carbon dioxide (CO₂), produce relative large amounts of lipids, grow in variable conditions, and grow orders of magnitude faster than all terrestrial plants (including second generation feedstock) make it an ideal biomass source for biofuels (Sharara et al., 2012; Wu et al., 2014). Several researchers have indicated that algae has a significant role to play in future liquid biofuel development (Chisti, 2007; Christenson & Sims, 2011; United States of America Department of Energy, 2017). It is for the above reasons, this study focuses on biofuel derived from algae.

Microalgae and macroalgae are two ways of structurally classifying algae. Microalgae can be seen under a microscope and macroalgae is seen with the unaided eye (Radmer, 1996). Microalgae is deemed more suitable for cultivation with the purpose of biofuel production because their small size takes up less cultivation area and most current algal research focuses on microalgae for the pharmaceutical industry (Suganya et al., 2016). With additional research, biofuel from macroalgae is possible. However, given the current state of research, this study's focus is on microalgal biofuel production.

Microalgae have been harvested for food from natural sources for hundreds of years in Mexico, Africa and Asia (Farrar, 1966). These microphytes were first cultured in a laboratory in the late part of the 19th century and only once laboratory culturing was reliable did researchers start working on understanding microalgae's nutritional requirements and basic physiology (Soeder, 1986). It was in 1942 when Harder and von Witsch proposed microalgae's potential as a renewable fuel source (Harder & von Witsch, 1942). The early 1950s saw commercial farming pilot project development (Burlew, 1953). The USA, Germany, Japan and Israel produced the first outdoor microalgal cultivation systems using the microalgal genus *Chlorella* (Burlew, 1953; Soeder, 1986). However, the need for liquid fuel alternatives dwindled after World War II and microalgal research focus turned to microalgae's potential as a food protein source (Geoghegan, 1951; Spoehr & Milner, 1949). Microalgal nutrient development research in Asia continued, resulting in a present day successful *Chlorella* industry in Japan, Taiwan and throughout Asia

(Borowitzka, 2013; Kawaguchi, 1980). The USA and Australia, in contrast, did not start producing different mass cultures in earnest for pharma and fish feed until the 1980s and 1990s (Belay, 1997; Borowitzka et al., 1984).

Microalgae was proposed as a wastewater treatment (WWT) option in 1957, which included the idea of using methane produced from the fermentation of microalgal biomass for energy generation (Oswald & Gotaas, 1957). There has been little research concerning microalgal biomass fermentation since, however, research in the early 1960s performed by William Oswald at the University of California specifically focused on microalgal biomass production and wastewater treatment (Oswald, 1988). Oswald's research, as well as the 1970s energy crisis, lead to the critical assessment of the possibility of using microalgae for energy towards the end of the 1970s (Oswald & Benemann, 1977).

The Aquatic Species Program (ASP) was initiated by the United States of America Department of Energy in 1978 (Sheehan et al., 1998). This study's conclusions played a critical role in the biofuel direction of North America. This study concluded that microalgal productivity (i.e., rapid growth) and conditions that lead to high oil content in microalgae were mutually exclusive. This means that rapid microalgal growth would be synonymous with a reduced lipid (i.e., oil) content, thus discouraging microalgal potential as a feedstock for BD production. The ideal organism(s) for biofuel production would likely be location specific (Sheehan et al., 1998), hence requiring an understanding and practice of traditional knowledge (McGregor, 2004).

Interestingly, the ASP study specifically indicated that there was “no fundamental engineering and economic issues that would limit the technical feasibility of microalgae culture (from open pond) either in terms of net energy inputs, nutrient (e.g., CO₂) utilization, water requirements, harvesting technologies or general system designs” (Borowitzka, 2013). However, the study recommended that microalgal biofuel development transpire in consort with WWT where economic and resource constraints are relaxed; wastewater would provide required nutrients for microalgal growth, and microalgal productivities could be developed. Consequently, the development of microalgal biofuel in North America stagnated.

The Japanese Ministry of International Trade and Industry initiated the RITE Biological CO₂ Fixation Programme in 1990 (Borowitzka, 2013). Although the program was focused on sequestering CO₂ and not specifically microalgal energy production, some of this research

improved knowledge of photobioreactor design using solar capture and radiance. This research also provided a better understanding of high CO₂ tolerant microalgal strains that could thrive on flue gas (Usui & Ikenouchi, 1997).

Now in 2018, a Government of Canada Ministry of Environment and Climate Change objective is to prevent additional global warming by sequestering CO₂ and reducing GHG emissions. The Canadian Foundation for Sustainable Development Technology Federal Act of 2001 and the Federal Sustainable Development Act of 2008 provide a management and funding framework for sustainable technological development in Canada in order to support this government objective (The Government of Canada, 2001, 2008). The Canadian government renewable fuel strategy and associated mandates of 5% and 2% renewable fuel (i.e., biofuel) in gasoline and diesel respectively, also supports this government objective (Government of Canada, 2017). Additional supporting provincial objectives and policies, such as the CleanTech strategy in 2018, have created a favourable environment for developing microalgal biofuel (The Government of Ontario, 2018). Given the current political climate, now (2018) is a good time to focus on developing the aforementioned integrated WWT and microalgal biofuel production system proposed by the ASP program (Ferrell & Reed, 2010).

As seen in Figure 1.2, microalgae can be used to produce several different types of biofuels by various pathways (Wu et al., 2014). Processes a) through f) use various components of the microalgal biomass to produce the biofuels on the far right of Figure 1.2.

Thermochemical processes, as seen in Figure 1.2 d) through f), do not use each component (i.e., lignocellulosic, lipid, protein) of the microalgal biomass separately to produce different products. Rather, microalgal biomass is directly converted to one product resulting in heating or power generation as the only use for the left over waste (Broch et al., 2014; Frank et al., 2013). For example, Frank et al. (2013) produced renewable diesel (RD) using hydrothermal liquefaction (HTL) and subsequently routed all non-oil biomass through a catalytic hydrothermal gasification (CHG) processor to produce biogas for heat generation, power generation and additional aqueous waste. The likely advantage of thermochemical processes is the reduced processing up-front with the resulting disadvantage of substantial follow-on processing in order to produce a variety of products (Broch et al., 2014; Frank et al., 2013). GHG impact for thermochemical processes

could be more or less intense pending waste or co-product handling and processing methods (Frank et al., 2013).

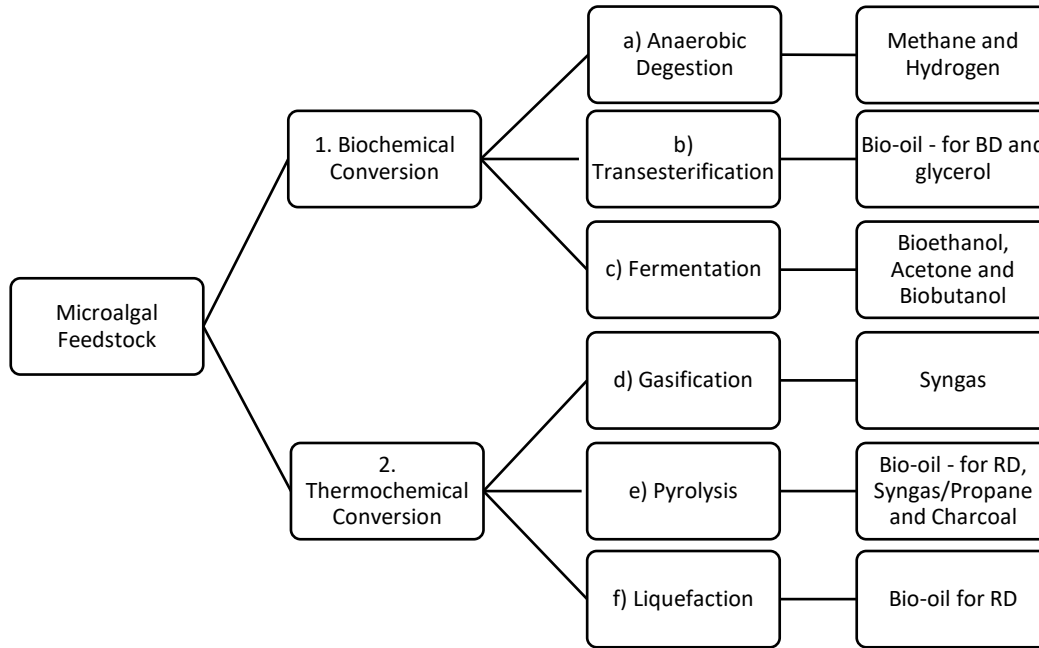


Figure 1.2: Biofuel production process pathways form microalgal biomass, BD – biodiesel, RD – renewable diesel, adapted from (Brennan & Owende 2010; Suganya et al. 2016; Wu et al. 2014)

In contrast, biochemical pathways b) and c) use selective components of the microalgal biomass to produce respective products (Dong et al., 2016). For example, fermentation targets lignocellulosic biomass to produce alcohols and transesterification targets lipids to produce fatty acid methyl esters (FAME). Biochemical processes have the opposite advantages and disadvantages of thermochemical processes mentioned above. Furthermore, GHG impact for biochemical processes could be more or less the same as that of thermochemical processes for the same reasons. Therefore, the only way to predict GHG impact is to create a process flow analysis of the process in question and determine emissions for each material required.

A key concept that influenced this study’s selection of unique microalgal biofuel production processes is the *Biorefinery concept* depicted in Figure 1.3.

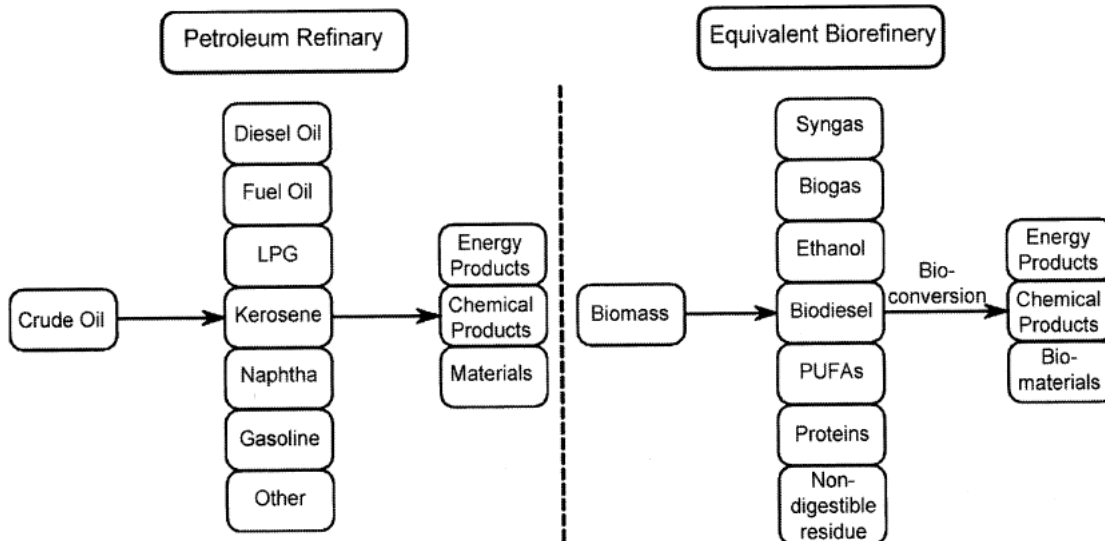


Figure 1.3: Analogy of a bio-refinery approach for algal biomass with a petroleum refinery, LPG – liquefied petroleum gas, PUFA – polyunsaturated fatty acids (Sharara et al., 2012)

A bio-refinery is very similar to the petroleum refineries in operation today except with two key differences. First, petroleum refineries use crude oil, a fossil resource, whereas a bio-refinery would use biomass. Second, petroleum refineries use one feedstock, crude oil, and produce a multitude to intermediate products (e.g., diesel oil, LPG, naphtha) that are then used to produce most of the plastics, fuels and chemicals used today (Wittcoff et al., 2013). In contrast, a bio-refinery is flexible enough to use several biomass feedstock (e.g., corn, wheat straw, algae etc.) and produce bio-plastics, bio-fuels and bio-chemicals (Sharara et al., 2012). Not only would a bio-refinery be able to produce products from several biomass feedstocks, it would also have the ability to modify its production line at any time to produce more or less of a given product pending feedstock availability. It is anticipated that because biomass feedstock is either terrestrial or aquatic, an increase in extreme weather (e.g., unexpected drought or flooding) would make certain feedstock availability more or less reliable (United States of America Department of Energy, 2015).

It is not inaccurate to describe the fuel and chemical industry of the early 20th century as users of the *Biorefinery concept*. Most industrial materials at the time (e.g., dyes, solvents, synthetic fibers) were produced using trees and agricultural crop (Ragauskas et al., 2005). However, the

need for recycling would not have been as critical as it is now. The *Biorefinery concept*, for the 21st century's purpose, was coined two decades ago (Romero-García et al., 2014; Zhu, 2015). Current research and government reports use this phrase to define an old concept in light of the need to revert to bio-based materials (i.e., materials derived from terrestrial plant or aquatic matter instead of fossil resources) (Petrick et al., 2013; Romero-García et al., 2014; The Government of Ontario, 2018).

Both thermochemical and biochemical processes are suitable bio-refinery processes. Both groups of processes also have the potential to source multiple feedstocks and produce multiple products (Frank et al., 2013). However, biochemical processes b) and c) of Figure 1.2 target the different components (i.e., lipid, lignocellulosic biomass, protein) of biomass that are common to all biomass (Huber & Corma, 2007). This targeting allows for the production of BD, biogas, biosolids as well as alcohol biofuels with few processing steps. These bio-based alcohols can also be used as feedstock for bio-chemicals (Zhu, 2015). Therefore, analyzing the production of both microalgal BD and alcohol using a *Biorefinery concept* and fervid recycling will shed light on the potential development of production processes that use biological processes to produce a variety of fuels and bio-based materials.

Because of microalgae's potential for high lipid content, and lipids are the main component of BD, progress in microalgal biofuel research focuses on BD (USA D.O.E., 2017; Yoo et al., 2009). Therefore, this study's main product is BD. However, considering that the lipid content in BD is variable, it is advantageous to capitalize on the readily available lignocellulosic component of the microalgal biomass to produce concurrent products (United States of America Department of Energy, 2017). Pre-treatment can breakdown microalgal lignocellulosic material to simple sugars for subsequent alcohol biofuel production by fermentation (Chen et al., 2013). There is potential for alcohol biofuel to play a larger role in transportation in the future (Tashiro et al., 2013) and there are few studies that focus on microalgal lignocellulosic biomass processing for biofuel (Chen et al., 2013). Consequently, there is no literature available that characterise the GHG impact of the production of both microalgal BD and ABE. Therefore, this study will analyze the GHG impact of the production of BD and ABE.

This biofuel GHG process analysis, similar to other environmentally focused biofuel process analyses, uses life cycle analysis (LCA) methodology (Spirinckx & Ceuterick, 1996). LCA

methodology includes methods to assist with compiling all stages of the life cycle of a product process system to analyze select environmental impacts of the product (International Organization for Standardization (ISO), 2006a; Sinden et al., 2010). For example, scope development, co-product allocating, impact category selection, and aggregating software tools are tailorable aspects of LCA methodology used to analyze the environmental impact of a product pending study objectives.

1.2 Objective

The purpose of this study is to determine the GHG impact associated with a unique production process of microalgal BD and ABE. The results of this study will provide a reference to contrast the resulting GHG impact with that of other microalgal BD production processes and the fossil diesel production process.

1.3 This study's intended application and audience

This study follows an attributional LCA approach to quantify the GHG impacts associated with a production process. An attributional LCA approach describes relevant environmental physical flows (e.g., water, material, energy) to and from the processes life cycle system (Finnveden et al., 2009). The results of an attributional LCA use these physical flows to determine the environmental impact (e.g., GHG emissions, land use, eutrophication impact) of the process. Most LCA studies fall into this attributional category, as it is relatively methodical to determine the predominant physical flows of an existing or theoretical system. In contrast, the consequential LCA approach describes how environmentally relevant ecosystem flows will change in response to the introduction of a process (Finnveden et al., 2009). This LCA approach is much more difficult than the attributional approach because the consequential approach requires the quantification of how relevant process flows (e.g., GHG emissions, water use) will influence these same environmentally significant ecosystem components (e.g., GHG levels, water flow).

Given the complexity and expertise required to complete a full attributional LCA, this study will not contain all the recommended parts associated with an attributional LCA as defined by

Collotta et al. 2016 (i.e. full range of impact categories, social and economic indicators). This study will only contain the Climate Change impact category and associated Global Warming Potential (GWP) categorization factor measured in terms of GHG emissions. Regardless, this study fulfils the requirements a) through i) of paragraph 5.3.1 of ISO 14044:2006 such that this study will meet the requirements for disclosure to the public (International Organization for Standardization, 2006b).

This study's intended audience is not necessarily decision makers who are looking for a complete environmental impact assessment. Instead, those who would benefit from this thesis are decision makers looking for opportunities for an industry-government partnership focused on facility system integration and green process engineering systems. This paper would also provide a good breakdown of the LCA approach, including a good description of the use of software tools and co-product allocation reasoning. The LCA description would be useful for managers in industry who are looking to develop their environmental management system (EMS). Finally, this paper would provide interested educators with a brief history of fuel use, the basic principles of microalgal biofuel production and a good introduction to LCA.

In this study, the term "microalgae" is used when discussing the organism in singular as well as plural. The plural term for microalgae is microalga. The reason for this choice is first, the paper's intended audience are experts in policy, engineering or business and not botany. Second, most of the papers this study references on the topic of microalgae use the term "microalgae" ubiquitously.

It is important to mention here that due to the limits of GaBi Education, this study relies predominantly on GHG emission data from American (i.e., United States of America) sources. All electrical power energy and natural gas GHG impact use average American aggregated impact data. This created the least geographic variability in source data while at the same time used North American data instead of European data. However, as GaBi Education is of German origins, this study used European data when American data was not available. Given the aforementioned asymmetries, the reader should also understand that more fossil energy supplies the American power grid than the Canadian power grid. Due to Canada's hydroelectric

resources, Canada's fossil fuel use for power generation is approximately one third that of the United States (Johnson et al., 2016; Natural Resources Canada, 2015b; Oil Sands Magazine, 2017; U.S. Energy Information Administration, 2017). Chapter 4 and 5 discuss how this power source difference affects the results of this study.

Given this study found a direct correlation between GHG impact and power use, there would be little value in completing a GHG impact assessment of a new energy production process if the prospective implementation location has a fossil intensive power generation system. Future research in the area of microalgal biomass energy production processes should focus first on ensuring that the process is as energy efficient as possible, and then look at sustainable sources of power and energy to supply that which is required. In the future, researchers and LCA practitioners should see the GHG impact of all processes decrease as power and energy generation move to sustainable processes. Considering energy and power are such key commodities for a modern society, new energy production systems are likely to become widespread. It is imperative because of the broad impact of these systems that researchers ensure that new energy production systems have life cycles that do not significantly affect other areas of the environment. Future LCAs on aforementioned energy production systems can be tailored to help assess other key environmental impacts such as eutrophication, land use impact, species impact, water consumption etc.

This study is written using a socially adapted technical style of writing. The author's intention is to ensure those with a less technical background will easily understand its contents as well as the meaning behind the contents. Considering environmental science issues are interdisciplinary, and often decision makers have varied levels and areas of scientific expertise, there is value in creating literature that bridges the gap between social science and STEM (Choi et al., 2005; Guston, 2001). Therefore, most should be able to understand the majority of the points herein.

1.4 Document outline

Chapter 2 of this document contains the review of literature covering:

- A brief history of biodiesel and ABE use in North America
- Current biofuel policy and Canadian government goals concerning biofuel

- Microalgae's potential as a feedstock for biofuel
- Microalgal BD and ABE processing methods
- Current life cycle assessments of microalgal BD and co-products

Chapter 3 of this document outlines the research process. This includes a detailed description of the LCA method used to quantify and assess the GHG impact associated with the unique microalgal BD and ABE production process.

Chapter 4 presents GHG impact associated with the study's unique microalgal BD and ABE production process in relation to other LCAs of microalgal BD outlined in Chapter 2. This chapter also discusses consistencies, uncertainty and discrepancies between this study and others.

Chapter 5 presents potential areas of improvement, conclusions and recommendations.

Chapter 2 – Biofuel production history and modern microalgal biofuel production advancements

2.1 Production and use of biofuel and their fossil counterparts

Note that in the following section 2.1, “bio” in front of a product name distinguishes products derived from biomass with their fossil counterpart. For example, *bio*-ethanol is derived from biomass, whereas ethanol is a product of fossil resources. Where brackets are used around the word bio (i.e., (bio)), both the bio-product AND fossil product should be considered in context. For example, both ethanol and bio-ethanol are chemically interchangeable, therefore when referring to the product chemical characteristics, (bio)ethanol is written to imply both bio-ethanol or ethanol.

The following section presents the primary materials used to produce (bio)diesel, (bio)ethanol, (bio)butanol and (bio)acetone as well as how (bio)diesel, (bio)ethanol, (bio)butanol and (bio)acetone are used. The section also includes the advantages and disadvantages of using the fuels bio-diesel, bio-ethanol and bio-butanol for energy in a combustion engine as neat fuels or in blends. Neat is a term used for 100% or without blending.

2.1.1 Biodiesel

Petroleum, natural gas and coal are the three large groups of fossil fuels. Crude oil is part of the myriad of material derived from petroleum and diesel is a fossil fuel derived from crude oil processing (Knothe, 2010). In contrast, BD is derived from a plant oil or animal fat (Knothe, 2010).

Both BD and fossil diesel fuel compression ignition engines (CIE). BD and fossil diesel are made up of fatty acid esters and *n*-alkane chains respectively (Wu et al., 2014). Figure 2.1 depicts a typical fatty acid ester. The fatty acid ester has a carboxylic functional group (circled in Figure 2.1), whereas fossil diesel is a simple alkane or a hydrocarbon chain (see Figure 2.1). The hydrocarbon chain of both the fatty acid esters and *n*-alkane hydrocarbons used for fuel

production most often contain between 16 and 22 carbon atoms connected together with either single or double bonds (Knothe, 2010).

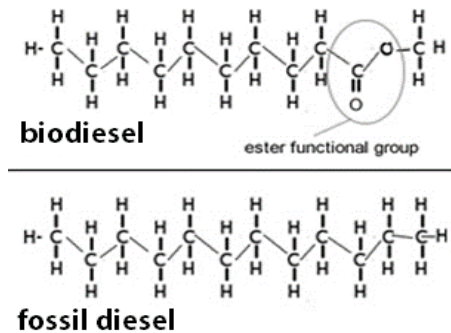


Figure 2.1: Biodiesel and fossil diesel basic chemical formula, adapted from (Wu et al., 2014)

CN is a metric used to measure the ignition quality of diesel fuel and is an important characteristic of both fossil diesel and biodiesel. The higher the CN number, the less delay between fuel compression and ignition, which generally results in more complete combustion and a more efficient engine. The more unsaturated (i.e., more double bonds) the fuel's hydrocarbon chains, the lower the cetane number (CN) of the fuel, the lower the viscosity of the fuel, the higher the biodegradation potential of the fuel, and the higher the lubricity of the fuel (Suganya et al., 2016; Zaimes & Khanna, 2013). The opposite is true for fuel hydrocarbon chains that are more saturated (i.e., more hydrogen atoms) (Suganya et al., 2016). Longer hydrocarbon chains increase the CN of the fuel, increase the viscosity of the fuel, and increase the lubricity of the fuel (Suganya et al., 2016). The opposite is generally true for shorter hydrocarbon chains (Suganya et al., 2016). Hydrocarbons with branching and aromatic compounds tend to lower the fuel's CN and decrease the viscosity of the fuel (Knothe, 2010).

BD tends to have a lower CN, a slightly lower HV (heating value), a slightly higher viscosity, a higher biodegradability, an increased NO_x emission level (i.e., +10%), consistent or higher particulate matter (PM) emissions, lower hydrocarbon (i.e., unburnt fuel) emissions and lower carbon monoxide (CO) emission level when compared to fossil diesel (Corriere et al., 2013; Igbokwe & Nwafor, 2014; Knothe, 2010; Mattarelli et al., 2015). A lower CN and a lower HV have the greatest potential to dissuade BD use as they pose operational interference to the vehicle end user. For example, a lower CN has the potential to affect engine start timing and reduce

engine efficiency. A lower HV increases the amount of fuel required per kilometer. Regardless, microalgal biodiesel's physical and chemical properties are quite similar to that of fossil diesel (see Table 2.1) and are appropriate based on ASTM fuel standards found in Table 2.1.

Therefore, microalgal BD will provide sufficiently comparable engine performance to petrol diesel (Maio & Wu 2006). Consequently, GHG emission impact, associated with burning both BD and petrol diesel in a CIE, are virtually the same.

Table 2.1: Properties of algal biodiesel, fossil diesel and ASTM biodiesel standards ((a) = Brennan & Owende, 2010; (b) = Maio & Wu, 2006; (c) = ASTM INTERNATIONAL, 2014)

Properties	Biodiesel from microalgal oil (a)	Diesel Fuel (b)	ASTM biodiesel standard (c)
Cetane (CN)	45-60	90-100	Minimum 47
Density (kg/L)	0.864	0.838	0.86 – 0.9
Viscosity (mm ² /s cSt at 40°C)	5.2	1.9 – 4.1	3.5 – 5
Flash point (°C)	115	75	Minimum 100
Cold filter plugging point (°C)	-11	-3 to max -6.7	Summer max 0, winter max < -15
Acid value (mg KOH/g)	0.374	Max 0.5	Max 0.5
Lower heating value (MJ/kg)	41	40 – 45	No requirement
H/C ratio	1.81	1.81	No requirement
NOx, PM, HC, CO	-	-	No requirement

Based on the characteristics in Table 2.1, BD (B100) also known as neat BD, is compatible for use in light vehicle diesel engines without blending or reduced engine life expectancy (Al-Hasan, 2013; Knothe, 2010). The US military has run vehicles and ships on pure biodiesel (Kumar & Jain, 2014; Pond Technologies Inc., 2017). Yet, although there are currently 10 operational BD plants in Canada producing over 662.2 million metric liters of fuel per year (mmly) (Biodiesel Magazine, 2017), there is no mainstream neat BD or even RD available at pumps in Canada.

The capacity of BD operating plants in Canada range from 0.2 mmly (Cowichan BD co-operative uses recycled waste cooking oil) to 265 mmly (Archer Daniels Midland Co. uses vegetable oil) (Archer Daniels Midland Co., 2018; Cowichan Biodiesel Co-op, 2015). Local business owners run some of the smaller plants and supply local populations, thus those living in

the area are the only people who benefit. Furthermore, given Canadians consumed 13.8 billion liters of diesel fuel in 2015 (Statistics Canada, 2015), most renewable BD produced is either blended to support the Canadian Federal Government's renewable fuel component mandate or is sold to the United States to support their mandates.

Renewable Diesel (RD)

BD and RD are not synonymous. The “bio” in biodiesel also refers to the biodegradability of biodiesel because of the limited refining used for production (Knothe, 2010). The transesterification process produces BD from FAMES and FAMES are a component of terrestrial and aquatic plant oils. Similarly, terrestrial and aquatic plants are the bio-feedstock of RD. In contrast to BD, however, extensive processing modifies the bio-feedstock such that the final RD product has the chemical and ultimately the combustion characteristics of fossil diesel (Knothe, 2010). RD is also often called “Green diesel”. The “green” applies to the origin of the feedstock (i.e., bio-feedstock), but does not refer to the fuel's biodegradability, which is nullified to an extent by the subsequent modifications performed to emulate fossil diesel (Knothe, 2010).

Introduced in section 1.1 and depicted in Figure 1.2, microalgal biomass is subject to thermochemical processes to produce RD (Suganya et al., 2016). In fact, both microalgae and BD can be used as a feedstock to produce RD with thermochemical processes (Knothe, 2010; Wiens et al., 2011). Today, to produce RD from microalgae, microalgae would be subject to pyrolysis or hydrothermal liquefaction (Frank et al., 2013). To produce RD from BD, BD would be subject to hydrocracking. Hydrotreating, including hydrocracking, is an established refinery process used to reduce sulfur, nitrogen and aromatics from a fuel while enhancing the cetane number, density and smoke point (Shell Global, 2017). Catalysts such as NiMo/ γ -Al₂O₃ or CoMo/ γ -Al₂O₃, at high temperatures and pressures, are used to produce saturated alkanes by removing the carboxyl group from the alkane (Frank et al., 2013; Knothe, 2010).

Industry publically favours RD over BD (Honeywell UOP, 2018; Neste, 2018). Also in favour of RD, Kalnes et al. (2009), argue that RD production requires less fossil-based energy than BD (Kalnes et al., 2009). However, Frank et al. (2013) find little difference in life cycle GHG impact between their RD and BD processes (Frank et al., 2013). Regardless, both BD and RD

can be stored, transported and used the same way, therefore the preference for RD is likely because RD is chemically indistinguishable from fossil diesel, whereas BD is not. RD is a drop in fuel, whereas the use of BD likely requires small, but significant industry accommodations (e.g., variation in fuel LHV, different fuel additives, changes to material safety data, additional human resources training etc.). Furthermore, RD, a more refined fuel, prevents certain types of air pollution (i.e., NO_x and PM), which follows the same historical reasoning used to cease primary biofuel use in urban areas outlined in section 1.1 (Kalnes et al., 2009). Regardless, there is likely a place for both RD and BD instead of opting for one or the other.

2.1.2 Ethanol

Ethanol is produced by the hydrolysis of ethylene, a petrochemical, whereas bio-ethanol is produced by the fermentation of several different types of feedstock: corn, soybean, sugarcane, wheat straw, woodchips or algae (Arbor, 1986; Hira & De Oliveira, 2009; Mills & Ecklund, 1987; Wittcoff et al., 2004). Producing bio-ethanol by fermentation for vehicles was quite common until the advent of cheap ethylene from steam cracking in the 1950s (Wittcoff et al., 2004). Once federal tax credits favoured bio-ethanol production in the 1980s after the energy crisis, the fermentation process became more widely used again in the United States (Mills and Ecklund 1987; Wittcoff et al., 2004). In Canada, federal and provincial incentives in the first part of the 21st century contributed to the bio-ethanol industry's growth (Le Roy & Klein, 2012)

Both ethanol and bio-ethanol are chemically indistinguishable (same properties) as they are both the same compound. This makes both synthetic and bio versions interchangeable in end-uses. Figure 2.2 depicts the chemical formula of (bio)ethanol. Presently, ethanol is used as a blend in gasoline, as a neat fuel for burners and as a solvent in the chemical and pharmaceutical industry (Hira & De Oliveira 2009; Linden et al., 1985; Mills and Ecklund 1987). Whereas, bio-ethanol is primarily used as a blend in gasoline, given government regulations mandating a certain percentage of biofuel blended with fossil fuel.

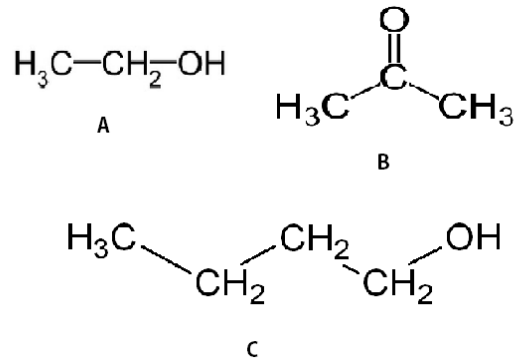


Figure 2.2: Chemical formulas of (bio)acetone (B), (bio)ethanol (A) and (bio)butanol (C), Note (bio)butanol or n-butanol has 3 other isomeric structures: isobutanol, 2-butanol and tert-butanol not shown here, adapted from (Monick, 1968)

There are several advantages to using (bio)ethanol in fossil fuel blends. One such advantage is the increase in the fuel's ability to achieve complete combustion due to the reduction of unburnt hydrocarbons, as (bio)ethanol contains a hydroxyl group (i.e., naturally oxygenated) (Yüksel & Yüksel, 2004). (Bio)ethanol blends also have higher octane ratings due to a high engine compression ratio (fuel can withstand a higher pressure before igniting) resulting in improved engine timing and increased efficiency (Yüksel & Yüksel, 2004). Environmentally, (bio)ethanol blends have been found to lower CO and NOx emissions upon combustion without large engine modifications (Yüksel & Yüksel, 2004). (Bio)ethanol blends of 5-10% are quite common in today's gasoline blends and require no engine modifications (Smerkowska, 2011).

(Bio)ethanol's auto ignition temperature and flash point are higher than gasoline, which makes it safer for transportation and storage (Yüksel & Yüksel, 2004). Finally, (bio)ethanol also has a low freezing point, which makes it a good freezing inhibitor in fuel systems.

When used as a fuel in combustion engines, neat (bio)ethanol is more difficult to vaporize at cold temperatures, as (bio)ethanol has a higher auto ignition temperature and lower vapour pressure than gasoline (see Table 2.2) (Hansen et al., 2004). (Bio)ethanol has also been found to cause some degradation of engine components as (bio)ethanol and water are miscible and therefore have the potential to separate the alcohol and gas blend resulting in more frequent contamination and corrosion (Arbor, 1986; Hira & Guilherme De Oliveira, 2009; Yüksel & Yüksel, 2004).

Another disadvantage of using neat ethanol from an end user perspective is that a vehicle will

require more frequent fill-ups than if the vehicle ran on gasoline. This is because more (bio)ethanol is required per kilometer of car mileage; 1.5-1.8 times the alcohol fuel to achieve the same energy output (see LHV in Table 2.2) (Hira & De Oliveira, 2009; Yüksel & Yüksel, 2004). Therefore, (bio)ethanol is usually blended with gasoline to reduce its negative effects while capitalizing on the positive aspects of (bio)ethanol fuel (Yüksel & Yüksel, 2004).

Regardless of the drawbacks of neat (bio)ethanol fuel outlined above, combustion engines can and have been modified to suit (bio)ethanol use. Against popular belief, cars that run on neat (bio)ethanol can also operate reliably in the Canadian climate (Arbor, 1986). The engine timing is modified as the fuel/air ratio required for (bio)ethanol combustion is different from that of gasoline combustion (Yüksel & Yüksel, 2004). Engine component materials are modified to reduce the risk of corrosion (Hira & De Oliveira, 2009). There are also flexible fuel engines that run on neat alcohol, neat gasoline or any combination of the two as they have computerized system that analyzes fuel properties and adjusts engine parameters accordingly (Arbor, 1986). These flexible engine vehicles were prototyped back in the 1980s and are only now starting to appear on roads in Ontario.

Table 1.2: Properties of (bio)ethanol, (bio)butanol and gasoline (Moo-Young, 1985*; Rakopoulos et al., 2011***; Schädlich et al., 2003**; Szwaja & Naber, 2009*****; Yüksel & Yüksel, 2004)

Characteristics	(Bio)ethanol	(Bio)butanol	Gasoline
Formula	C ₂ H ₅ OH	C ₄ H ₉ OH**	C ₄ to C ₁₂
Density (kg/L at 15°C)	0.79	0.81	0.69-0.79
Vapour pressure (kPa at 38°C)	15.9	2.1*	48-103
Flash point (°C)	13	35	-43
Auto ignition temp (°C)	423	343	257
Viscosity (mPa/s at 20°C, at 25°C****)	1.19	2.57*****	0.37-0.44
Lower heating value (MJ/kg)	26.8 ***	36,681.0 kJ/kg* 33.1***	30-33
Octane number	89.7	86*****	80-90

2.1.3 Butanol

Butanol is produced from propylene by hydroformylation (Wittcoff et al., 2004) and bio-butanol is produced by the fermentation of a variety of feedstock such as wheat, rye, wood, molasses, cheese whey etc. (Linden et al., 1985). In 1912, Weizmann discovered *Clostridium acetobutylicum* fermented starchy grains and produced bio-acetone, bio-butanol and bio-ethanol (Linden et al., 1985). At this time, acetone was the important product as it was used to produce cordite for naval ammunition (Linden et al., 1985). Therefore, Canadian plants used the Weizmann process to produce ABE to support the WWI war effort in Europe and continued using this method to support bio-butanol production for DuPont's post war production of nitrocellulose lacquers for the automobile industry (Linden et al., 1985). Like bio-ethanol production, with the advent of cheap petrochemicals in the 1950s, the fermentation of biomass to produce bio-butanol disappeared (Linden et al., 1985).

Similar to ethanol and bio-ethanol, butanol and bio-butanol are chemically interchangeable and can be used in the same end-uses. Figure 2.2 depicts the chemical formula of (bio)butanol. Butanol is used as a solvent and as a chemical intermediate; it is used as a solvent for waxes, resins, shellacs and varnishes as well as an intermediate to manufacture lacquers, rayon, detergents and brake fluids (Linden et al., 1985). Not until recently has butanol been used as a fuel additive for gasoline; prior to 2004, MTBE (methyl tert-butyl ether), derived from isobutene, was the primary fuel additive to increase the octane rating of gasoline (Linden et al., 1985). When MTBE was banned in California due to adverse environmental impacts, butanol became a good candidate for fuel extension (Wittcoff et al., 2013). Now, (bio)butanol is used as a fuel additive for both gasoline and diesel fuel (Wittcoff et al., 2013).

(Bio)butanol has a low vapour pressure (see Table 2.2), low miscibility with water, and unlike (bio)ethanol, is completely miscible with diesel fuel and gasoline (Wittcoff et al., 2004). (Bio)butanol is less corrosive than (bio)ethanol, thus safer to handle, and can also be blended at the refinery with gasoline or diesel, thus (bio)butanol does not require separate transport and blending at the point of sale, like (bio)ethanol (Linden et al., 1985). (Bio)butanol blends in gasoline or diesel also do not require engine modifications as stoichiometric air-fuel ratio requirements are in line with that of gasoline and diesel (Linden et al., 1985) (see Table 1 in reference). Neat (bio)butanol has the potential to be a gasoline substitute because of (bio)butanol

and gasoline's similar physical and chemical properties (e.g., lower heating value and octane number) found in Table 2.2. The octane rating of a fuel is the measure of compression a fuel can withstand before igniting based on a reference mixture of *iso*-octane and heptane. Higher octane ratings of fuels are more important for gasoline engines than diesel engines because the fuel is subject to compression prior to spark ignition, whereas diesel fuel ignites immediately from the increased temperature and pressure within the engine cylinder (Pasadakis et al., 2006). Neat (bio)butanol has never been used for transportation, but definitely, for reasons just mentioned, has the potential (Tashiro et al., 2013).

2.1.4 Acetone

Acetone and bio-acetone, like the alcohols previously discussed, are chemically interchangeable. Figure 2.2 depicts the chemical formula of (bio)acetone. Bio-acetone is rarely produced today. This is because the majority of acetone is produced as a by-product of phenol production (Howard, 2011). Phenol is produced by the following sequential processes: the alkylation of benzene by propene into cumene (isopropylbenzene), the partial oxidation of cumene and the cleavage of cumyl hydroperoxide to produce phenol and acetone in a 1.64:1 ratio (Juguin et al., 1990). Both reactants of the phenol production process, benzene and propene, are products of petroleum processing (Willcoff et al. 2004). Phenol is predominantly used as a precursor for plastics but also plays a role in drug and herbicide production (Chen et al., 2013). Since the demand for phenol and subsequent products is usually higher than the demand for acetone, producing acetone from any other method, including bio-methods, is inconsequential. Although bio-acetone can be produced by the fermentation of lignocellulosic material, with the predominance of the phenol production method, bio-acetone will likely not be produced unless there is added incentive to do so.

Acetone is biodegradable and is used as a solvent to produce the following, but not limited to, products: fats, oils, waxes, resins, rubber, plastics, lacquers and varnishes (Howard, 2011). Acetone is also used as an intermediate to make chemical compounds such as rayon, plastics, fibers, drugs, paint, varnish removers etc. (Hoffmeister et al., 2016; Howard, 2011). If acetone were produced by fermentation, subsequent product production using acetone has the potential to be more sustainable. The term "potential" is used here for good reason. Increasing a products

sustainability involves not only taking into account the sustainability of the process used to create the product, but also the process used, to ensure the product is recycled or degraded into biodegradable material. For example, if bio-acetone is used to create plastic that is not biodegradable, then the sustainable production of acetone is arguably nullified.

2.2 Biofuel impediments, government policy and government goals

This section begins with a sub-section on the main impediments of biofuel production and use. This section concludes with current Canadian government biofuel policy and goals.

2.2.1 Biofuel production impediments

As discussed in section 2.1, mainstream society used biofuels as the primary fuel source before the 1950s rise of the petrochemical industry. Thus, an example of such an industry, on a smaller scale, is not a foreign concept and a lack of technology is not the reason for biofuel production impediment. North America was aware that anthropogenic carbon dioxide emissions would induce global warming in the 1960s (Oreskes, 2018). Thus, both the scientific and political community were aware in the 1970s that modifying the trajectory of the petrochemical industry and distribution system was the main impediment to a biofuel industry (Arbor, 1986). Consider, in the 1940s and 1950s, however, just after World War Two, many large petrochemical companies today, such as KOCH, were just beginning business development (U.S. Securities and Exchange Commission, 2005). The lack of political will to alter the economy and dissuade small business development is likely the cause of the political community's choice to overlook biofuels. This choice, in hindsight was irresponsible, but would have seemed completely understandable at the time. Similar public feelings associated with fostering small local business development are prominent today, and political figures are aware of public opinion.

Unfortunately, today, production chains that support traditional fuel production also support the production of several other chemicals, thus, making the conglomerate very difficult to modify now without significant investment and overall system modification. For example, the major objective of American refineries in the last 50 years has been to increase the octane rating of

gasoline (Wittcoff et al. 2004). This objective gave rise to a multitude of different processes, including oligomerization, alkylation and catalytic reforming (Schädlich et al. 2003). These processes are not only used in consort with crude oil distillation and refining but with several other product developments. Naphtha, one of the products of the crude oil distillation process, is subject to catalytic reforming to produce benzene, toluene and xylenes (Schadlich et al. 2003). Naphtha is also subject to anaerobic steam cracking to produce olefins such as propylene and ethylene (Schadlich et al. 2003). These products all play a role in the production of not only octane enhancing additives but in the production of plastics (e.g., polyethylene and polypropylene), synthetic fiber precursors (e.g., acrylonitrile) and industrial chemicals (e.g., glycols) (Wittcoff et al. 2004; Schadlich et al. 2003). Products, such as gasoline, plastics, detergents, fibers, pesticides, tires, shampoo and sunscreen are based on seven raw materials derived from petroleum and natural gas: ethylene, propylene, C4 olefins (i.e., butenes and butadienes), benzene, toluene, xylenes and methane all using well established production processes (Wittcoff et al. 2013). Ninety five percent of the 500 billion pounds of chemical products produced each year come from the processes based on the production of these seven raw materials (Wittcoff et al. 2013).

2.2.2 Canadian Policy

Government policy has a large and significant impact on product development (Le Roy & Klein, 2012). Neat RD is produced internationally and available in California because California's LCFS (Low Carbon Fuel Standard) guidelines, initiated in 2007, supports and mandates and increase in sustainable fuels (Cobb, 2015). First generation biofuels have seen incredible growth in the last decade largely due to policy goals (Seraj, 2014). In Canada, current biofuel production and consumption are highest in regions where government has played a large role in initiating and fostering relationships with the renewable energy industry (Taylor et al., 2005).

Current Canadian Federal government renewable fuel mandate, implemented in 2010 and modified in 2011, requires 5% renewable contents in gasoline and 2% renewable content in biodiesel sold in Canada (Government of Canada, 2017). On top of these federal regulations, provinces have created additional regulation to assist in the production and use of renewable fuels. For example, the Ontario Environmental Protection Act requires 4% total bio-based

volume in fossil diesel, and requires a 70% reduction in emissions associated with the production of fossil diesel blends by 2017 (Cleantech Canada, 2014; Ontario Environmental Protection Act, 2014).

Even though these regulations are a step in the right direction towards reducing the GHG impact of the Canadian transport system, key exemptions such as fuel for aircraft, competition vehicles, trains, heating oil and military use as well as exemptions for some provinces make the federal and provincial regulations not as effective (Moorhouse & Wolinetz, 2016). For example, Alberta's regulations do not apply to fuel produced and consumed within industrial operations, such as volumes of diesel fuels used in oil sand operations (Moorhouse & Wolinetz, 2016). The exemptions have the potential to make policy GHG targets difficult to achieve.

Since the cost of producing or purchasing renewable content for both gasoline and petrol diesel (e.g., bioethanol and BD) is more than the cost of petrol diesel production, federal programs such as ecoEnergy of Biofuels, Next Gen Biofuels Fund and AAFC Growing Forward have been developed. These programs provide subsidies to Canadian biorefineries and suppliers to facilitate the renewable fuel mandate (Natural Resources Canada, 2015a). Some of these federal subsidies include production tax credits to support Canadian manufacture of BD, interest free loans and grants. The subsidies also target both the energy and agricultural sectors (small facilities) to support local ownership.

The overall effectiveness of these specific subsidies mentioned in the previous paragraph is still vague. Programs initiating these subsidies were developed in 2006 (Laan et al. 2009). Most of the programs listed above have ended in 2017 or are finishing in 2018 (Natural Resources Canada, 2015a). However, one way of measuring the *cost effectiveness* of these subsidies is by comparing the cost of the subsidies intended to prevent the use of carbon dioxide emissions with the amount it cost to purchase carbon offsets. The cost per ton of carbon dioxide equivalent offset is between \$4.23 US and \$33.83 US on the Chicago and European Climate Exchanges (Laan et al., 2009). From an economic perspective, it is thus 8 to 137 times more costly to avoid carbon dioxide emissions by producing biofuel (Laan et al., 2009). Comparatively, the way to measure a nation's overall *GHG reduction effectiveness* is by tracking GHG emissions and material use. A study completed by Moorhouse & Wolinetz (2016) for Clean Energy Canada, found that Canada had increasingly reduced GHG emissions from 2011 to 2014 thus achieving a

total of 4.3 MT of CO₂e in 2014. This reduction in GHG emissions was calculated by using the 3.9 million cubic meters of renewable fuel Canadians used instead of fossil fuels that year (5% of the total fuel use in Canada) (Moorhouse & Wolinetz, 2016).

Ontario, the Canadian province with the largest population, has also created policies to reduce GHG emissions. More recently, Ontario passed the Climate Change Mitigation and Low Carbon Economy Act in 2016 and developed a Climate Change Action Plan (CCAP) adopted in 2017 that focuses on fighting climate change, reducing GHG emissions and transitioning to a low carbon economy (Ontario Ministry of the Environment and Climate Change, 2016). The cap and trade program, introduced in 2017 as part of the CCAP, puts a price on carbon. It is too early to determine the impact of the cap and trade program. It has been suggested that there could be some benefits to Ontario leaving the Western Climate Initiative (WCI) cap and trade program with California and opting for a carbon tax similar to British Columbia (Cameron, 2017). However, even if the Ontario government were to implement a carbon tax similar to the carbon tax in BC, Seraj (2014) indicates that unless this tax far exceeded BC's threshold tax rate, the tax would not create the incentive required to reduce fossil fuel use. Seraj (2014) indicates that the level of carbon tax required to perpetuate a shift in practice is around \$2,000/tCO₂e. British Columbia's carbon tax is currently at its highest rate at \$30/tCO₂e (Seraj, 2014). Seraj (2014) argued that \$30/tCO₂e does not dissuade the use of fossil fuels nor does it make bio-fuel ventures economical (Seraj, 2014).

Presently, the focus of Ontario's February 2018 CleanTech strategy as part of the CCAP prioritizes 1) energy generation and storage, 2) energy infrastructure, 3) bio-products and bio-chemicals and 4) water and wastewater (The Government of Ontario, 2018). The purpose of the strategy is to push Ontario's technology sector to develop more clean technology (i.e., sustainable technology); this includes less GHG intensive processes. By definition, clean technology is any process, product or service that reduces environmental impacts by reducing pollution (e.g., reducing GHG), more efficiently using natural resources and/or the use of significantly less energy than current industry standard (The Government of Ontario, 2018). Therefore, companies classified as clean technology companies need to fulfill at least one of these requirements. In order to foster the clean technology sector, the Ontario strategy focuses on developing programs and regulations that allow potential green companies to develop and

thrive. The government of Ontario plans to leverage existing programs and develop new ones in order to improve information flow to new clean technology companies, provide access to needed capital and facilitate more efficient regulatory frameworks (The Government of Ontario, 2018).

2.2.3 Canadian Government Goals

Current renewable fuel regulations, implemented by the Canadian government in 2010, play a key role in supporting the current Canadian government's overall renewable fuel strategy (Government of Canada, 2017). The current Canadian government's sustainable technology development strategy supports the overall objective of reducing GHG emissions and this includes the development and use of biofuels (Natural Resources Canada, 2018). For example, Sustainable Development Technology Canada (SDTC) is a re-established organization funded by the Government of Canada to support CleanTech projects and coach fledging companies that meet CleanTech standards (Government of Canada, 2018). This organization would financially and socially support a company's project plans if this plan proves it reduces GHG impact compared to the process used to produce a pre-existing redundant function. The above mentioned regulations, strategy and programs support the Canadian 2015 goal of a reduction of 17% GHG emissions from 2005 levels by 2020 (Natural Resources Canada, 2015a).

Canadian 2005 total GHG emissions was 738 MT CO₂e (Environment and Climate Change Canada, 2015). Increases in GHG emissions between 1990 and 2015, according to Environment and Climate Change Canada, was due to an increase in mining, upstream oil and gas production and transport. Hence, Canadian 2015 GHG emissions was not that much less at 722 MT CO₂e. A decrease in GHG emissions here was attributed to a reduction in public electricity and heat production utilities (Environment and Climate Change Canada, 2015). Thus, transport has not yet played a significant role in the reduction of Canada's GHG impact. If Canada is going to reach 17% of the 2005 GHG level (125 MT CO₂e) by 2020, a reduction of more than 16 MT CO₂e/year (given 738-722) is required and the transport sector should play a role in this reduction.

Canada also joined the Asia Pacific Partnership in 2005 with the intent of working with other nations and private sector companies to meet national goals for energy security, air pollution

reduction and climate change reduction (Pond Technologies Inc., 2017). The focus of the partnership is to expand investment in and trade of sustainable technologies, specifically energy technologies including biofuels. Moorhouse & Wolinetz (2016) indicated that in order for Canada to achieve substantial decarbonisation in support of international partnerships, biofuels would need to account for 20% of fuel use in Canada by 2030 and 90% by 2050.

2.3 Microalgae as a source of feedstock for biodiesel and ABE

This section presents microalgae's basic characteristics that make it ideal for a biofuel feedstock. Also included is how best to use human waste streams to reduce the environmental impact of uncontrolled microalgal propagation, while at the same time enhancing microalgal growth in anthropogenic systems.

2.3.1 Microalgae vs. Macroalgae

There are estimated 300 thousand species of algae classified into two main groups: microalgae and macroalgae (Suganya et al., 2016). Microalgae are unicellular eukaryotic microphytes (i.e., aquatic plants) and by virtue of their name, contain membrane bound organelles with plastids that contain chlorophyll in order to carry out photosynthesis (Suganya et al., 2016). Figure 2.3 presents the basic organelles (e.g., lipid globules, lignocellulosic cell wall, protein) found in eukaryotic microalgae. Microalgae live in both fresh water and marine ecosystems (Suganya et al., 2016) and range in size between 3-30 μm (Molina Grima et al., 2003).

Macroalgae, also known as seaweed, are multicellular eukaryotic macrophytes that are also photosynthetically inclined and live in both fresh water and marine ecosystems (Suganya et al., 2016). Figure 2.4 depicts macroalgae with similar cellular components to microalgae presented in Figure 2.3. The cell walls and structural components that keep organelles in place in each cell contain most of the algae's lignocellulosic material.

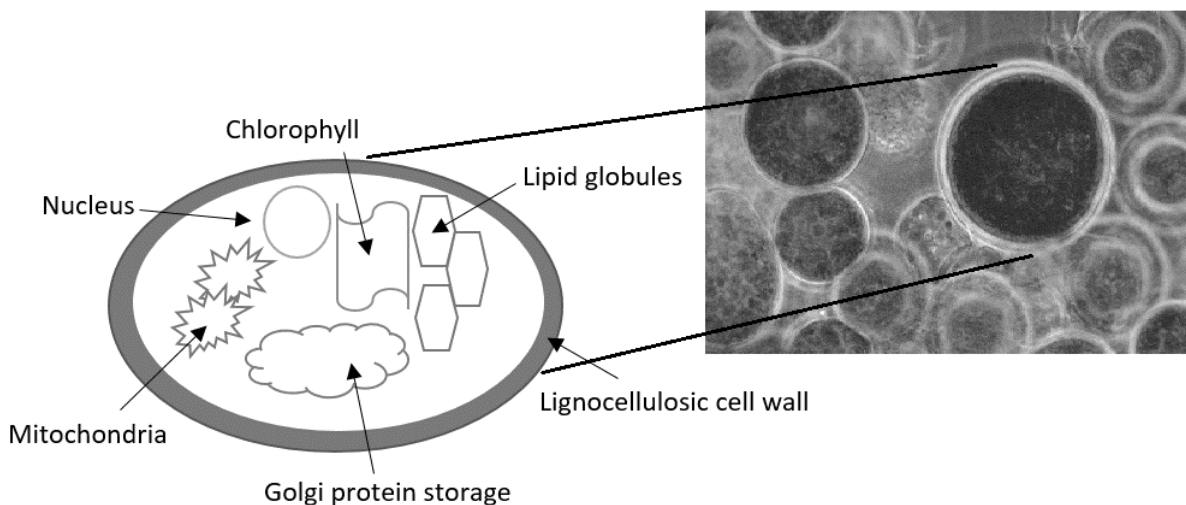


Figure 2.3: Basic components (i.e., organelles) of a eukaryotic microalgae (left), Microscopic photo (right), adapted from (FEBICO (Far East Bio-Tech. Co.) Ltd., 2018; Hammer & Avalos, 2017)

Both micro and macroalgae have a much higher growth rate and CO₂ fixation than any other plant on earth (Sander & Murthy, 2010). The photosynthetic efficiency of algae is on average 13% whereas terrestrial plants have photosynthetic efficiencies of between one and two percent (Singh & Ahluwalia, 2013). Both micro and macroalgae can also accumulate high levels of lipids. As biodiesel predominantly consists of mono-alkyl esters of long chain fatty acids, both macro and microalgae are ideal for biodiesel feedstock (Sharara et al., 2012; Wiley et al., 2011)

There are limited reports concerning the production of biofuels from macroalgae (Suganya et al., 2016). It is suspected that higher production costs and harvesting difficulties make using macroalgae less appealing in general and less appealing than microalgae (Brennan & Owende, 2010). Suganya et al. (2016) indicate that growing macroalgae is suited to a limited area such as coastline in relatively stagnant waters. Harvesting a reliable quantity is also difficult because of climatic variability (Suganya et al., 2016). There is also the possibility that microalgae has been studied more because it has been grown in small capacities for niche markets (i.e., pharmaceutical and aquaculture) (Suganya et al., 2016).

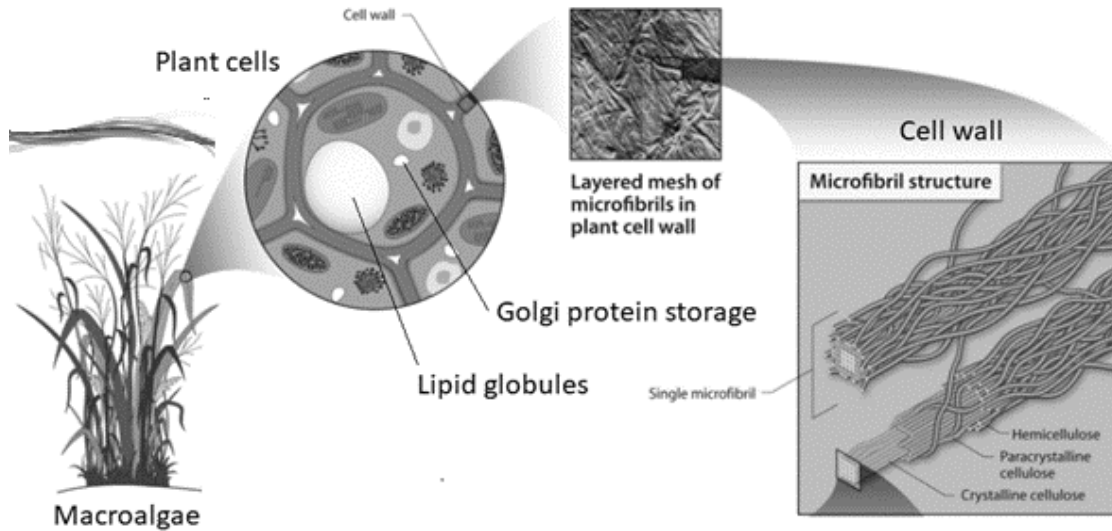


Figure 2.4: Macroalgae from plant to cellular level, adapted from (Quiroz-Castañeda & Folch-Mallol, 2013)

2.3.2 Microalgal physical makeup

Microalgae contain carbohydrates, lipids and proteins. Carbohydrates, also called “lignocellulosic biomass”, make up most of microalgae’s physical structure. Lignocellulosic biomass in microalgae consist of cellulose, hemicellulose and lignin (see Figure 2.5). For biofuel production, cellulosic and hemicellulosic biomass are broken down into monomers and fermented to produce alcohols (Mu et al., 2010; Sheehan et al., 2003).

For this study’s purpose, lipids in microalgae are either triglycerides (TG), fatty acids (FA) or free fatty acids (FFA). Triglycerides are composed of one, two or three FAs attached to a glycerol backbone as shown in Figure 9. A transesterification reaction converts triglycerides to FAME (also known as BD). Therefore, one of many characteristics sought after in microalgae for BD production is TG content.

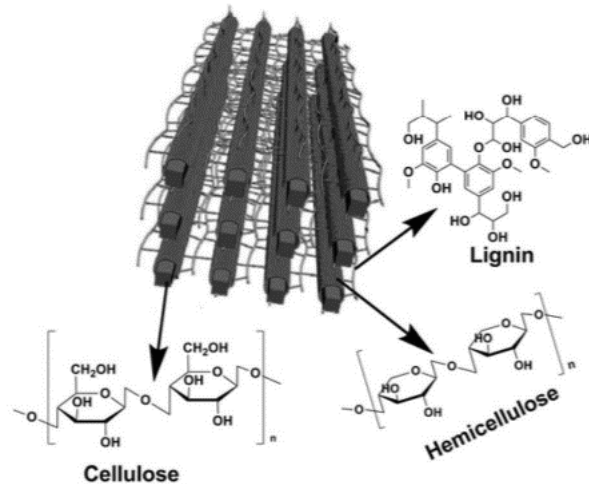


Figure 2.5: Schematic of the location and structure of lignin (web), hemicellulose (casing) and cellulose (inside hemicellulose) in lignocellulosic biomass, adapted from (Liu et al., 2015)

Fatty acids are carboxylic acids that have long hydrocarbon chains (normally between 4 and 22 carbons in length) with a carboxylic acid group at one end (Cabus-Llaurado et al., 2007). The basic form of a fatty acid has a hydrocarbon chain completely saturated with hydrogen (i.e., no double bonds). When double bonds are present in the fatty acid hydrocarbon chain, either one or more, these fatty acids are called monounsaturated fatty acids (MUFAs) or polyunsaturated fatty acids (PUFAs) respectively (see Figure 2.6). Saturated fatty acids make superior biodiesel thus, algal species for use as biomass for biodiesel production are selected accordingly (Patil et al., 2012). The most abundant saturated fatty acid in microalgae is palmitic acid (16:0), followed by stearic acid (18:0), while of the monounsaturated types, oleic acid proves most abundant (18:1n 9) (Martinez et al., 2000). The ratios represent the nomenclature used for the fatty acids also shown in Figure 2.6.

FFAs are lone FAs not part of a triglyceride. Microalga with an inordinate amount of FFA are not suitable for BD production, however an acid pre-treatment can facilitate BD production from these lipids as well (Dong et al., 2016).

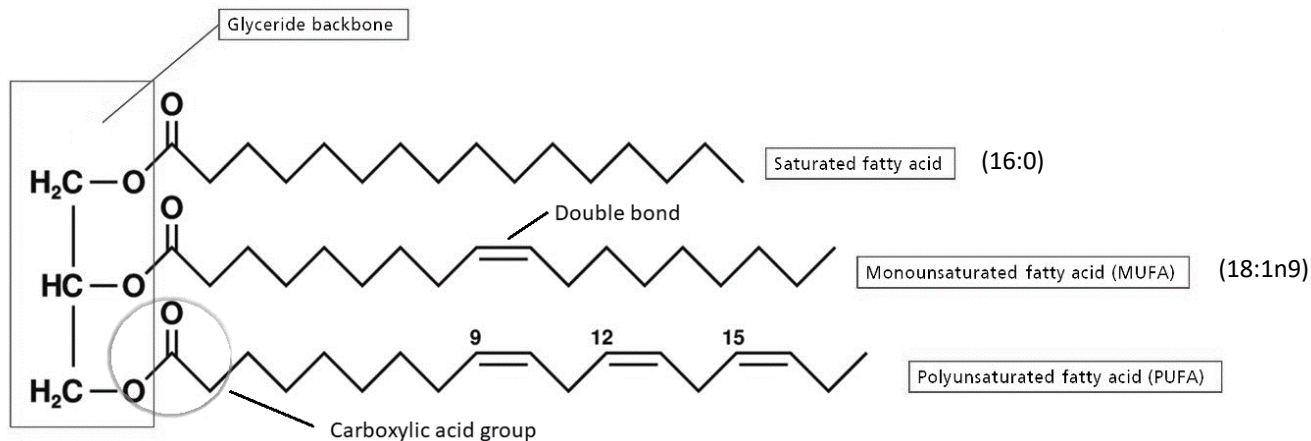


Figure 2.6: Triglyceride consisting of three fatty acids and glycerol backbone, carboxylic acid group is circled, adapted from (Oxford Instruments, 2018)

2.3.3 Algae found suitable for biofuel processing

Microalgae have varying ratios of lignocellulosic biomass, proteins and lipids depending on the genus (taxonomic level) and the environment in which they grow. Table 2.3 below gives examples of the main algal taxonomic divisions. There are over 40 thousand different known species of algae and new species are discovered on a regular basis (Suganya et al., 2016).

Algae taxonomic groups contain several algae genera. Each algal genus may have several species. Research tends to refer to different algal species not only by their species name but also by their batch numbers, which facilitates research reproducibility (Yun et al., 1997). The microalgae species found in Table 2.4 are numbered based on their corresponding taxonomic division in Table 2.3. Not all microalgal genera could be linked to a taxonomic division, therefore it should be assumed that microalgal genus in Table 2.4 may or may not be associated with a taxonomic division in Table 2.3. Table 2.4 also outlines the microalgae species percent composition of carbohydrates, proteins and lipids. For biofuel (i.e., biodiesel and alcohol) production, microalgal biomass must have relatively high lipid and lignocellulosic content. Microalgae species can be forced, with varying degrees of success, to produce higher lipid yields when grown in a medium with a limiting amount of nitrogen (Ratledge, 2004). It is quite common, in such nitrogen deficient circumstances, to see some microalgae produce up to 50% oil content (Chisti, 2007; Suganya et al., 2016). However, productivity and lipid accumulation

are inversely related (Hu et al., 2008). A balance is required in order to increase lipid yield but only to the extent that this increase does not substantially slow down overall biomass growth. Mata et al. (2010) contains a comprehensive breakdown of lipid content, lipid productivity and biomass productivity for marine and freshwater microalgae.

Table 2.3: Taxonomic groups of algae and their common names- adapted from (Suganya et al., 2016)

Reference Number	Taxonomic groups (divisions)	Best known as	Nuclear characteristics
1	Bacillariophyta	Diatoms	Eukaryote
2	Chloro phycophyta	Green algae	Eukaryote
3	Chrysophycophyta	Golden algae	Eukaryote
4	Cyanobacteria	Blue green algae	Prokaryote
5	Phaeo phycophyta	Brown algae	Eukaryote
6	Dinophyta	Dinoflagellates	Mesokaryote
7	Rhodo phycophyta	Red algae	Eukaryote
8	Euglenoids		Mesokaryote
9	Cryptophyta		Eukaryote
10	Haptophyta		Eukaryote
11	Xanthophyta	Yellow green algae	Eukaryote
12	Raphidiophyta	Chloromonads	Eukaryote

As can be seen in Table 2.5, microalgae found the most suitable for feedstock biodiesel processing are species of the genera *Nannochloropsis*, *Chlorella* and *Scenedesmus* (also shaded in Table 2.4). These genera naturally have high lipid content (Chisti 2007; Liu et al. 2017; Patil et al. 2012; Sharara et al. 2012). Cristi (2007) found *Chlorella sp.* and *Nannochloropsis sp.* to have oil content based on percent dry weight of between 28% and 68%, and thus suitable for biodiesel production. These same genera also have relatively high biomass productivities (growth rate) as shown in Table 2.5. Pond Technologies Inc. in Markham Ontario is currently using *Chlorella* for biofuel, biolubricant and biochemical production (Pond Technologies Inc., 2017). Pond Technologies does not disclose the specific species used.

Table 2.4: Microalgal genus and their compositions

Reference #	Microalgal genus	Oil content (% dry wt)	Carbohydrate (% dry wt)	Protein (% dry wt)	Reference
2	<i>Botryococcus braunii</i>	25 - 75			(Chisti, 2007)
2	<i>Chlorella</i>	18.0 - 57.0			(Mata et al., 2010)
2	<i>Chlorella emersonii</i>	25.0 - 63.0			(Mata et al., 2010)
2	<i>Chlorella protothecoides</i>	14.6 - 57.8			(Mata et al., 2010)
2	<i>Chlorella protothecoides</i>	55.2	15.0		(Miao & Wu, 2006)
2	<i>Chlorella sorokiniana</i>	19.0 - 22.0			(Mata et al., 2010)
2	<i>Chlorella sp.</i>	28 - 32			(Chisti, 2007)
2	<i>Chlorella sp.</i>	10.0 - 48.0			(Mata et al., 2010)
2	<i>Chlorella syrenoidosa</i>	2.0			(Mata et al., 2010)
2	<i>Chlorella vulgaris</i>	14 - 22	12 - 17	51 - 58	(Suganya et al., 2016)
2	<i>Chlorella vulgaris</i>	22.1 ± 2.0			(Sobczuk et al., 2008)
2	<i>Chlorella vulgaris</i>	5.0 - 58.0			(Mata et al., 2010)
6	<i>Cryptocodinium cohnii</i>	20			(Chisti, 2007)
1	<i>Cylindrotheca sp.</i>	16 - 37			(Chisti, 2007)
2	<i>Dunaliella primolecta</i>	23			(Chisti, 2007)
2	<i>Dunaliella sp.</i>	17.5 - 67.0			(Mata et al., 2010)
	<i>Euglena gracilis</i>	14-20	14 - 18	39 - 61	(Suganya et al., 2016)
11	<i>Monallanthus salina</i>	> 20			(Chisti, 2007)
2	<i>Nannochloris sp.</i>	20 - 35			(Chisti, 2007)
2	<i>Nannochloris sp.</i>	20.0 - 56.0			(Mata et al., 2010)
	<i>Nannochloropsis oculata</i>	22.7 - 29.7			(Mata et al., 2010)
	<i>Nannochloropsis sp.</i>	31 - 68			(Chisti, 2007)
	<i>Nannochloropsis sp.</i>	12.0 - 56.0			(Mata et al., 2010)
2	<i>Neochloris oleoabundans</i>	35 - 54			(Chisti, 2007)
1	<i>Nitzschia sp.</i>	45 - 47			(Chisti, 2007)
1	<i>Phaeodactylum tricorutum</i>	20 - 30			(Chisti, 2007)
	<i>Prymnesium parvum</i>	22 - 39	25 - 33	28 - 45	(Suganya et al., 2016)
2	<i>Scenedesmus dimorphus</i>	16 - 40	21 - 52	8 - 18	(Suganya et al., 2016)
2	<i>Scenedesmus acutus</i>	40	40	20	(Dong et al., 2016)
2	<i>Scenedesmus obliquus</i>	12 - 14	10 - 17	50 - 56	(Suganya et al., 2016)
2	<i>Scenedesmus obliquus</i>	11.0 - 55.0			(Mata et al., 2010)

2	<i>Scenedesmus quadricauda</i>	1.9 - 18.4			(Mata et al., 2010)
2	<i>Scenedesmus sp.</i>	19.6 - 21.1			(Mata et al., 2010)
	<i>Schizochytrium sp.</i>	50 - 77			(Chisti, 2007)
	<i>Spirogyra sp.</i>	11 - 21	33 - 64	6 - 20	(Suganya et al., 2016)

Cyanobacteria are between 0.2 and 100 µm in size and can also accumulate high levels of lipids and lignocellulosic biomass (Chorus & Bartram, 1999; Karatay & Dönmez, 2011; Tonietto et al., 2014). Most microalgae accumulate lipids as storage when under stress, whereas cyanobacteria accumulate lipids in thylakoid membranes when they are the most photosynthetically productive and increasing biomass (Karatay & Donmez, 2011).

Table 2.5: Microalgal biomass productivity

Microalgae	Growth rate	Reference
<i>Nannochloropsis sp.</i>	0.27 g/L·day	(Brennan & Owende, 2010)
<i>Chlorella sorokiniana</i>	1.47 g/L·day	(Brennan & Owende, 2010)
<i>Chlorella</i>	3.8 g/L·day	(Brennan & Owende, 2010)
<i>Botryococcus sp.</i>	1.1 g/L·day	(Murakami & Ikenouchi, 1997)
<i>Chorella vulgaris</i>	0.26 g/L·day	(Ma, 2016)
<i>Scenedesmus sp.</i>	0.194 – 0.248 g/L·day	(Zhou et al., 2011)
<i>Nannochloropsis</i>	0.51 g/L·day	(Liu et al., 2017)
<i>Nannochloropsis</i>	1-3 doubling/day	(Patil et al., 2012)
<i>unspecified</i>	1.535 g/L·day	(Chisti, 2007)

Karatay and Donmez (2011) studied cyanobacteria lipid content and found large lipid content (% dry mass) as well as strong FAME yield from the cyanobacteria genus shown in Table 2.6.

Cyanobacteria can be found in freshwater lakes such as Lake Erie where there was a significant cyanobacteria bloom in 2012 (Scavia et al., 2014; Suganya et al., 2016). According to Conroy et al. (2017), *Cyanobacterium cylindrospermopsis* is likely the ongoing predominant cyanobacteria strain found in lake Erie and will most likely remain so as lake temperatures rise and water levels fall (Conroy et al., 2007).

Table 2.6: Lipid content and FAME conversion for cyanobacteria genus (Karatay & Donmez, 2011)

Cyanobacteria Genus	Maximum lipid content (% dry weight)	C16 and C18 methyl ester yield (as a % of lipid content)	Saturated lipid content (% of lipid content)
<i>Synechococcus</i> sp.	42.8	46.9	74.5
<i>Cyanobacterium aponinum</i>	45.0	67.7	77.9
<i>Phormidium</i> sp.	38.2	90.6	84.7

Sourcing algae from natural freshwater can reduce costs associated with exclusively growing algae (Ma, 2016). Cyanobacteria size also naturally lend itself to a relatively cost effective and energy efficient microscreen harvest method which could make this microalgae easier to extract from natural water bodies (Brennan & Owende, 2010). Extensive algal growth in fresh water lakes is not something to perpetuate for the want of microalgal biomass for fuel production, however, temporarily removing toxic algae from a lake while simultaneously benefiting biofuel production has its merits.

Regardless, there has been limited mention of cyanobacteria use as a biofuel source. Karatay & Donmez (2011) suggested that biodiesel produced from cyanobacteria biomass would have a high CN and high oxidative stability due to high percent saturation, which are important advantage for fuel performance and storage capability. However, there is no other literature that mention current biofuel production from cyanobacteria.

2.3.4 Enhancing microalgal growth while optimizing waste recycling and carbon sequestration

Flue Gas

Certain microalgae have the tolerance for and can thrive in an environment with high CO₂ concentrations (Bhola et al., 2014). Carbon dioxide is a food source for the algae that requires CO₂ to complete photosynthesis and grow. Thus, alga, used in this way, is a CO₂ sequestration tool. Between 10 and 15 percent CO₂ concentration produces maximum biomass for most microalgal species (Bhola et al., 2014; Singh & Ahluwalia, 2013). Industrial flue gas CO₂

concentrations currently released into the atmosphere range from 7 to 20% (Bhola et al., 2014). It is essential to select strains of algae that can grow effectively and accumulate biomass in industrial flue gas (emissions), as this is where most of the carbon sequestration is required (Singh et al. 2011; Suganya et al. 2016).

Table 2.7 outlines growth rates associated with varying concentrations of CO₂. All microalgae in Table 2.7 are eukaryotic green microalgae except for *Spirulina sp.*, which is a prokaryotic cyanobacterium. Most species are of the green algae genus because the decreased pH in the culture medium caused by high concentration of CO₂ is favorable for green algae (Murakami & Ikenouchi, 1997).

Table 2.7: Microalgae subject to carbon dioxide emissions

Microalgae	Growth rate / Other	CO₂ concentration	Reference
<i>Spirulina sp.</i>	0.2 g/L·day	6%	(De Morais & Costa 2007)
<i>Chlorella sp.</i>	0.24/hr (doubling in less than 3 hours)	20% at 40°C	(Sakai et al., 1995)
<i>Chlorella sp.</i>	Fixed 1 gCO ₂ /L·day	15% at 35°C	(Murakami & Ikenouchi, 1997)
<i>Chlorella sp.</i>	NO _x at 45 mg/m ³ and CO at 3 mg/m ³ did not affect growth	6-8%	(Doucha et al., 2005)
<i>Botryococcus braunii</i>	0.027 g/L·day (21% lipid)	10%	(Yoo et al., 2009)
<i>Botryococcus sp.</i>	1.1 g/L·day	Not stated	(Murakami & Ikenouchi, 1997)
<i>Senedesmus obliquus</i>	0.14 g/L·day	12%	(De Morais & Costa 2007)

Microalgae can also grow well in a range of temperatures, thus reducing the need for substantial cooling of the flue gas. Additionally, microalgae can grow with moderate levels of nitrogen dioxide and sulfur dioxides present, which are common elements in flue gas (Singh & Ahluwalia, 2013). Brennan & Owende (2010) found that flue gas can actually control algal invasive species to a certain extent because only certain strains can survive in high CO₂ concentrated flue gas environments.

Wastewater

In order to reduce the cost and environmental impact of using processed water for microalgal growth, wastewater should be used instead (Ferrell & Reed, 2010). Growing microalgae in wastewater benefits both the biorefinery that will produce the microalgal biofuel and the wastewater treatment facility (Singh et al., 2011; Wang et al., 2008; Wiley et al., 2011). First, the effective use of wastewater eliminates the need for large amounts of additional freshwater to grow microalgae. Second, wastewater also has significant amounts of phosphorus, nitrogen and ammonia, which eliminates the environmental impact associated with fertilizer production.

This study looked at the wastewater treatment plant in Barrie Ontario for reference purposes. Figure 2.7 is an aerial photo of the Barrie wastewater treatment plant. The wastewater is pumped to raised tanks (1) and then moves to the primary clarifiers (2), where most of the large solids precipitate out of solution. Pure oxygen supplies and saturates the wastewater in the aeration tanks (3) and steady mixing allows the bacteria culture to break down the organic material in the wastewater releasing phosphorus and ammonia into solution. It is here from the secondary clarifiers where wastewater should be drawn to support microalgal growth as it is here where phosphorus and nitrogen are readily available for uptake by microalgal cells (Sen et al., 2013).



Figure 2.7: Barrie wastewater treatment plant layout 2017: 1 – Pump house, 2 – Primary clarifiers, 3 – Aeration tanks, 4 – Secondary clarifiers, 5 – Rotating biological contactors, 6 – Sand filter, 7 – UV treatment, 8 – Clean water discharge piping, 9 – Aerobic digester, 10 – Primary digesters, 11 – Secondary digester, 12 – Biosolid holding tank, 13 – Co-generation facility (City of Barrie, 2004)

Table 2.8 below includes the growth rate and nutrient removal rates of some microalgae using wastewater. Kong et al. (2010) experimented with nutrient balancing and found that adding nutrients improved the growth rate of *Chlamydomonas reinhardtii* overall. Table 2.8 growth rates vary, however, the removal of the majority of phosphorus and nitrogen is more consistent.

Table 2.8: Microalgae grown in wastewater

Microalgae	Growth rate	Removal of nutrients	Reference
<i>Scenedesmus obliquus</i>	1.0 mg/L·day	35.5% decrease in BOD with 100% recycled water from an olive oil extraction plant	(Hodaifa et al. 2007)
<i>Scenedesmus obliquus</i>	0.77 mg/L·day at 25°C	98% removal of P and 100% removal of N in stirred culture with HRT of 4 days	(Aslan & Kapdan, 2006)
<i>Scenedesmus obliquus</i>	68% oil and 16% lignocellulosic	Synthetically created wastewater used	(Martinez et al., 2000)
<i>Chorella vulgaris</i>	0.624 g/L·day	Removal of 0.92 g NH ₃ /m ³ ·hr supplemented with 46 g PO ₄ ⁻³ /m ³ using wastewater from a steel plant and 15% (v/v) CO ₂	(Yun et al., 1997)
<i>Chorella sp.</i>	Not specified	Removal of 93.3% NH ₃ , 89.1% TN, 80.9% TP from municipal wastewater	(Chen et al. 2015)
<i>Chlamydomonas reinhardtii</i>	2.0 g/L·day at lipid content of 25.25% (w/w)	Removal of 55.8 mg/L·day of TN and 17.4 mg/L·day of TP removed from municipal wastewater	(Kong, Li, Martinez, Chen, & Ruan, 2010)

The correct ratio of nitrogen, phosphorus and carbon is one of the most important nutrient characteristics for optimal microalgal growth (Christenson & Sims, 2011). Optimal C:N:P ratio for microalgal growth is approximately 100:11:1 (Chisti, 2007). Municipal wastewater typically has between 20 and 50 w% organic carbon (Torri et al. 2014), 120.6 – 530 mg/L of total phosphorus and 128.6 – 290 mg/L of total nitrogen (Kong et al., 2010; Min et al., 2011). Ma (2016) used levels of total nitrogen and phosphorus of 141 mg/L and 178 mg/L respectively (Ma, 2016). Therefore, the microalgal culture does require additional nitrogen and carbon by means of nutrient recycling to assist with optimal nutrient concentrations for optimal microalgal growth.

Another option for microalgal growth of even greater benefit to the wastewater treatment facility is the use of microalgae as part of the wastewater treatment process. Instead of using the wastewater for microalgal growth, with the understanding that the wastewater will return and be further treated using the wastewater treatment plant, microalgae can also be used as a primary source of wastewater treatment. Biological wastewater treatment systems use microalgae as part of the wastewater treatment process. Biological wastewater treatment systems have increased in importance in the last 50 years and are now accepted as an effective means of treating wastewater (Sen et al., 2013). However, these plants still have the primary purpose of wastewater treatment and not necessarily the dual objective of producing clean water and significant amounts of microalgal biomass. Effective wastewater treatment in consort with biomass production for biofuel has yet to be demonstrated economically feasible (Christenson & Sims, 2011). One of the reason why the collaboration potential between the biofuel industry and the wastewater industry has not yet been realized is because those testing algae production technologies have not often integrated their research with that of the wastewater industry and vice versa (Christenson & Sims, 2011).

The Barrie wastewater treatment plant does not use biological wastewater treatment, but does use aerobic and anaerobic digesters along with a co-generation facility. The Barrie wastewater treatment plant provides wastewater treatment to a city of approximately 285 thousand people. Sewers are directed to the plant, however, road run off is not. Using the Barrie wastewater treatment plant as a reference, this study calculated the approximate amount of microalgae growth achievable in a year given the total amount of wastewater produced by the city. At an approximate wastewater production of 403 liters per person per day (City of Barrie, 2004), a city the size of approximately 285,000 people would produce just under enough wastewater to produce 10,000 tonnes of microalgae per year given a microalgal growth rate of 265 mg/L·day. Ten thousand tonnes of microalgae is equivalent to approximately 3,400 tonnes or 4 million liters of biodiesel.

Mixed strain use

Studies suggest using multiple strains of microalgae in the overall biofuel production process especially if using municipal wastewater as a source of water and nutrients (Awudu & Zhang,

2011). Monocultures of high lipid producing strains are likely to be outcompeted by faster growing species of microalgae or cyanobacteria (Vasudevan & Briggs, 2008). In wastewater treatment plants, naturally occurring mixed cultures dominate. Wastewater might already contain some algal growth, which would contaminate the monoculture of a PBR if monocultures were attempted. Also, if microalgae were used to assist in the wastewater treatment process, the literature is predominantly focused on mixed algal strain systems (Christenson & Sims, 2011).

Lipid yield of mixed cultures in wastewater has achieved 11.3% lipid and as high as 29% when grown in anaerobic digester effluent (Woertz et al., 2009). Griffiths (2009) found FAME content of 23.4% after in-situ transesterification of mixed culture grown in municipal wastewater (Griffiths, 2009). Farooq et al. (2013) found that the yield of BD from extracted algal oil using a mixed microalgal culture was upwards of 92% (Farooq et al., 2013). There is therefore potential for successful microalgal growth using wastewater, and subsequent oil extraction, with a variety of microalgal genera grown concurrently in a mixed culture.

Mixotrophic conditions

When growing microalgae in wastewater, a carbon nutrient source, usually glucose or glycerol, is required to balance the C/N ratio to promote algal growth. Summarized in Table 2.9, Ma (2010) found that freshwater *Chlorella vulgaris* can grow in wastewater and yield triple the amount of biomass in a given time under mixotrophic conditions (Ma, 2016). Mixotrophic conditions include light as well as carbon sources of energy (e.g., glycerol), for algal cell growth. Ma (2016) found glycerol added to a *Chlorella vulgaris* culture grown in wastewater increased the microalgal biomass productivity rate (Ma, 2016). Biomass productivity with no glycerol produced biomass at a rate of 89 mg/L·day, whereas when glycerol was added at 5 g/L, algal biomass productivity increased to 260 mg/L·day. Carbohydrate and lipid content of algal biomass also increased with the addition of glycerol in the wastewater medium. Carbohydrate and lipid content with no glycerol produced algal biomass with 15% and 10% content respectively, whereas, carbohydrate and lipid content with glycerol produced algal biomass with 25% and 32% content respectively.

Table 2.9: Effect on algal *Chlorella vulgaris* culture with the addition of glycerol (Ma, 2016)

Algal culture properties	No Glycerol added	Glycerol maintained at 5 g/L
Biomass growth	0.82 g/L	1.82 g/L
Biomass productivity	89 mg/L·day	260 mg/L·day
Carbohydrate yield	15%	25%
Lipid yield	10%	32%

There are some microalgae that accumulate higher lipid composition when grown heterotrophically (Miao & Wu, 2006). Heterotrophic microalgae, which use only organic carbon to produce energy by cellular respiration, have been shown to produce lipid content of up to 55%, which is four times higher than autotrophic microalgae of the same genus (Miao & Wu, 2006). Miao & Wu found heterotrophic *Chlorella protothecoides*' lipid content reached 55.20% when grown with 10 g/L glucose and 0.1 g/L glycine as part of culture medium. The carbohydrate component of *Chlorella protothecoides* also increased from approximately 10% to 15%. Although some microalgae can grow heterotrophically, Christensen & Sims (2011) indicate that using microalgae's autotrophic abilities is imperative in order for the overall process to achieve sustainability. It is likely that growing food for microalgae to consume will be more energy and GHG intensive than recycling waste nutrients and allowing light energy to support biomass growth.

Recycling glycerine, a sugar substitute, from a transesterification process back into the algae cultivation process can provide organic carbon sources that can increase biomass productivity of heterotrophic microalgae without the expense of acquiring additional sources of organic carbon (Silva et al., 2014). Recycling crude glycerol back to the cultivation stage after it has been extracted from the transesterification process not only provides algae with an additional food source but also uses some of the excess glycerol by-product.

2.4 Microalgal Biofuel Processing Methods

2.4.1 Pond vs. PBR

The most studied methods of producing microalgae for biofuels are suspended systems (Christenson & Sims, 2011). A suspended system is one where the microalgae grows in solution

and not on a physical structure. There are also fixed microalgal growth systems, such as algal turf scrubbers and biofilm facilities (Christenson & Sims, 2011).

There are two main types of suspended systems used to grow microalgae: an open pond system and a photobioreactor (PBR) system. There are different configurations of each of these systems; the most promising open pond system is the raceway pond and the most promising bioreactors are flat bed and tubular photobioreactor (PBR) (Travieso et al., 2001). Table 2.10 outlines and compares the most critical system aspects of open raceway ponds and PBR microalgal growth system.

Table 2.10: Advantages and limitations of open ponds and photobioreactors adapted from (Brennan & Owende, 2010; Kumar & Jain, 2014; Rosello Sastre et al., 2007; Travieso et al., 2001; Vonshak & Richmond, 1988)

Key system aspects	Open Pond	Photobioreactor
Light efficiency	Fairly good	Excellent (advantage)
Temperature control	None	Fairly good (advantage)
Gas transfer	Poor (disadvantage)	Varies
Oxygen production	Low (advantage)	High
Accumulation	Low (advantage)	Varies
Hydrodynamic stress on algae	Difficult to restrict	Easier to manipulate
Species control	Poor	Achievable (advantage)
Sterility	Poor	High (advantage)
Cost to scale up	Low (lower capital costs and can use non-arable land)	High (expensive technology and sometimes large land space)
Volumetric productivity	High (larger capacity = advantage)	Low (small volume, but large cross sectional area)
Biomass productivity	Varies	Good (advantage)

Several studies concerning microalgal growth for biofuel production have found cultivation of microalgae in open raceway ponds (ORP) as the most cost effective method of producing microalgal biofuel (Amanor-Boadu et al., 2014; Colosi, 2012; Nanaki & Koroneos, 2012; Ogden, 2014; Pfromm et al., 2011; Sander & Murthy, 2010). The overall cost of fuel produced by algae grown in open ponds (US \$/ US gal) in 2014 dollars ranges from \$1.65 /gal to \$25.00 /gal (\$0.44/L – \$6.60/L) (Pienkos & Darzins, 2009; Quinn & Davis, 2015). The overall cost of

fuel produced using microalgae cultivated in PBRs (US \$/ US gal) in 2014 dollars ranges from \$5.30/gal to \$33.16 /gal (\$1.40/L – \$8.76/L) (Quinn & Davis, 2015; Chisti, 2007).

Even though PBRs are more expensive to purchase and operate, they require less land than open pond systems as they can be built vertically with a resulting high surface to volume ratio (Wang et al., 2008). PBRs are superior for contamination control, increased light efficiency and increased temperature control to help maximize growth, which allows for overall increased microalgal biomass production per unit area (Shen et al., 2009). Regardless of the advantages and disadvantages of both open pond and PBRs, considering the Canadian climate and the reduced growing season, PBRs are the only option to consider if looking at continual production of microalgae in Canada (Chen et al., 2011; Singh, 2012).

Fixed microalgal growth system (i.e., algal turf scrubber and biofilm plants) studies are fewer than those supporting suspended systems. Growing microalgae using biofilms are currently expensive and there are less reliable data available as to average microalgal productivities (Barlow et al. 2016). Algal turf scrubbing operations are outdoors and even though two of the four larger microalgal growth operations in the United States use this system, considering the Canadian climate, microalgal growth using algal turn scrubbing will likely be unfeasible (Christenson & Sims, 2011).

Flat Panel PBR and Tubular PBRs are the most promising PBRs for algal production (Singh, 2012; Wang et al., 2012). Microalgal productivity in a PBR varies with microalgal genus and specific conditions, but typical biomass productivity range is 0.05 – 3.8 g/L·day (Brennan & Owende, 2010). Both Hu et al. (1996) and Hu & Richmond (1996) have shown high culture densities using well-mixed flat panel reactors (Christenson & Sims, 2011). However, tubular PBRs are the only type of closed system currently used at a larger scale (Chisti 2007; Mata et al. 2010). Figure 2.8 below shows an example of one of several horizontal tubular PBRs that would make up a microalgal processing facility. Wastewater can also be used to supply tubular PBRs (Willson et al., 2009). Problems that have stalled expansion of tubular PBR use however are toxic accumulation of oxygen, adverse pH and CO₂ gradients, overheating, bio-fouling and high material and maintenance costs (Carvalho et al. 2006; Mata et al. 2010; Molina Grima et al. 1999). There is progress towards increasing both CO₂ transfer and O₂ release by shifting to

bioreactors that use gas-liquid contactors, such as the rotating biological contactors (RBCs) (Patwardhan 2003; Zeevalkink et al. 1979).

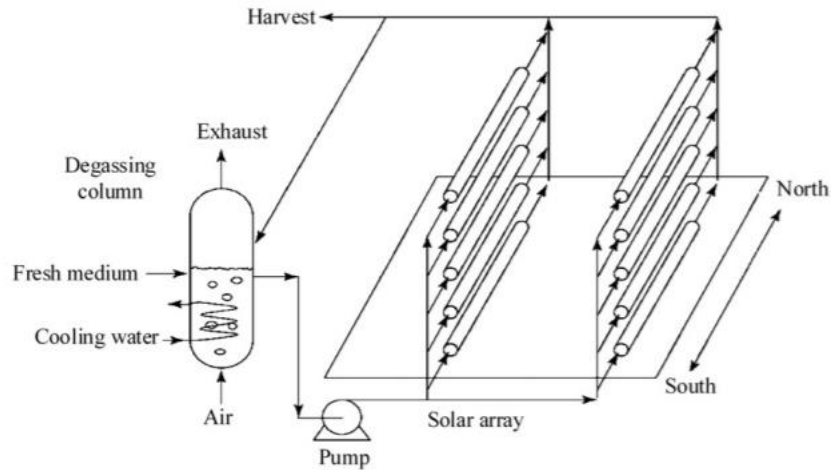


Figure 2.8: Typical horizontal tubular photobioreactor from (Harun et al., 2011)

The majority of companies using the PBR approach operate at the bench scale with some at the pilot scale (bench scale is between 10 and 1000 US gallons (of water) and pilot scale is several thousand gallons or a site of 0.5 – 10 acres) (Christenson & Sims, 2011). Recently, pilot PBRs have been co-located at industrial facilities (e.g., cement factory) in order to recycle the carbon dioxide from flue gas (Pond Technologies Inc., 2017; Singh, 2012; Wang et al., 2012). Carbon dioxide sequestration from industry using a PBR will improve the GHG impact of both the PBR and the overall biofuel production process, assuming the microalgae is used for biofuel production. Additionally, as mentioned in section 2.3.4, the increased carbon dioxide concentrations in microalgal cultures can have positive impacts on microalgal productivity.

As mentioned in section 2.3.4, wastewater use in microalgal growth is essential for reducing the cost and the environmental impact of using fresh water resources and the use of fertilizer.

Lundquist et al. 2010 indicate that operational costs would increase by 10% if non-wastewater sources were used to grow microalgae due to the loss in benefit associated with wastewater treatment and reduced fertilizer use (Lundquist et al. 2010). Pending the follow on use of microalgae, glycerol and left over biomass from the downstream microalgal production process can be recycled back in the PBR growth medium to reduce the cost of nutrients required for microalgal growth (Hazlebeck & Dunlop 2010). Zhou et al. 2014's multilayer bioreactor system

(20,000 L pilot scale) used centrate wastewater (i.e., supernatant from anaerobic digesters), which has relatively high levels of nitrogen and phosphorus to supplement microalgal growth in the PBR (Min et al., 2014; L. Wang et al., 2010).

There is also the option and potential of sourcing wastewater from industrial facilities (Yun et al., 1997). This study did not consider sourcing wastewater from industrial facilities because of the unknown heavy metals corresponding to certain industrial facilities and their impact on not only algal growth but also subsequent biofuel production and waste recycling.

If an onsite PBR and associated processing facility is not co-located with a large industrial facility and piping carbon dioxide from the industrial facility to a biorefinery is not an option, the industrial facility could convert its carbon dioxide to carbonate. Several microalgal species can use carbonates (i.e. Na_2CO_3 and NaHCO_3) for cell growth (Colman and Rotatore 1995; Ginzburg 1993; Huertas et al. 2001; Merrett et al. 1996). These algae either convert the carbonate to free CO_2 to facilitate use in energy production, or they directly uptake the bicarbonate. Industrial facilities can convert the carbon dioxide from stripped flue gas to carbonate salts for storage and use to grow microalgae when required.

2.4.2 Harvesting and Pre-treatment

Figure 2.9 depicts the schematic process for removing microalgae from the aqueous solution in which it was grown. All microalgae regardless of specific origins (e.g., freshwater lake, wastewater PBR) undergo the same process to remove growth water. Current commercial production of microalgae for pharmaceutical purposes uses a centrifuge, spray dryer and bead mill for harvesting and subsequent pre-treatment (Borowitzka, 2013). Therefore, this study outlines a similar sequential process below.

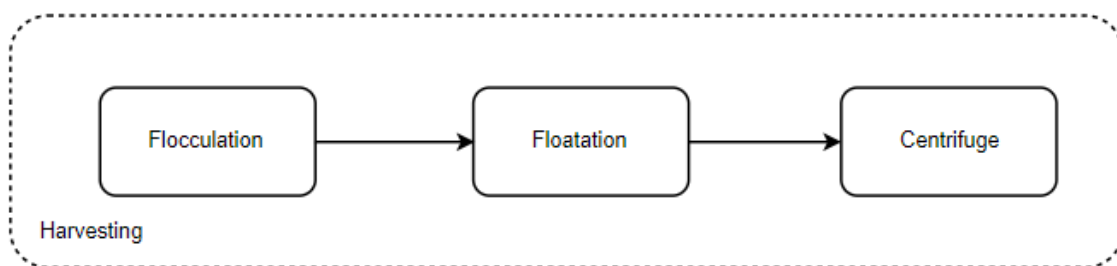


Figure 2.9: Schematic of microalgal dewatering steps

Flocculation and Filtration

After the microalgae flows from the PBR, flocculants are added to the diluted microalgal growth solution to induce the clumping of microalgal cells into larger aggregates so these aggregates can be more easily separated from water. To induce coagulation of particles, flocculants modify cellular charges by changing culture conditions (e.g., adding an acid or a base to create pH changes, inducing an electric field). Flocculation, in consort with floatation, is currently the most efficient and cost effective method of initiating the extraction of microalgae from the growth water (Wang et al., 2008).

Flocculants are inorganic or organic. Inorganic flocculants include aluminum, iron or zinc sulfates or chlorides (Chatsungnoen & Chisti, 2016). Organic flocculants include cationic polymers, starches, chitosans (i.e., linear polysaccharide), or other algae (Salim et al., 2011). Regardless of microalgal species or the residual flocculant adhering to the microalgal biomass after dewatering, organic flocculants pose little risk to the subsequent lipid extraction process (Borges et al., 2011; Chatsungnoen & Chisti, 2016).

Inorganic sodium hydroxide (NaOH) has proven a successful microalgal flocculant in several studies. Adjusting solution pH with the addition of NaOH effectively separated a *Chlorella Vulgaris* microalgae culture from solution with 98.5% efficiency (Leite & Hallenbeck, 2012). Yang et al. (2016) also found that high-pH induced flocculation using NaOH (5-7 mM) could concentrate marine *Chlorella sp.* strains 20-fold quickly with a flocculation efficiency of 90%. Sodium hydroxide is also relatively inexpensive, non-toxic and does not affect downstream processing (i.e., water removal).

Microfiltration has also been demonstrated suitable for harvesting microalgae (Hooper et al. 1998; Hung & Liu, 2006). However, current large-scale microalgal biomass production facilities do not use membrane filtration due to membrane fouling, pumping requirements and associated high costs (Singh et al. 2013; Wang et al. 2008). Strainers are more easily fouled due to algal cell release of extracellular organic material (EOM) resulting in interruptions of the filtration process that would not be suitable for large-scale algal biomass processing (Pittman et al. 2011).

Floatation

Floatation uses gravity and varying densities to separate algal cultures from solution. Once the algal culture has undergone flocculation, coagulated algae settles or floats and can be removed. Solids that are hundreds of microns in size settle, whereas solids that are tens of microns in size float. Microalgae used in wastewater treatment need to be over 70 μm in size for the sedimentation method of harvesting to be successful (Brennan & Owende, 2010). Otherwise, the sedimentation option, due to the small size of microalgae such as *Chlorella*, *Dunaliella* and *Scenedesmus*, takes significant time and requires a large surface area (Singh et al. 2013). Therefore, floatation technology is recommended as the best primary harvesting technique for microalgal biomass (Bunker et al., 1995; French et al., 2000; Green et al., 1996; Teixeira & Rosa, 2007).

Suspended-air-floatation (SAF) units and Dissolved Air Floatation (DAF) units are the two main types of floatation technology used in industry today that have been successfully used to separate algal cultures (Al-Shamrani et al. 2002; Teixeira & Rosa 2007). SAF is relatively energy efficient and requires surfactants to generate microbubbles. The reduced amount of power will likely reduce GHG impact. However, the use of surfactants will, in all likelihood, increase GHG intensity due to surfactant production as well as the additional processing required to remove the surfactant from the feedstock stream.

A DAF unit comprises of a compressor, saturator and floatation cell. To increase the air or nitrogen content of process water, the DAF compresses water in the saturator using a minimum pressure of 390 kPa (Al-Shamrani et al. 2002). The water is released into the floatation cell where the drop in pressure causes bubbles (i.e., optimally between 10-100 μm in size) to precipitate from solution in the culture medium (Al-Shamrani et al., 2002). Hydrophobic solids (e.g., algal cells) adhere to the air or nitrogen bubbles and float up to the surface of the chamber. DAF has an output of 3-6% total solids, 99% efficiency of biomass removal and requires energy input of approximately 0.015-20 kWh/m³ culture solution (Féris & Rubio, 1999; Rance Bare et al., 1975; Shelef et al., 1984; Vandamme, 2013; Wiley et al., 2011).

The DAF unit can combine the flocculation and floatation stages (Ross et al., 2000). The unit has two chambers. In the first chamber, sodium hydroxide is added to the microalgal solution to induce microalgal flocs. The flocs flow into the floatation chamber where the microalgal flocs

float to the surface and are collected by a skimmer. The water is continually recycled and circulated back into the process.

Centrifuge

A centrifuge generally follows after the flocculation and floatation of the microalgal cell culture. A centrifuge is timelier and more expensive than a straining filter system. However, strainers are also more easily fouled due to algal cell release of extracellular organic material (EOM) resulting in interruptions of the filtration process that would not be suitable for large scale algal biomass processing (Wang et al., 2008).

Centrifuges are usually decanters or disk stack. Decanter centrifuges require high inlet cell concentrations ($>10\%$), yield algal pastes of up to 40% or more in dry weight and require approximately 8 kWh/m^3 culture volume (Shelef et al., 1984; Wiley et al., 2011). Disk Stack centrifuges operate with dilute cell concentrations ($>0.02\%$), yield algal pastes of 20% dry weight and require $0.7 - 1.3 \text{ kWh/m}^3$ culture volume (Molina Grima et al., 2003; Schenk et al., 2008). The EVODOS dynamic disk settler is 95% efficient at producing a solid yields of 25-30% dry weight with relatively low energy consumption (i.e., 1 kWh/g dry wt at 0.05% feed, 0.53 kWh/g dry wt at 0.1% and 0.45 kWh/g dry wt at 0.15% feed) (EVODOS, 2011; Giang et al., 2017). As algal cell mediums leaving the floatation stage are quite dilute and disk stack centrifuges are less energy intensive, disk stack centrifuges are preferred for algal cell harvesting.

Pre-treatment

Figure 2.10 presents a schematic of the pre-treatment process. The paragraphs below explain in detail the stages in Figure 2.10.

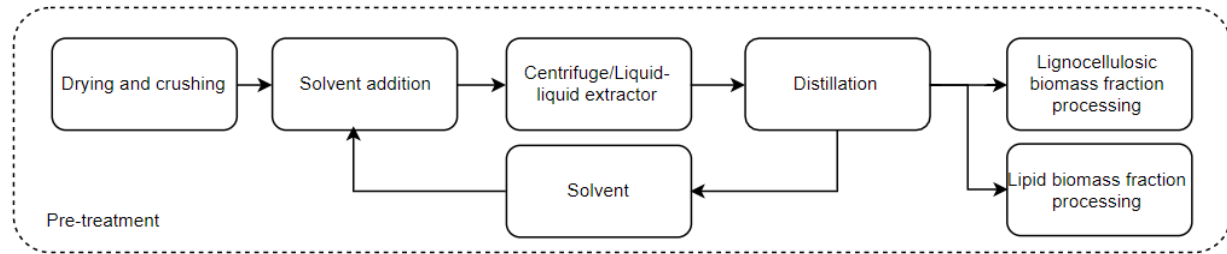


Figure 2.10: Pre-treatment process schematic for microalgal biomass – adapted from (Bradley et al. 2015; Dong et al. 2016; Gnansounou & Raman, 2016; Pegallapati & Frank 2016; Yuan et al., 2015)

Drying and crushing

Once the algal paste exits the centrifuge it is very perishable and must be quickly processed. Spray drying, roller drying, flash drying, vacuum drying or freeze-drying are all methods that can be used to dry the algal paste before further processing. Spray drying dries the algae in seconds. For algal paste with 80% moisture content, 30 kWh of electric power is required to leave algal paste with 4% water content (Petrick et al., 2013; Toptionlab, 2017). Flash drying is preferred as it allows for rapid drying with minimal power output (Petrick et al., 2013). Power requirements for flash drying is around 1.2 MWh/ton of water evaporated plus an additional 180 kWh/ton of water removed for dryer operation for a total of 3.2 kWh/kg of dried algae (GEA Engineering, 2017; Petrick et al., 2013). These power requirements are based on a 30% dry algal content entering the dryer.

Additional physical pre-treatments are used after the microalgal biomass is completely dried to help breakdown the strong bonds keeping the lignocellulosic biomass and lipids within a tightly bound fiber matrix (Lee et al., 2010; Zheng et al., 2011). Physical pre-treatment methods involve crushing the microalgal biomass with a ball mill, pulverising technology, French press or sonication technology to name a few. French presses are not appropriate for scale (Bajpai et al. 2014). As microalgal cell size can be as small as 3 μm (Hu, 2014), ball milling is not appropriate (SME China Mining Equipment, 2017). Air swept pulverisers have the technology to crush very small material (Towers, 2016). Jacobson pulverisers can produce particles as low as 5 micron (Carter Day International Inc., 2012). Power requirements for the air swept

pulveriser is approximately 90 HP (Towers, 2016), which is similar to the pulveriser power requirements from a different manufacturer (75-100 HP) (Premium Pulman PVT Ltd., 2017).

Separation

Once the microalgal biomass has been dried and crushed, the lipids are separated from the rest of the microalgal biomass. A common way to separate lipids from the rest of the microalgal biomass is by using a mixture of methanol, ethanol, chloroform or hexane solvents (Petrick et al., 2013). These solvents disrupt the hydrogen bonds and electrostatic forces between membrane bound lipids, lignocellulosic biomass and proteins (see Figure 2.11). In Figure 2.11, both pathways (#1-5) have the same steps (Halim et al., 2012). The solvent (i.e., polar and/or non-polar) penetrates the cell membrane in Step 1. The solvent interacts with the lipids and forms a solvent-lipid complex in Steps 2 and 3. Finally, the solvent-lipid complex diffuses back through the cell membrane and the static solvent film to settle in the bulk solvent in Steps 4 and 5. Hydrogen bonds strongly bind some of the lipids to proteins in the cell; thus, the complete extraction of lipids requires both polar and non-polar solvents to break these bonds (Halim et al., 2012). The solvents ideally should have a low boiling point, be non-toxic and easily recoverable, as these chemicals should be continually recycled (Chatsungnoen & Chisti, 2016).

The Bligh-Dyer method is the most common process used to extract lipids from solution (Bai et al., 2014; Bligh & Dyer, 1959). Chloroform:methanol in a 1:1 (v/v) or 1:2 (v/v) mixture is added to the microalgal biomass (Bai et al., 2014). Water can be added to the algal biomass solvent mixture and the solution separates into methanol and chloroform layers (Bai et al., 2014). Carbohydrates and proteins are soluble in methanol and lipids are soluble in chloroform (Bligh & Dyer, 1959). A liquid-liquid decanter or a centrifuge separates the two streams and distillation separates the corresponding solvents.

As chloroform is environmentally toxic (Petrick et al., 2013), other methods of separation are being considered for this study. Hexane extraction requires the addition of hexane to the microalgal biomass with the subsequent use of a centrifuge and distillation column to assist phase separation and subsequent lipid fraction separation (Dong et al., 2016). Using only hexane as the separation solvent, however, has proven to extract just over 20% of the available lipids (Petrick et al., 2013). Although these results are incongruent with those studies using hexane to

extract lipids performed by Bai et al (2014) and Dong et al. (2016). Bai et al. (2014) recovered over 90% of the available lipids and Dong et al. (2016) recovered over 87% of the available lipids. Both Bai et al. (2014) and Dong et al. (2016) used an acid pre-treatment prior to lipid extraction.

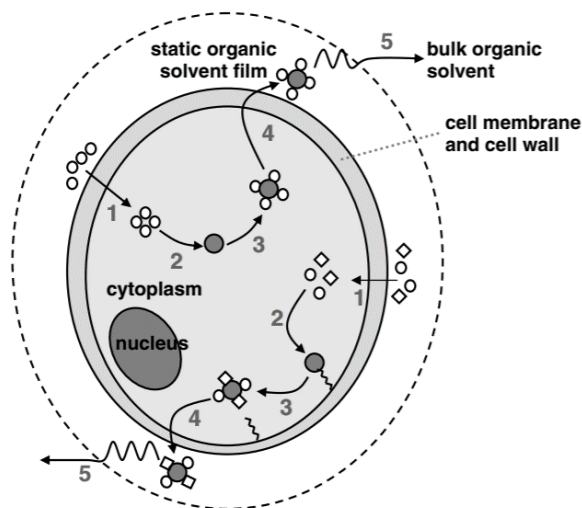


Figure 2.11: Schematic of solvent lipid-extraction mechanism for microalgal cells. Top pathway (1-5) is the mechanism for non-polar solvent (e.g., hexane) extraction. Bottom pathway (1-5) is the mechanism for the non-polar/polar solvent (e.g., hexane & ethanol) mixture extraction. Lipids (dark circles), Non-polar solvent (white circles), polar solvent (diamonds), adapted from (Halim et al., 2012)

Additional processing of both lignocellulosic and lipid fractions

The lignocellulosic biomass fraction of the microalgal biomass is subject to additional physical and/or chemical pre-treatment to prepare the microalgal biomass for conversion to fuels. This additional pre-treatment is required to further breakdown strong bonds keeping the lignocellulosic biomass within a tightly bound fiber matrix (Syed, 2012). Physical and chemical pre-treatment techniques include steam explosion, acid wash and others outlined in Harmsen et al. (2010). A combination of the two types of pre-treatment have the potential to produce better overall yields of ABE but is not always necessary (Harmsen et al. 2010).

Additional Lignocellulosic biomass pre-treatment includes an acid pre-treatment, steam treatment or heat treatment and subsequent acid neutralization. Steam treating, also known as

steam explosion, is used most often in industrial processes due to high yield. In this study's case, the appeal is the lack of additional chemical required. Steam explosion usually takes place at temperatures ranging between 160 and 260°C and at pressures ranging between 0.69 and 4.83 MPa (Taherzadeh & Karimi, 2007). Adding a catalyst decreases the time and temperature needed overall thereby decreasing the likelihood of inhibitor production during the treatment (Kumar et al., 2009). After the catalyst, typically a strong acid, is added and allowed to react, the biomass is then moved to a steam reactor, which operates at approximately 190°C and 1.3 MPa (Begum & Dahman, 2015). Once the biomass is heated and pressured, it is moved to a second, larger reactor where the biomass experiences a drastic drop in pressure that will cause it to explode. A strong base then neutralizes the treated lignocellulosic material to increase the pH.

Additional chemical pre-treatment of the lipid fraction could be used if the lipid stream has a larger amount of FFA. As mentioned in section 2.3.2, a lipid stream with large amounts of FFA is not suitable for BD production. Treating the lipid stream with dilute acid can reduce the FFA content and increase FAME yield during the transesterification process (Bai et al., 2014; Dong et al., 2016). Also, completing glycerolysis can reduce FFA and increase biodiesel yield during transesterification (Silva et al., 2014). Glycerolysis uses the waste glycerol from the transesterification process and through reactions with water/supercritical carbon dioxide (i.e., at high pressure) or with a base catalyst, produces more Triglycerides (TG), diglycerides (DG) and monoglycerides (MG) suitable for conversion to FAME (Silva et al., 2014).

Wet pre-treatment and extraction is a process that has the potential to be more sustainable. This wet treatment process would save on drying energy requirements. Dong et al. (2016) studied processing microalgae biomass using a wet pre-treatment process similar to that used in the corn ethanol industry (Dong et al. 2016; Nouredini et al. 2009). Dong et al. 2016 used a centrifuge to increase solid content of microalgal slurry to 20 wt%, pre-treated the biomass with dilute acid, fermented the entire slurry to extract ethanol (i.e., 79% sugar extracted) and then transesterified the rest of the biomass (i.e., 87% oil converted to FAME) to produce BD. The left over biomass was then assumed to be used for power and heat production. The total fuel energy yield was 126 GGE (gallons of gasoline equivalent) per ton of biomass. Dong et al. 2016 found that most of the total process cost was still associated with cultivation and harvesting of the microalgae, which includes the wet extraction process. Unfortunately, Dong et al. 2016 did not specify how

much the wet extraction process contributed to aforementioned cost, as the paper's focus was on yields rather than the breakdown of energy requirements.

2.4.3 ABE production from lignocellulosic biomass

The ABE production process consists of hydrolysis, fermentation and subsequent distillation to produce bio-acetone, bio-butanol and bio-ethanol. Besides alcohol products, fermentation of lignocellulosic biomass also produces unreacted lignocellulosic biomass, carbon dioxide and heat (Arbor, 1986). The unreacted lignocellulosic biomass can be co-digested with other process organic wastes, the carbon dioxide can be used for microalgal growth and the heat can be used for process heating requirements.

Hydrolysis

Hydrolysis is required to convert cellulose and hemi-cellulose to fermentable products.

Hydrolysis, in this case, can follow acid hydrolysis or enzymatic hydrolysis methods. Acid hydrolysis adds either dilute or concentrated acid to the algal culture to produce glucose molecules and other short polysaccharide chains (Maurice 2011). The disadvantage of the dilute acid method is a relatively low yield of glucose when compared to the concentrated acid method and enzymatic hydrolysis method. The disadvantage of the concentrated acid method, even though it produces more glucose than the dilute acid method, is that the concentrated acid method requires an additional step to recover it from solution, as it would kill the yeast required for the following fermentation step (Maurice 2011).

Enzymatic hydrolysis uses enzymes to cleave bonds between molecules with the help of water. This process occurs in several stages using several different enzymes. First, enzymes digest the lignin producing cellulose. Then, the enzymes *endocellulase* and *exocellulase* digest the cellulose to produce polysaccharides. Another enzyme, *beta-glucosidase*, is subsequently introduced to convert the polysaccharides to glucose (Maurice, 2011). The ideal pH and temperature for hydrolysis is around 5 and 50°C respectively. One disadvantage of enzymatic hydrolysis is the rate of hydrolysis decreases as the concentration of the desired product, glucose,

increases. Excess glucose inhibits the enzymes ability to convert cellulose to glucose. Another disadvantage is a given batch takes several days to convert to glucose and is relatively expensive compared to the acid hydrolysis method (Tahezadeh & Karimi, 2007).

Fermentation

Fermentation requires a microorganism (e.g. yeast or bacteria) to convert hydrolyzed glucose into alcohols. ABE fermentation occurs in two main stages. The first stage, acidogenesis, produces butyric acid and acetic acid. The second stage, solventogenesis, produces the final products acetone, butanol and ethanol (Kótai et al., 2013).

There are several fermentation strategies currently used and under study to produce ABE from lignocellulosic biomass. The two most common processes are separate enzymatic hydrolysis and fermentation (SHF) and simultaneous saccharification and fermentation (SSF) (Tahezadeh & Karimi, 2007).

SHF treats the lignocellulosic algal biomass to hydrolysis and then fermentation separately in two different vessels. The advantage here is that the optimal temperature and culture conditions can be achieved for each stage of the SHF process. Cellulose hydrolysis optimal temperature range is 45 to 50°C whereas fermentation prefers a temperature range between 30 and 37°C (Tahezadeh & Karimi, 2007). A disadvantage of SHF is the released sugar from the hydrolysis reaction can inhibit this same reaction. With as low a concentration of 3 g/L, glucose can reduce beta-glucosidase activity by 75% (Tahezadeh & Karimi, 2007). Another disadvantage of SHF is the chance of batch contamination over the one to four day process.

To overcome some of the disadvantages of SHF mentioned above, SSF combines the hydrolysis and fermentation processes. As enzymatic hydrolysis produces glucose, the fermentation yeast or bacteria subsequently consume it (Procentese et al., 2014). This assembly line like process prevents inhibition effects of glucose and cellobios found in SHF. Furthermore, since the SSF process occurs in only one vessel, the risk of contamination is reduced. A disadvantage of SSF is butanol inhibition. Butanol at high concentrations can become toxic for microorganisms. Another drawback to SSF is that the single vessel temperature cannot be adjusted for both hydrolysis and fermentation optimal temperatures. A temperature of 38°C is normally the

standard temperature for hydrolysis. However, there are some bacteria, such as *Kluyveromyces marxianus* and fused strains of *Clostridium beijerinckii* and *Clostridium thermocellum*, that have higher optimal temperature ranges and can operate at temperatures close to the hydrolysis temperature range (Saini et al. 2015).

SHF or SSF processes use either yeast or bacteria. Bacteria tend to have stricter reaction condition requirements than yeast. For example, purging the reactor of oxygen with nitrogen and creating an anaerobic environment is optimal when using bacteria for the fermentation step, as oxygen is an inhibitor of fermentation reactions (Maurice et al. 2011; Stanbury et al. 2016). Contamination is also of real concern for bacteria, whereas yeast does not require high sanitation standards since it has such a fast growth rate. Another advantage of using yeast is that it can be purchased and used without alteration whereas bacteria need to be inoculated and scaled up before use (Pfromm et al., 2011). However, yeast tends to operate at lower than optimal temperatures for ABE fermentation making SSF a less desirable option when using yeast. Moreover, using yeast for the fermentation process requires the growth and addition of enzymes, whereas bacteria create their own required enzymes. Therefore, even though bacteria are more sensitive, they are the better choice for SSF.

In recent years, new studies have modified ABE production by genetically engineering *Clostridium acetobutylicum* and *Clostridium beijerinckii* bacteria strains to improve the fermentation process (Castro et al., 2015; Ellis et al., 2012; Huesemann et al., 2012; Syed, 2012; Yen & Wang, 2013). These modifications have improved ABE yields, and specifically bio-butanol yields. A study conducted by Begum & Dahman (2015) found a fused *Clostridium beijerinckii* with *Chlostridium thermocellum* (CbCt) achieved a 10% higher yield of butanol and a 26% higher yield of ABE (i.e. gram ABE/ gram sugar) than the reigning study (Begum & Dahman, 2015). Even though the feedstock used to produce ABE was wheat straw, it can be assumed that cellulose and hemicellulose from microalgal biomass has the potential to produce similar results (Ellis et al., 2012; Qureshi et al., 2006).

There are several different types of reactors used for fermentation. These include continuous stirred tank reactors (CSTR), bubble column reactors, airlift reactors, and fluidized bed reactors (Spier et al., 2011). The preferred fermentation vessel for sensitive organisms is an airlift bioreactor. An airlift bioreactor uses nitrogen gas for mixing and not a more invasive

mechanical stirrer used in a CSTR (Spier et al., 2011). An airlift reactor has gas emerge and rise from all points along a tube running through the centre of the reactor. This design makes for effective mixing.

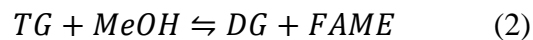
2.4.4 Biodiesel production from lipids

Microalgal lipids have a high viscosity and are thus incompatible for direct use in a CIE (Silva et al., 2014). Therefore, as indicated in section 2.3.2, the BD production process converts lipids to FAMES and FAMES are compatible for use in a CIE. Triglycerides (TG) and TG derivatives (i.e., monoglyceride (MG) and diglyceride (DG)) are the easiest lipids to convert to FAMES. If the microalgal oil has a high acid number (i.e., high FFA content), the pre-treatment of FFAs with dilute acid allows the additional conversion of FFA to FAMES during the subsequent transesterification process (Dong et al., 2016). Section 2.4.2 outline the dilute acid treatment and glycerolysis processes. TG, DG and MG are converted to FAME and glycerol (GLY) by the overall transesterification reaction (1) through the stepwise reactions (2) through (4) (Silva et al., 2014)

Overall reaction:



Stepwise reaction:



One mole of FAME is generated per mole of methanol (MeOH) reacted in each of the above reactions (2-4), which produces three moles of FAME (Ataya et al. 2007). Although methanol can be obtained from renewable sources for this reaction, it is usually derived from natural gas, a non-renewable resource (Knothe, 2010).

Either an acid or base catalyst is typically used to facilitate the transesterification process (Ahmad et al. 2013; Kumar and Jain 2014; Xu et al. 2006). Types of catalysis include sulfuric acid, hydrogen chloride and sodium hydroxide (Kumar & Jain, 2014). Table 1 in Liu et al. (2011) includes a list of common catalysts (Liu et al., 2011).

Industrial operations with commercial biodiesel production employs homogeneous alkali catalysts as they produce higher yields and faster reaction rates (Alsalme, 2008; Silva et al., 2014). These alkali catalysts need to be washed out of the FAME and glycerol product phases in order to be recirculated (Silva et al., 2014). There is also the option of using a solid base catalyst, thus circumventing the washing requirements (Liu et al., 2011; Silva et al., 2014). Ahmad et al. (2013) found a 92% BD yield from oil extracted from a mixed microalgal culture grown in wastewater using the solid base catalyst, sodium methoxide. The sodium methoxide was separated out of the BD/glycerol phase using a separating funnel under vacuum (Ahmad et al., 2013).

The main reason for lower rates of transesterification reactions using acid catalysts is the mass transfer limitations; the acid and alcohol form immiscible phases in the system (Kelkar et al., 2007). Regardless, transesterification uses acid catalysts instead of alkaline catalysts to allow for varied feedstock. If the BD production process uses mixed strain microalgae and the oil has an FFA content of larger than 0.5%, an alkaline catalyst would produce large amounts of soap formation. This soap formation would make the removal of the BD from the glycerol more difficult, thus resulting in reduced overall BD yield (Guan et al., 2009). The water concentration of the microalgal lipid solution should be limited to less than 0.1% water as water too leads to soap formation when using an alkaline catalyst (Guan et al., 2009). Using an acid catalyst, such as hydrosulfuric acid, requires long transesterification reaction times (i.e., more than 1 day) therefore, heat and high concentrations of methanol are used to speed up the process (Guan et al., 2009; Kumar & Jain, 2014). It is these difficulties and drawbacks that are the main impediments of producing renewable fuel from microalgal oils (Kumar & Jain, 2014).

To avoid the use of catalysts all together, the transesterification process can proceed using supercritical conditions. Super critical CO₂ is the most popular supercritical fluid because of its low critical parameters and ready availability (Bernal et al., 2012). However if supercritical methanol is used in the transesterification process, it acts as not only a catalyst and solvent, but

also a needed reactant for the process (Kusdiana & Saka, 2004). The critical parameters for methanol are 239.6°C and 8.09 MPa, therefore, the transesterification process must be at least this temperature and pressure (Bernal et al., 2012).

Another advantage of the non-catalytic supercritical methanol transesterification process is the output of highly purified extracts (i.e., FAME and glycerol) (Patil et al., 2012). This process eliminates a neutralization step as no catalyst is used and FFAs are converted to FAMEs along with TG and TG derivatives, which increases the overall BD yield (Kusdiana & Saka, 2004). Unlike the subcritical traditional transesterification process, water does not affect the supercritical process (Kusdiana & Saka, 2004). Conversion of oil to FAME is rapid (i.e., less than 3 minutes) with a 98% conversion at 10-20 MPa, between 375 and 400°C with a methanol:oil ratio of 3:1 to 6:1 (Bernal et al. 2012; Marulanda et al. 2010; Pinnarat and Savage 2008). Drawbacks of this process are high operational energy consumption, high equipment cost and safety concerns associated with operations at supercritical conditions (Bernal et al., 2012)

Ultrasonic and hydrodynamic cavitation can also facilitate the transesterification process (Kumar & Jain, 2014). Ultrasonic power used for enhancing transesterification has low maintenance costs, reduces process time and is functionally an environmentally friendly technology (Mason & Lorimer, 2002; Suslick, 1989). Ultrasonic heating produces cavitation in the reactor resulting in emulsion droplets forming between the alcohol and oil phases (Mostafaei et al., 2015). Naderloo et al. (2017) used low frequency ultrasonic power (28 and 40 kHz) to convert vegetable oil TG, FA and FFA into BD using methanol in the presence of each of the three catalysts in separate trials: NaOH, H₂SO₄ or KOH (Naderloo et al. 2017). Naderloo et al. (2017) found that with significantly less ultrasound than in previous studies, the amount of catalyst could be reduced, the amount of methanol could be reduced, and saponification could be virtually eliminated with a final oil conversion of between 95 and 97%. Experiments required 6:1 molar ratio of methanol to oil, a catalyst quantity of 1% by weight, 45°C temperature and 3 minute reaction time. Overall energy input required was 36.652 MJ/L of BD produced and output energy was 47.005 MJ/L of BD produced. This energy ratio resulted in an ERI of 1.283 (Naderloo et al., 2017).

Transesterification with hydrodynamic cavitation carried out by Kelkar et al. (2007) with methanol used a molar ratio of FA to alcohol of 1:10). The process requires excess methanol since the water formed during the esterification reaction dissolves in this excess methanol

(Kelkar et al., 2007). The process also requires a catalyst of 1% (w/w) of H_2SO_4 . Ambient operating conditions of 28°C , 1 atm pressure and reaction time of 3 hours was able to produce an over 90% conversion (mol%). Superacid clay slowed down the reaction time and the heterogeneous catalyst could not be recycled in this case, which is a drawback. Regardless, cavitation in combination with acid and methanol is an excellent way to achieve process intensification of biodiesel synthesis (Kelkar et al., 2007). The power usage for this process was a 1.5 kW multistage centrifugal pump used for cavitation purposes. Hydrodynamic cavitation proved a more energy efficient way of creating turbulence that will make acid and alcohol more miscible when compared to traditional transesterification without cavitation (Kelkar et al., 2007).

CSTRs are the most common reactor used in industry today and are suitable for the transesterification process (Fogler, 2006). CSTRs can be modified to operate under supercritical conditions as required. CSTR operate at steady state (i.e., same temperature, pressure and reaction rate throughout). The reactor receives a continuous stream of reactants and outputs a continuous stream of products and by-products. Cleaning the reactor is relatively easy and its operation inexpensive, when compared to other reactors (i.e., batch reactors). CSTRs do not need to be opened on a regular basis; interrupting production is only required twice a year for maintenance (Fogler, 2006).

2.4.5 Recovery of products

Distillation, adsorption, gas stripping, liquid-liquid extraction, pervaporation or evaporation methods will recover bio-acetone, bio-butanol and bio-ethanol. The most widely used practice in the fuel refining industry for recovery of fuel products is distillation (Kujawska et al., 2015). Separation by distillation occurs due to differences in material volatilities. Long tube vertical evaporators in industry today are the most efficient units available to separate solutions by distillation. Long tube vertical evaporators, specifically the falling-film type, use gravity to help the solution form a thin film moving down the inner wall of the tube. Gravity speeds up the process reducing the amount of time the solution spends in the tube and yielding higher heat-transfer coefficients. The higher the heat transfer coefficient ($\text{W}/\text{m}^2\cdot\text{K}$), the faster the heat transfer, and the faster, in this case, the solvent evaporates. Distillation is favourable because of

its high recovery rate, multi-stage operation and ease of scale up. A disadvantage of distillation is the energy required for this process when dealing with low alcohol concentrations.

Condensers are required to convert the vaporized fuels back into liquids for transport and distribution. Shell and tube heat exchangers often use water as the cooling fluid. These heat exchangers do require regular maintenance to prevent tube fouling and can be quite large, but their versatility (e.g., installation positions and use) and low operating costs outweigh these disadvantages.

After the transesterification process, as much of the extra methanol as possible is evaporated, condensed and recycled. This process uses similar equipment mentioned in the paragraphs above. Recovery of BD (FAME) and residual oil from glycerol use a centrifuge or liquid-liquid decanter. The density of glycerol and FAME is approximately $1,261 \text{ kg/m}^3$ and 874 kg/m^3 respectively. Due to the differences in density, the top of the decanter draws the light FAME and the bottom of the decanter collects the denser glycerol and adhered residual methanol not previously evaporated. Although using a decanter takes more time per volume of solution than a centrifuge, it is simple, operates continuously and a lot less expensive than a centrifuge (Ahmad et al., 2013). FAMES can then be evaporated to separate them from the residual lipid stream and then condensed for subsequent refining, transport and distribution.

2.4.6 Refining Biodiesel and ABE

After evaporating and condensing the FAME product to remove the FAME from the residual lipids, there may be additional refining or processing required to ensure the BD is at standard for use. Additional washing with petroleum ether and water as well as subsequent evaporation may be required (Kumar & Jain, 2014). This washing would require additional materials and energy. It is likely that these additional processes would be completed at a different facility (i.e., a petroleum refining facility) as this facility would likely already be the hub for subsequent fuel transport and distribution (Graham, 2011).

Neat or 100% ethanol (or butanol) is usually prepared by azeotropic distillation (Wittcoff et al., 2004). The mixture requires benzene for the distillation process. The ternary azeotrope that

distills takes the benzene and water with it, leaving anhydrous alcohol as the bottom product. Another method of alcohol purification uses countercurrent extraction with glycerol or ethylene glycol. The added component (i.e., glycerol or ethylene glycol) hydrogen bonds to the water and allows anhydrous alcohol to be drawn from the top of the processing column (Wittcoff et al., 2004). Similar to follow-on FAME processing, a petrochemical facility will likely complete the alcohol refining for use as fuel for the same reasons as above.

2.4.7 Anaerobic digestion and power generation

Digestion

Figure 2.12 depicts the part of the wastewater treatment process that involves the anaerobic digestion process associated with nutrient recycling and power generation.

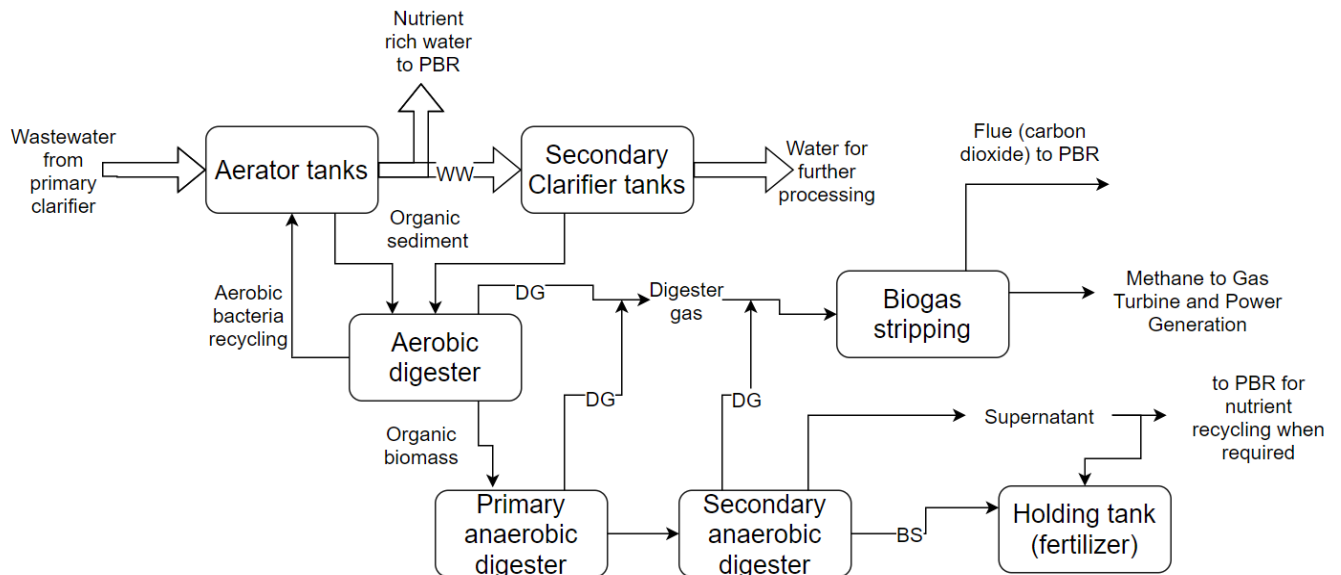


Figure 2.12: Wastewater treatment process flow as it concerns microalgal biomass growth, nutrient recycling and power generation, WW – wastewater, DG – digester gas, BS – biosolids, modified from (Tchobanoglous et al. 1991; Yun et al. 1997)

Anaerobic digestion is the biological conversion of organics to biosolids and biogas (i.e., referred to as digester gas in Figure 2.12) in an oxygen free environment (Parkin & Owen, 1986). Both biosolids and biogas are important products for use in the microalgal biomass production

process. The supernatant from the secondary anaerobic digester contains nitrogen and phosphorus from the microalgal biomass and can be recycled continuously for microalgal biomass growth as required (Kim et al. 2015). The biosolid portion can be used as fertilizer for farms in the local area (Gómez et al. 2006). Biogas components (i.e., carbon dioxide and methane) help supplement carbon in the PBR for microalgal growth as well as on-site power generation.

To maintain the fermentation process and subsequent biogas and biosolid formation in the anaerobic digesters, it is important to maintain a stable population of methane forming bacteria (Parkin & Owen, 1986). To do this, optimizing digester temperature, adequate mixing, long enough retention time, adequate nutrients and the absence of toxic materials is required.

In order to maintain temperature and mixing requirements, digesters require thermal and electrical energy (Frank et al. 2011). Approximately 30% of the heat value of burning biogas is sufficient to provide enough heat to maintain digester temperature (Collet et al., 2011).

Therefore, it can be assumed that when biogas is burnt for power generation purposes, this heat generated will be sufficient to maintain a 35°C digester temperature. Electrical power requirements for mixing are 0.11 kWh/kg-TS (Collet et al., 2011). Total solids (TS) is the solid organic content in the digester.

Another key factor to maintaining a stable population of methane forming bacteria is an adequate retention time in the digester to allow substrate metabolism and to prevent washout of bacteria (Barrie wastewater treatment facility, 2017; Parkin & Owen, 1986). Minimum adequate time is 10 to 15 days in primary anaerobic digester and 15 days in the secondary anaerobic digester (Barrie wastewater treatment facility, 2017). Thus, SRT is a very important and often limiting factor concerning bacterial culture maintenance (Parkin & Owen, 1986).

Maintaining nutrient balance within the digester is also important to maintain bacteria culture. Considering protein will dominate inputs to the digesters if all wastewater is maximized for microalgal growth, there will be a need to maintain optimal nutrient levels for the bacteria (Olsson et al., 2014). This might require more than glycerol inputs from the transesterification output.

Biogas from the digestion process consists primarily of methane (50-70%) and carbon dioxide (30-50%) and also trace amounts of dihydrogen sulfide, water vapor, ammonia and siloxanes (Parkin & Owen, 1986; Shen et al. 2017). The digester produces a certain amount of methane and carbon dioxide depending on the final amount of lignocellulosic biomass, proteins and lipids that enter the digester. An added advantage of digesting a multitude of organic material, wastewater organic material and microalgae, is the increase in carbon dioxide yield and biological methane potential (BMP) (Ağdağ & Sponza, 2007; Jingura & Matengaifa, 2009; Olsson et al., 2014). Table 2.11 contains the methane yield for lignocellulosic biomass, proteins and lipids.

Both methane and carbon dioxide are valuable components of the biogas. The carbon dioxide can be recycled back to the PBR as a carbon nutrient supplement and the methane can be substituted for NG for power generation (Wiley et al., 2011). For this to occur, the biogas is stripped to separate the carbon dioxide and methane (Kapdi et al. 2005). The stripping energy requirements range from 0.15 to 0.5 kWh/N·m³ (N refers to the temperature measurement at STP) (Bauer et al. 2013). The methane burnt in a gas turbine to turn a generator for on-site power production as part of a power generation system of less than 5 MW had a power generation conversion efficiency of 30% (Cengel & Boles, 2002; Frank et al., 2011).

Table 2.11: Biomass methane yield from anaerobic digestion (Frigon & Guiot, 2010)

Biomass	Methane yield
Carbohydrates (lignocellulosic biomass)	0.37 m ³ /kg
Proteins	0.51 m ³ /kg
Fats (lipids)	1.0 m ³ /kg
Plant biomass	0.48 m ³ /kg

Observed trends in biogas usage in urban areas larger than 150k in the US and 50k in Canada found that 66% of facilities had an anaerobic digester system and of those only 35% had an energy recovery system (Lackey et al. 2015). This means that some wastewater treatment plants do not have the facilities to recycle nutrients for PBR growth nor do some have the ability to reduce their power consumption with an on-site power generation system. Ideally, a process,

such as the one in this study, would require a biofuel processing facility co-located with a wastewater treatment plant with digesters and co-generation.

2.4.8 Green Process Engineering

The term “Green Process Engineering” or “Green Engineering” emerged in the last two decades in education and industry circles because of growing environmental concern with traditional manufacturing and processing of materials (García-Serna et al., 2007; Poux, 2014a, 2014b; Toulouse INP (Universite), 2018). Green Process Engineering (GPE) is defined as the design, commercialization and use of feasible and economical processes and products while minimizing a) the generation of pollution at the source and b) the risk to human health and the environment (Patel et al., 2014).

Inroads have been made in education and industry concerning the expansion and understanding of GPE (Toulouse INP (Universite), 2018; University of Western Ontario, 2018). International working groups, such as the Toulouse INP conference, have and continue to focus on introducing and discussing GPE concepts. The University of Western Ontario has created a GPE based chemical engineering degree and Environmental Engineering is offered in several Canadian universities and colleges (Kimantas, 2014; University of Western Ontario, 2018). The emphasis in GPE in industry, however, as per the definition, continues to focus on economic feasibility. This hierarchy leaves closed loop systems and environmental risk as secondary priorities or primary priorities only if they reduce cost.

Naturally, the economic feasibility of a new green engineering project is an undeniable requirement given the current economic system. However, in addition to a cost analysis, including proof of a green engineering process could provide a company with grant capital that could shift the balance between start up project economic unfeasibility and feasibility. For example, as explained in section 2.2.2, the Ontario government’s CleanTech strategy supports companies developing green engineering processes (The Government of Ontario, 2018). With this new strategy, the Ontario government is not only looking to streamline the environmental compliance process and provide opportunities to acquire capital for green *energy* companies, which has been the focus for several years, but for bio-based companies in general (The Government of Ontario, 2018). Once a project completes its overall cost-analysis, there is

already an understanding of material requirements and sources. At this point, completing a preliminary LCA would be straightforward and would provide good proof of “green engineering” for CleanTech associated grant applications. Furthermore, completing a preliminary LCA would serve to shed light on environmental invasive and energy intensive impact areas, which could foster design changes prior to project planning phases that offer reduced flexibility in overall concept (Hauschild et al., 2004).

2.5 Life Cycle Assessments of microalgal biodiesel

A life cycle assessment is a method to assess the life cycle impact of a particular product or process (International Organization for Standardization, 2006a). The life cycle of a product, for example, consists of all the stages the product experiences and influences throughout its lifetime. In Figure 2.13, the technosphere depicts how anthropogenic processes draw material from the natural environment in order to produce a product and then the technosphere is required to put the material back into the natural environment when the product reaches the end of its anthropogenic usefulness (Thinkstep GaBi, 2017).

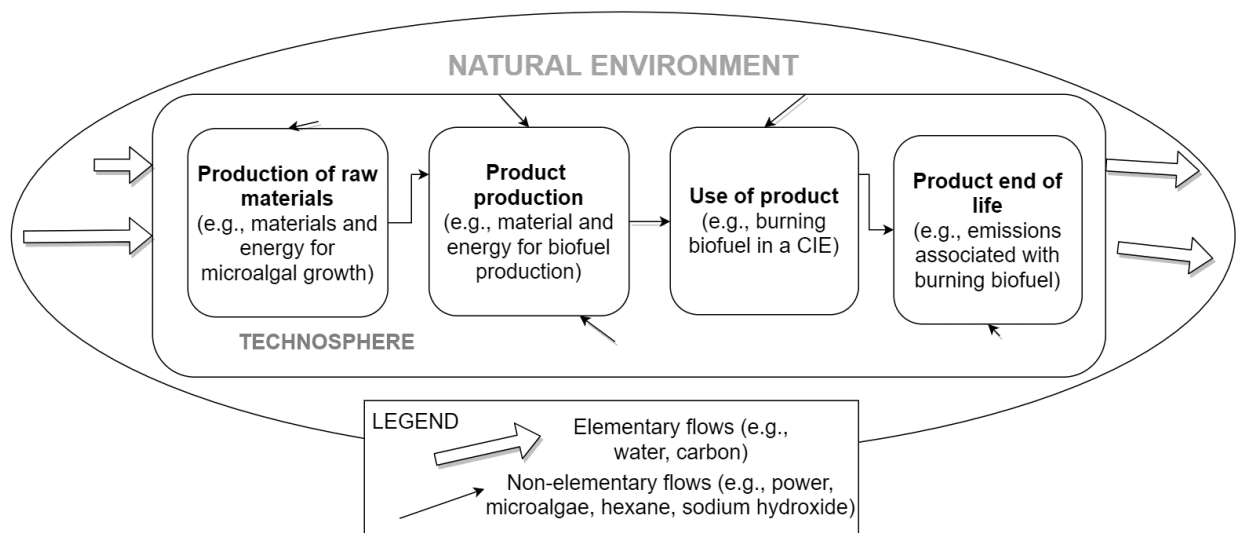


Figure 2.13: Life cycle of a product or process - adapted from GaBi (Thinkstep GaBi, 2017)

The flows of material to and from the natural environment are called elementary flows (Thinkstep GaBi, 2017). These flows are the movement of natural material such as water, wood, coal etc to, from and within the natural environment. Non-elementary flows represent the movement of material within the technosphere (Thinkstep GaBi, 2017). This material does not interact with the natural system. For example, steel is manufactured by combining iron, carbon, nickel, titanium and potentially other metals depending on the steel characteristics required. This manufacturing process produces an elementary flow that draws material from the natural environment. The steel then flows (i.e., a non-elementary flow) or moves to the use phase where it is used to build a structure. This non-elementary flow also requires energy and the use of other materials. At some point however, steel will reach the end of its life and will need to be recycled or reduced to its natural components in order for these components to return to the natural environment as elementary flows.

A life cycle assessment quantifies both the elementary flows and the non-elementary flows associated with the production of a product or process (Thinkstep GaBi, 2017). As all of these flows require energy and material, the amalgamation and quantification of these flows determine the overall impact of the product's life cycle. When looking at any kind of impact (e.g., environmental), the inputs and outputs of a product or process are quantified based on the impact category. Table 2.12 includes different impact categories (e.g., climate change, energy use) and their associated units of measurement. If, for example, climate change were an impact category requirement as part of the life cycle assessment of a product, the quantity of all material and energy used to produce this product as well as the material and energy required to recycle and dispose of it, in terms of elementary and non-elementary flows, would be acquired. Then these values would be converted to the unit associated with the impact category "Climate Change", which is kilograms of equivalent carbon dioxide (kg CO₂e) (International Organization for Standardization, 2006a). The amalgamation of all the elementary and non-elementary flows in terms of g CO₂e would represent the impact that this product's life cycle has on the natural environment, specifically in terms of Climate Change (International Organization for Standardization, 2006a).

Table 2.12: Examples of key LCA variables associated with environmental impacts (Bare et al., 2000; International Organization for Standardization, 2006a)

LCA Variables	Definition			
Impact Category	Environmental concerns to which Life Cycle Impact Assessment results are assigned	Climate Change	Fossil depletion	Energy use
Impact Category Indicator	Environmental result of impact category concern	Increased Radiative Forcing	Scarcity	Consumption
Categorization Factor (also known as a mid-point category*)	A quantifiable representation of an impact category in order to aggregate results within the impact category	Global Warming Potential	Displaced quantity	Quantity consumed
Units	Measurement of the categorization factor	kg CO ₂ e (based on energy, renewable or otherwise)	kg of oil eq	MJ of energy (renewable or otherwise)
End-point category	Ultimate impact of the impact categories	Human health and ecosystem quality	Resource availability	Resource availability

Three main areas of variance differentiate environmental microalgal BD LCAs. These areas of variance are process pathways, boundary selection and co-product impact allocation (Quinn and Davis, 2015). Co-products are the multitude of products produced in consort with BD from microalgae. These include fertilizer, animal feed, pigments, solvents, pharmaceuticals and other biofuels such as bio-methane, bio-ethanol and bio-butanol (Broch et al., 2014; Maddi et al., 2016; Wiley et al., 2011).

The differences between microalgal BD process pathways in LCA studies can allow for comparison between different processes, which is very useful for individuals trying to determine which process has the least environmental impact. However, boundary differences and co-product allocation differences can make it more difficult to make comparisons between studies. The following sections describes the areas of variance in microalgal BD LCA models and present the most current LCA microalgal BD studies and their associated climate change

impacts. The section also includes some RD and LS diesel climate change data for context and comparison purposes.

2.5.1 Process pathways

Processes used to produce microalgal BD and similar co-products differ between LCA microalgal biodiesel studies. This variance is valuable because it allows for the comparison between process chains. For example, Frank et al. (2013) completed a study that compared the production of RD using a lipid extraction (LE) method to the production of RD using a hydrothermal liquefaction (HTL) method. Both processes used the same microalgae grown in an open pond with the same harvesting methods (i.e., DAF and centrifuge). Both processes subjected the wet microalgae to high-pressure homogenization. After homogenization, one process used hexane extraction for the LE method and the other process used hydrothermal liquefaction for the HTL method. The aqueous product from both methods went to a catalytic hydrothermal gasification (CHG) processor to produce biogas and CHG aqueous product. The aqueous product cycled back to the pond for nutrient supplementation and the biogas went to a co-generation facility to produce power. The final stage of the process upgraded the bio-oil from both LE and HTL processes using hydrogen. The LE bio-oil and the HTL bio-oil required different amounts of hydrogen due to their different amounts of oxygen and nitrogen in the product fuel. In this example, it is easy to compare the climate change impact of the LE and HTL processes to create RD. As seen in Table 2.13, the overall GHG emissions of the LE process (3.7 kgCO_{2e}) is less than that of the HTL process (5.4 kgCO_{2e}).

Table 2.13: Frank et al. (2013) GHG results for LE and HTL methods to produce RD

Study Parameters	Net GHG Emissions	Net GHG Emissions (based on 183 MJ or 100km travelled in a compact CIE vehicle)
WTW, from LE (Lipid Extracted Algae), biogas co-product	21.50 kgCO _{2e} per MMBTU (1055 MJ)	3.7 kgCO_{2e}
WTP, sequestration included, from LEA, biogas co-product	-56.00 kgCO _{2e} per MMBTU	-9.7 kgCO _{2e}
PTW, combustion only, from LEA	77.5 kgCO _{2e} per MMBTU	13.44 kgCO _{2e}

WTW, HTL (Hydrothermal Liquefaction), biogas co-product	31.00 kgCO ₂ e per MMBTU	5.4 kgCO₂e
WTP, sequestration included, from HTL, biogas co-product	-46 kgCO ₂ e per MMBTU	-7.8 kgCO ₂ e
PTW, combustion only, from HTL	77.5 kgCO ₂ e per MMBTU	13.44 kgCO ₂ e

2.5.2 Boundary selection

Boundary selections also differentiate microalgal biodiesel and co-product production LCA studies. As seen in Table 2.13, a well to wheel (WTW) boundary differs from a well to pump (WTP) and a pump to wheel (PTW) boundary. The WTW boundary is synonymous with the entire life cycle of a fuel product: production to end of life. The WTP boundary is synonymous with the portion of the life cycle of a fuel from production to the pump station, before it is used for transportation purposes. The PTW boundary is synonymous with a fuel’s use and end of life stage (i.e., consumed in a combustion engine). These boundaries need to be the same for effective comparisons.

There are also other ways boundaries in LCA studies can differ that lead to misleading comparisons. For example, Yuan et al. (2015) completed a WTP study assessing the GHG and energy impact associated with producing microalgal BD and co-products without including infrastructure construction energy, infrastructure and equipment material impacts, maintenance of operation equipment impact, or waste management energy (Yuan et al., 2015). Most microalgal BD studies do not include life cycle impacts other than operational impacts (see Table 2.14 title). The reason for this boundary cut off includes study time constraints or corporate knowledge constraints. Regardless, each LCA study needs to identify these boundary constraints in order for that study to be used comparatively.

2.5.3 Co-product allocation

Co-product allocation variation between microalgal BD studies can further complicate the comparison results if it is not clear in the calculations how these co-products contributed to the overall environmental impacts. If a researcher cannot distinguish the impact of the co-product from the overall results, it limits how the researcher can compare the study with another. For

example, Sander & Murthy (2010) presented all the GHG impacts associated with each stage of the microalgal BD production process (Table 4 of Sander & Murthy, 2010). Total GHG impact of the microalgal BD process is 253 kgCO_{2e} per 1,000 MJ of BD. The study also included the total value of GHG impacts associated with the co-product: 273 kgCO_{2e} per 1,000 MJ of BD (i.e., co-product credit). This made assessing the GHG impact of the microalgal BD production system separate from the co-product impact simple.

2.5.4 Microalgal BD LCA GHG impacts

Overall, studies have concluded that BD from renewable resources has less greenhouse gas impact than diesel due to the sequestration capacity of the fuel biomass (Nanaki & Koroneos, 2012) (see Figure 3 in reference). As seen in Table 2.14, emissions produced from WTP stages, in the cases of Sander & Murthy (2010) and Batan et al. (2010), are less than the emissions emitted to produce the similar WTP phases of fossil fuel (see Table 2.15) (Frank et al., 2013; GREET 2017). These results do not necessarily mean that the GHG impact of the production of microalgal biofuel is less than the GHG impact associated with the production of fossil diesel. The sequestration of carbon offsets the overall WTP results in favour of microalgal fuel. Therefore, to make a true comparison between process pathways, the full WTW results are required. Thus for comparison purposes, the full WTW GHG impact values for microalgal biodiesel and fossil diesel must be determined. In the far right column of Table 2.14, fuel combustion GHG emissions were added to WTP study values such that all studies have final WTW values.

For all studies, microalgal BD and associated co-products produce net positive GHG emissions for the full WTW environmental assessments (see Table 2.14). It is expected that there will be some greenhouse gas impact associated with the production of BD as fossil resources are still very much a part of the anthropogenic material and energy systems (Pfromm et al., 2011). For example, Zaines & Khanna (2013) found that the *WTP* least GHG intensive microalgal BD production process used wet extraction to produce BD, power and heat (30 gCO_{2e} per MJ of fuel). Whereas, the *WTP* most GHG intensive process used dry extraction to produce RD in consort with power, fertilizer and propane using AD (240 gCO_{2e} per MJ of fuel). Overall,

Zaimes & Khanna (2013) found total WTW GHG emissions per 100 km traveled range between 23.4 and 61.9 kgCO₂e.

Sander & Murthy (2010), Batan et al. (2010) and Frank et al. (2011) produce microalgal BD with less GHG impact than fossil diesel. All three studies use different processes, produce different co-products and allocate these co-products differently. Frank et al. (2011) uses a traditional open pond system for microalgal growth whereas Batan et al (2010) uses a polyethylene bag system. Sander & Murthy (2010) indicate the lipid-extracted biomass will be feedstock for bio-ethanol production. Thus, Sander & Murthy (2010) use the system expansion method, described in Chapter 3, for co-product allocation to credit the microalgal BD process with the GHG saved by not having to produce the extra feedstock for bio-ethanol production. Similarly, Batan et al. (2010) indicate that the glycerine and lipid extracted algae co-products will be used to market substitute petrochemical glycerine and fish feed respectively. Thus, credit will be given to the microalgal BD process based on the GHG emissions associated with the production of petrochemical glycerine and microalgal fish feed. None of these studies use a PBR system for microalgal growth. As mentioned in section 2.4.1, GHG impact for PBR growth is usually greater. However, given the variability in climate in northern regions, a PBR growing system is not negotiable if consistent microalgal output is required (Chen et al. 2011; Singh 2012).

Batan et al. (2010) and Frank et al. (2011) developed similar models to the one assessed in this study. Hence the model processes for both Batan et al. (2010) and Frank et al. (2011) are outlined in the following paragraphs.

Batan et al. (2010) produces the lowest GHG impact of the three studies (4.2 kgCO₂e per 100km driven in a CIE vehicle). Batan et al. (2010) used a pilot scale polyethylene bag pond system. This model is based on a 315 ha facility producing microalgae for 1 year. Microalgal growth is 260 mg/L·hr with a 60 wt% lipid content. The study's pilot plant is located adjacent to a source of CO₂ in order to supply CO₂ at 2% (v/v). The microalgae moves through a flocculation and centrifuge process for harvest. Lipids are extracted using a shear mixer, decanter, hexane/ethanol solvent and distillation units (i.e., for solvent recovery). Counter flow heat exchangers recover any process heat, and transesterification with methanol and sodium methoxide catalyst produce BD. As mentioned above, co-product credits include glycerine and fish feed. Transport and distribution of the BD final product is included in the model.

Frank et al. (2011) produces the second lowest GHG impact of the three studies mentioned above (10 kgCO_{2e} per 100 km traveled in CIE vehicle). As also mentioned above, Frank et al. (2011) use a pond for microalgal growth. No chemical flocculants are used and bioflocculation and settling are the first harvesting stage. A centrifuge is used to remove approximately 30 wt% water and a homogenizer is then used to disrupt the wet microalgal cells prior to extraction. No dryer is used. Hexane extracts lipids, and traditional base-catalyzed transesterification produces BD. Lipid-extracted algae moves through an AD to produce power in a CHP facility, and fertilizer. Process energy and heat offset the energy and heat requirements of the process; the heat and energy is subtracted from the total requirements. Nutrient and carbon dioxide recycling is internal with no co-product credits for additional fertilizer produced due to the GHG impact of fertilizer use. Surprisingly, even though a subsidiary of the U.S. Department of Energy, a proponent of wastewater use for microalgal fuels completed this study, it does not use wastewater and instead uses traditional fertilizers (i.e., urea and diammonium phosphate). The study does mention that nutrients from these fertilizers are continually recycled thus substantially reducing the GHG impact.

2.6 Summary

Biodiesel and alcohol fuels were the industry standard prior to the expansion of fossil based fuels in the 1950s (Arbor, 1986). Since liquid fuels will continue to be required to some extent into the future (Allan et al., 2010) and the government of Canada is actively looking for ways to reduce GHG, there is reason to reinvent a more bio-based fuel system.

Since the 1980s, BD has been known to be not only readily biodegradable, but capable of use in CIE vehicles without engine modifications (Arbor, 1986). BD biorefineries already exist today in Canada producing BD from other renewable feedstock and thus have the ability to acquire oil from other feedstock, such as microalgae, for BD production (Biodiesel Magazine, 2017).

A variety of microalgal genera are suitable for biofuel production, specifically biodiesel and alcohol fuels, due to high biomass productivity and high lipid and carbohydrate content (see Table 2.4). Even cyanobacteria populating fresh water lakes can be advantageously sourced for

biofuel production if the infrastructure is there to take advantage of this resource (Karatay & Dönmez, 2011; Ma, 2016).

A biofuel facility producing microalgae can act concurrently as a source of wastewater treatment, carbon sequestration source and biofuel biomass feedstock source (Bhola et al., 2014; Ma, 2016; Yun et al., 1997). Nutrients such as nitrogen, phosphorus and carbon, would be readily recycled internally for continued microalgal growth without the requirement of additional fertilizers or water. Anaerobic digestion and co-generation equipment already exist in just under 50% of all wastewater treatment plants in Canada supplying a city of over 150k people (Lackey et al. 2015). Therefore, recycling nutrients and reducing overall operation power requirements would be possible on-site with existing infrastructure.

LCA results on microalgal BD and co-products indicate that GHG emissions required to produce and use microalgal BD are slowly decreasing and are sometimes less than the GHG emissions require to produce and use fossil diesel (see Table 2.14 and 2.15). Adding ABE production to the microalgal BD production process could further reduce GHG emissions on a per MJ fuel basis. Considering that butanol is an up and coming substitute for gasoline due to its similar LHV and favourable spark ignition engine properties, determining GHG impact of a combined BD and ABE process is a suitable first step towards assessing microalgal biofuel processing.

Table 2.14: Studies that include GHG impact of microalgal BD and associated co-products, no infrastructure or maintenance included,
 *Note - 17.9 kgCO_{2e} is the GHG impact associated with the combustion of fuel driving 100 kms (GREET, 2017)

#	Study	Study Parameters	Net GHG Emissions	Net GHG Emissions (based on 183 MJ or 100 km travelled in a compact CIE vehicle)
1	Sander & Murthy (2010)	WTP, pond, lignocellulosic credit for ethanol plant feedstock, dried feedstock	-20.9 to 135.7 kgCO _{2e} per 1000 MJ	-3.4 to 25 kgCO _{2e} (need to add CO _{2e} associated with combustion) + 17.9 kgCO _{2e} = 14 to 43 kgCO_{2e} (WTW)
2	Batan et al. (2010)	WTP, bag pond (pilot plant scale reactor system), transesterification, transport, glycerine and biomass co-product credit, lipid content 60 wt%, growth rate 260 mg/L·hr	-75 kgCO _{2e} per 1000 MJ	-13.7 kgCO _{2e} (add CO _{2e} associated with combustion) + 17.9 kgCO _{2e} = 4.2 kgCO_{2e} (WTW)
3	Brentner et al. (2011)	WTP, sequestration included, direct transesterification of wet algal cells with supercritical methanol, biogas from LEA	805 kgCO _{2e} per 10 ⁴ MJ (80 kgCO _{2e} /1000 MJ)	14.6 kgCO _{2e} (need to add CO _{2e} associated with combustion) + 17.9 kgCO _{2e} = 32.5 kgCO_{2e} (WTW)
4	Zaimes & Khanna (2013)	WTP, pond, direct inject flue, flocc, chamber filter press for harvest, waste heat drying, dry extraction, CHP for residual, co-products glycerine, power, heat	30-240 gCO _{2e} per MJ fuel (30-240 kgCO _{2e} /1000 MJ)	9.2 kgCO _{2e} (need to add CO _{2e} associated with combustion) + 17.9 kgCO _{2e} = 27.1 kgCO_{2e} (WTW)
5	Stephenson et al. (2010)	WTW, biogas co-product credit	11.9x10 ³ kgCO _{2e} per 907 kg of BD	64.5 kgCO_{2e}
6	Yuan et al. (2015)	WTP (cradle to gate), pond, wet extraction, transport bio oil for conversion to BD, co-product credits	72-367 gCO _{2e} per MJ of BD (72-367 kgCO _{2e} /1000 MJ)	13-67 kgCO _{2e} (need to add CO _{2e} associated with combustion) + 17.9 kgCO _{2e} = 31-85 kgCO_{2e} (WTW)
7	Frank et al. (2011)	WTW, pond, AD, co-gen	55.4 kgCO _{2e} per 1055 MJ	10 kgCO_{2e}

Table 2.15: Low Sulfur Diesel life cycle GHG impacts

#	Study	Study Parameters	Net GHG Emissions	Net GHG Emissions (based on 183 MJ or 100 km travelled in a compact CIE vehicle)
1	Frank et al. (2013)	WTW for Low Sulfur Fossil Diesel (extraction and combustion)	100.00 kgCO _{2e} per MMBTU (1055 MJ)	17.3 kgCO_{2e}
2	Frank et al. (2013)	PTW for LSD (combustion only)	77.5 kgCO _{2e} per MMBTU	13.44 kgCO _{2e} (78%)
3	Frank et al. (2013)	WTP for LSD (extraction only)	22.5 kgCO _{2e} per MMBTU	3.9 kg CO _{2e} (22%)
4	GREET (2017)	WTW for Low Sulfur Fossil Diesel (extraction and combustion)	373 gCO _{2e} /mile	23.3 kgCO_{2e}
5	GREET (2017)	PTW for LSD (combustion only)	286 gCO _{2e} /mile	17.9 kgCO _{2e} (77%)
6	GREET (2017)	WTP for LSD (extraction only)	87 gCO _{2e} /mile	5.4 kgCO _{2e} (23%)
7	Stephenson et al. (2010)	WTW for Low Sulfur Fossil Diesel (extraction and combustion)	86 kgCO _{2e} per 1000 MJ	15.7 kgCO _{2e}

Chapter 3 – Materials and Methods

3.1 Developing the model

This study looked at creating as close to a closed loop system as possible for microalgal biofuel production. This includes nutrient recycling and waste re-use where possible (see Figure 3.1). Thus, creating a conceptual model where a microalgal production plant is co-located with a wastewater treatment plant was a preference. The co-location would not only take advantage of wastewater for microalgal growth, but also the equipment and operations currently part of a wastewater treatment facility for use as part of the microalgal production plant. This means that the anaerobic digesters and the co-generation facility operate for both wastewater treatment processing and microalgal biomass processing to produce more power, heat and fertilizer because of increased capacity. Another reason for this co-location is to make potential integration of microalgal growth, harvesting and initial processing into existing anthropological processes as seamless as possible, while using biologically based processes and avoiding the use of environmentally invasive chemicals.

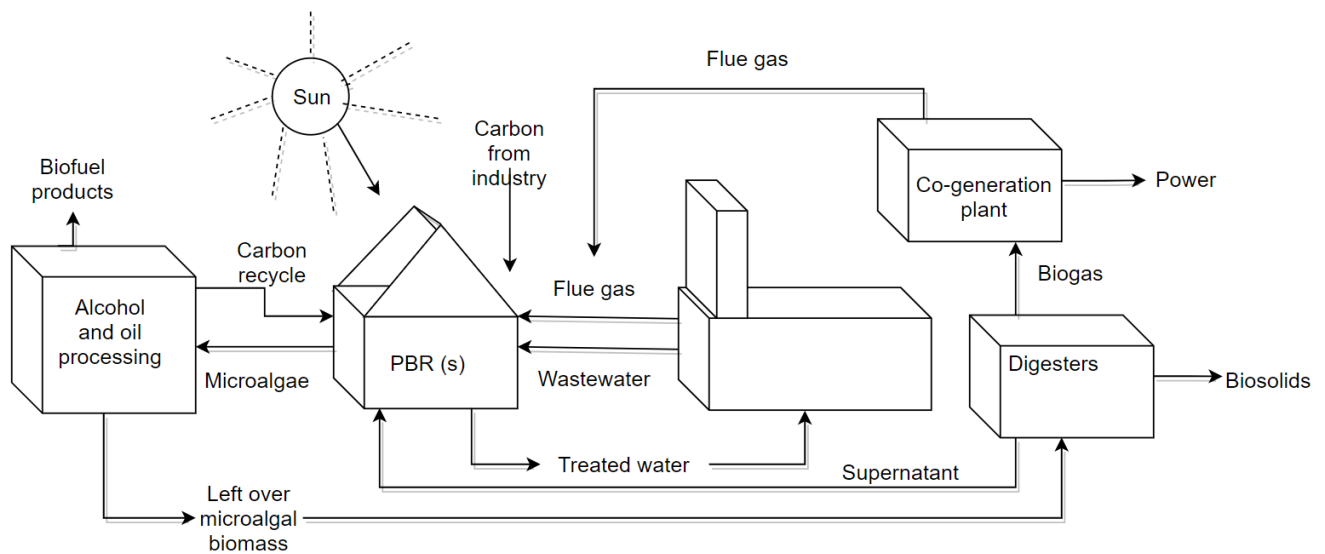


Figure 3.1: Conceptual model of carbon fixation using wastewater nutrients – revised from (Yun et al. 1997)

From here, the study assumed the use of a multitude of microalgal genus as feedstock for biofuel production because a mixed culture is more resilient and can replicate quickly with additional carbon dioxide from flue gas and nutrients from a variety of wastewaters. Using natural combinations of microalgae in mixed cultures would lead to a more sustainable culture over the long run (see section 2.3). Therefore, a generic growth rate and mixed algal culture would be suitable for this study's purpose. This study planned to draw flue gas from the co-generation plant on-site and co-located industrial facilities. As well, wastewater is drawn from the secondary clarifiers of a wastewater treatment plant in order to channel the most nutrients available as well as the clearest water to assist with photosynthetic efficiency (Barrie wastewater treatment facility, 2017).

The review of the literature concerning microalgal biodiesel and alcohol production processes as well as the report from Ryerson University created by Giang et al. (2017), influenced the model's process selection (Giang et al., 2017). Based on this aforementioned review, the reduction of environmentally invasive chemicals in the model was forefront as additional consumption for the processing and transport of materials would likely increase the GHG impact of the model.

The intention was also to determine the overall energy associated with the microalgal BD and co-product life cycle production process. The reason for determining energy in this situation is because usually with the reduction of chemicals comes the increase in energy requirements as heat and power are used to compensate. Determining energy impact might have been possible if this study had used GREET as the life cycle assessment tool. However, because GREET has a relatively narrow process pathway scope, GREET could not be used (Tatum, 2012). In order to include the ABE process in the overall microalgal BD and co-product production process, this study used GaBi Education. In GaBi Education, there was no means of determining total energy impact as one of the environmental impact categories. Therefore, this study only assessed climate change impact over the life cycle of the fuels. Notwithstanding, an increase in GHG emissions could be linked to an increase in energy use considering energy sources are still predominantly fossil carbon intensive.

3.2 Methods

3.2.1 Goal definition

According to ISO for LCA, the goal and scope definition section of the study require the reasons for carrying out the study, the intended application of the study, including attributional or consequential perspective, and the study's intended audience (International Organization for Standardization, 2006a). The introduction outlines the reasons for carrying out the study, the intended application of the study and the study's audience. The following paragraphs outline additional requirements.

3.2.2 Scope definition

This section requires identification of the study's reference flow, functional unit (also known as the unit of analysis), impact categories, system boundary as well as any study limitations concerning the above mentioned choices (ISO 2006a).

Functional Unit and Reference Flow

The functional unit (FU) is the quantified purpose of a system for use as a reference unit (ISO 2006a). Several biodiesel LCAs use other units and quantities to compile LCI data and then use the FU to normalize the results in order to represent study objectives and allow for result comparison with other studies. For example, Yuan et al. (2015) focused on mass balance analysis of nutrients, water and carbon for microalgal biodiesel using 1 kg of dry biomass as a model unit but presents results per MJ of microalgal biodiesel for easy comparison with other fuel studies. For this study, the process is quantified using mass and energy of reactants and products in order to calculate GHG and energy for all life-cycle stages. However, because the purpose of this study's process is to produce biofuel for the transportation system, this study amalgamates all the impacts for all parts of the products' life cycle in reference to the distance travelled in a vehicle.

According to the GHG protocol, a well-defined functional unit consists of three general parameters: the magnitude of the function or service, the duration of the service of that function

or service and the expected level of quality of that function or service (Sinden et al., 2010). Therefore, the functional unit for this study is one hundred VKT (vehicle kilometer travelled) in a compact diesel car using microalgal BD, bio-acetone, bio-ethanol and bio-butanol. The quality of the fuel is not to ASTM standards; however, as final fuel refinement is not included. See literature review for ASTM fuel properties and the last paragraph in this section 3.2.2 for the justification of the absence of fuel refining in this study.

The reference flow is the measure of the outputs from the process in a given product system required to fulfill the function expressed by the functional unit (ISO 2006a). In reality, the reference flow sets up the process required to produce the common functional unit of two comparable systems such that both these systems can be compared on a relatively equal basis (Weidema et al. 2004). Similar LCA microalgal biodiesel studies have used an overall output or capacity in order to estimate a timeframe to produce a certain volume of product and to quantify associated equipment and materials. Gnansounou & Raman (2016) used the production of 100,000 tonnes/year of microalgal biomass as their baseline capacity for analysis (Gnansounou & Raman 2016). Collet et al. (2011) used 100 ha of cultivated area and 23,000 m³ of total digester volume as their baseline capacity of analysis (Collet et al. 2011). This study's reference flow is the production of approximately 10,000 tonnes (or 10,000,000 kg) of microalgae to produce an associated amount of biofuel. The system boundary sub-section and the life cycle inventory analysis (section 3.2.3-4) outline the reference flow in detail. The comparable reference flow is the WTW life cycle of other microalgal BD production processes and/or the traditional WTW life cycle of fossil diesel and associated co-products of a petroleum refinery.

Impact category

The focus of this study is assessing the climate change impact of a microalgal BD and ABE production process. Thus, the impact category for this study is *climate change* only (see Table 2.12 in section 2.5). This limited impact category selection is unfortunate yet typical of most studies using LCA methods (Collotta et al., 2016). However, even though this study does not include other impact categories, such as eutrophication or ozone depletion, for assessment, this study has taken into account the recommendations of other microalgal-energy system LCAs concerning environmental improvements and created a microalgal BD and ABE production

model accordingly. For example, high energy and environmental impact of microalgal biomass growth associated with nutrient requirements and land area requirements respectively has been accounted for by wastewater use and PBR use (Collotta et al., 2016). Little waste is generated in this process and the use of chemicals is minimized (e.g., use of energy instead of large volumes of non-recyclable chemicals). Furthermore, the climate change impact category does account for more than just ecosystem risk. According to the JRC for Environment and Sustainability, the climate change impact category's endpoint indicator is *human health* as well as *ecosystem quality* (Hunter, 2017). This indicates that the climate change impact category does account for risk to human health (e.g., increase in infectious diseases due to increase in temperature, increase flooding, heat stress) *as well as* ecosystem risk (e.g., changing biomass, decreasing biodiversity) (Joint Research Centre for Environment and Sustainability, 2011). Whereas, other impact categories measure human health impacts or ecosystem impacts but not both (Hunter, 2017; Joint Research Centre for Environment and Sustainability, 2011).

The unit for the climate change impact category is kgCO₂e. Each greenhouse gas, other than carbon dioxide, has a global warming potential used to derive the GHG impact (i.e., radiative forcing impact) of the GHG based on kgCO₂e. For example, methane is a greenhouse gas and its radiative forcing is relatively 21 times stronger than carbon dioxides over a 100-year period (Qin et al., 2013). Therefore, if a process emitted 10 kg of methane and 1,000 kg of carbon dioxide, the total impact this resource use would have on climate change would be: 10(21) kgCO₂e + 1,000 kgCO₂e = 1,210 kgCO₂e. The GHGs accounted for in this study are predominantly CO₂, methane (CH₄) and nitrous oxide (N₂O) (Frank et al. 2011). Sulfur hexafluoride, perfluorocarbons and hydrofluorocarbons are also potent GHGs, however, they are not emitted nearly as often or in the same large quantities. The GaBi Education software has incorporated the GWPs of all associated GHGs based on IPCC protocol (GaBi Thinkstep - PE International, 2017; Qin et al., 2013).

Co-product allocation

Calculation and subsequent allocation of impacts has notoriously been difficult for LCAs that are capturing the overall impact of a system with several inputs and outputs (Weidema, 2000). Calculating impacts of all inputs and outputs (i.e., waste) for the life cycle of a process under

study requires the quantification of each input and output's production and distribution. The study then defines these quantities based on the impact category unit and then allocates them to the system's product, or products, if there is more than one product.

If a study has multiple products, similar to this study, the best way to avoid allocation of impacts to multiple products is to quantify the products in terms of a common denominator (e.g., all in terms of MJ of energy). This is only possible if all products are used or can be used in the same capacity. This study groups all biofuel production (i.e., BD and ABE) together into one product (i.e., MJ of biofuel energy). All life cycle system impacts are associated with the production of the total biofuel energy produced. This way of quantifying products not only is in line with the overall objective of the system, the production of biofuel, but also prevents the need to distribute GHG emissions and energy at each life-cycle stage between BD, bio-acetone, bio-butanol and bio-ethanol.

At some point, allocation of co-products or waste is required to include co-product benefits to the system and the impacts of waste. There are often co-products that are not of direct use in the system under study, such as biosolid (i.e., fertilizer) in this study's case, but can be used in other systems. There are three ways of calculating and allocating impacts to co-products: 1) subdivision 2) substitution and 3) allocation based on mass, energy or economic value (Collet et al. 2014; Yuan et al., 2015). According to the GHG protocol, allocation methods one through three are preferred in that order (Sinden et al., 2010). There is also the flexibility of using more than one method in a given study if required (Clarens et al., 2011; Stephenson et al., 2010). Note that there are several other terms used to define the same co-product accrediting methods listed above. For example, Frank et al. (2011) use the term *displacement* for the same method described as *substitution* in this study. What is important is how the study defines the method(s) used. The following paragraphs define each method.

The subdivision method divides the process under study into sub-processes to the extent that the sub-processes are more easily assigned to a specific product (Sinden et al., 2010; Weidema, 2000). For example, if this study had separated both BD and alcohol products, the study would allocate the SSF process environmental impacts to the alcohol products and not the BD product. This study does not use this method of allocation, as it is not necessary in this study's case. This study uses the substitute co-product allocation method for biosolids and power co-products. The

following paragraphs explain substitution and allocation based on mass-energy-economic methods.

The substitution method takes the co-product of the system under study and calculates how much impact this product, of given quantity, would have if it were produced traditionally and not in the context of the system under study. This impact is then subtracted from the system under study (Baliga & Powers, 2010; Bradley et al., 2015; Joint Research Centre for Environment and Sustainability, 2011). This method assumes that this co-product would move into the global material system and reduce its traditional production quantity. Substitution also should quantify the downstream impacts of the co-product (i.e., use in subsequent manufacturing or end of life use) and includes it in the overall impact of the study's main products (Collet et al., 2011). This substitution method may not be feasible, however, if there is no traditional process or if traditional process impact data is not readily available (Azapagic & Clift, 1999).

This study uses substitution for the biosolid and power co-product to credit the microalgal BD and ABE production process. For the biosolid co-product allocation, one kg of nitrogen and 1 kg of phosphorus from solid digestate (AD) is substituted for 0.6 kg of nitrogen and 0.4 kg of phosphorus in synthetic fertilizer respectively (Yuan et al., 2015). This study subtracts the GHG impact associated with producing the equivalent synthetic fertilizer from the overall GHG impact of the overall process. By displacing the product produced traditionally in the market, the production environmental impacts of the traditional product is avoided (Weidema, 2000). In this study, because of the marginal biosolid impact compared to the overall GHG impact of the study, the PBR stage received the credit. However, considering this study has only one product, studies of this type would normally subtract this co-product credit from the total GHG impact and would not selectively benefit the GHG impact of a particular stage of the overall process (Sander & Murthy, 2010).

This study also uses substitution for the allocation of the co-product, power. This method dictates that this study take the amount of power generated by the co-generation facility and calculates its GHG impact as if the power had been generated by traditional power generation systems. This study should then subtract this GHG impact from the total GHG impact of the process. However, since the overall process of this study is broken down into stages, this study takes the total power produced (i.e., 723 kWh) and breaks it down based on the percentage of

how much electrical power each process stage requires (see Appendix A, IO for Anaerobic Digester for calculations). Then each process's electrical energy requirement decreases based on this percentage of the total power produced. This is equivalent to subtracting the GHG associated with power production from the each stage of the process.

Co-product impact allocation based on mass, energy or economic value is also quite common in microalgal LCAs (Clarens et al. 2011; Collet et al. 2014; Yuan et al., 2015). This method distributes co-product impacts between products based on the mass, energy or economic value of these co-products in relation to the products. For example, Collet et al. (2014) allocated some of the GHG impact of the overall process to their co-products, glycerine and oil cake, based on glycerine and oil cake's energy content in relation to the energy content of the main BD product. This reduced the GHG impact of the main product, BD.

If the co-product allocation method by energy-mass-economics is used, the European Directive on Renewable Energy favours co-product allocation based on energy content as this is deemed more consistent and akin with energy producing systems (Collet et al., 2014; European Parliament and Council of the European Union, 2009). There are also some LCAs that prefer using mass and economic allocation as these are perceived to better reflect socio-economic preference (Brentner et al., 2011; Clarens et al. 2011). However, the ILCD handbook indicates that the use of market-price-based allocation partly or entirely prevents the use (i.e., comparison) of results in eco-efficiency studies since the environmental results are directly correlated with market price (Joint Research Centre for Environment and Sustainability, 2011). These market prices are subject to socio-political fluctuations and only add to the uncertainty of an arguably subjective co-product classification method.

System boundary

Figure 3.2 is a schematic of this study's scope. Figure 3.2 also depicts the WTW (cradle to grave) life cycle of fossil diesel for reference purposes. Note that this study's environmental life cycle assessment only includes the operational life cycle of the biofuel process. This means that no infrastructure and equipment construction energy, infrastructure and equipment material impacts or maintenance of operation equipment impact is included in this environmental life

cycle analysis. Only wastewater is a system waste, therefore energy required to process this wastewater is included. Note that the impact associated with the life cycle of the material and energy for this study’s process is included. This is similar to those studies outlined in Table 2.14 in section 2.5.4, except for waste treatment. Attempting to complete all infrastructure and operational process equipment impacts would have taken a substantial amount more time, resources and expertise. At the current stage of microalgal BD and ABE process development, it makes more sense to determine operational environmental impacts rather than full scope environmental impacts. A study should consider a WTW assessment including infrastructure and equipment prior to the development of a facility for both environmental and economic reasons.

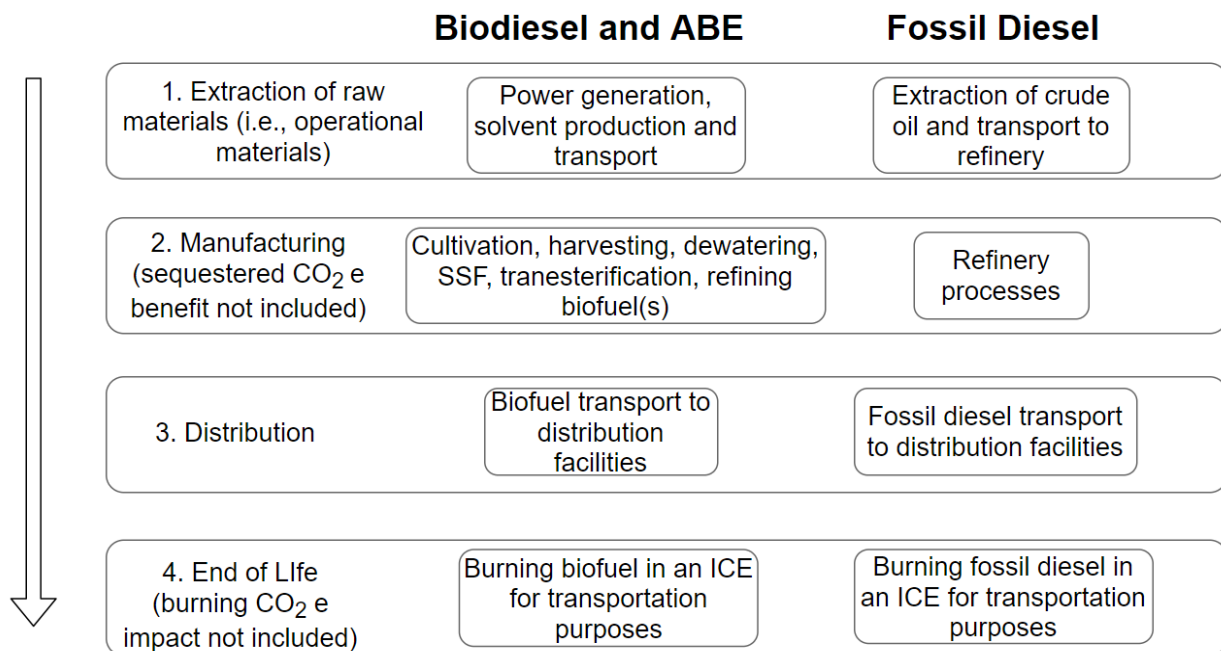


Figure 3.2: Cradle-to-grave scope of this study’s operational microalgal biofuel life cycle and the cradle-to-grave scope of the operational fossil diesel’s life cycle – revised from (Nanaki & Koroneos, 2012)

Not including infrastructure in this study’s system boundary requires the exclusion of vehicle production in the model. According to GREET 2017, vehicle production produces an additional 37 gCO₂e/mile or 2.3 kgCO₂e per 100 km vehicle travel (United States of America Argonne National Laboratory, 2017). This GHG impact would be applicable to the life cycles of both fossil and biodiesel. Therefore, not including this impact is inconsequential to the overall

assessment. Furthermore, this study does not include additional refining processes associated with final processing of bio-based fuels outlined in 2.4.6. This additional processing would have required the additional use of petrochemicals (solvents) that would have increased the GHG impact of the final product. This impact would be minimal, as the solvents here would be recycled similarly to those used in the main process.

3.2.3 Study limitations and assumptions

All assumptions are included in the Appendices preceding calculations. However, the main assumptions and their corresponding study limitations are summarized in the following sections. The Life Cycle Impact Assessment section explains the GaBi Tool limitations.

Process flow and corresponding limitations

This study considers tubular PBRs (approximately 2,800 of them – see Appendix B.1 for calculations) to grow 10,000 tonnes of microalgae in a year. It is understood that growing microalgae in PBRs will be more GHG intense than microalgal grown in open ponds (Quinn & Davis 2015). Therefore, this study expects higher GHG impacts from the microalgal growth stage than would be apparent otherwise. Microalgae growth is assumed to be 265 mg/L·day. This value is a conservative growth rate as typically algal growth is less when grown in wastewater (see Table 2.5 and Table 2.8). However, in this case, nutrients are optimized such that this growth rate is reasonable.

The lipid:lignocellulosic:protein ratio by weight in this study's microalgae is 35:35:30. This ratio will vary given a mixed culture environment and the results section of this study will discuss this aspect. This study assumes no algal loss during harvesting and separation, which ultimately decreases the GHG impact per MJ of energy produced. However, this assumption would not affect the results of this study significantly. Although microalgae is small (~5 micron), a pulveriser is used instead of additional acid or base to assist with the breakdown of interstitial bonds between microalgal components.

Lipid extraction uses hexane and ethanol solvents (Petrick et al., 2013). As this microalgal BD process also produces ethanol, a small amount of this ethanol is redirected and used as a solvent

in this model, which reduces the need to source and transport additional ethanol. This study assumes 5% loss of these solvents during operations. This means that only 5% of the solvent requirement is included in the GHG impact as this is the amount that is required on a regular basis. The harvesting stage and pre-treatment stages of the process use sodium hydroxide and sulfuric acid to neutralize and produce wastewater, which is non-toxic and easily processed as wastewater. Additionally, all material sources for this process are within a 27 km radius (see Appendix C for calculations). Transesterification is by supercritical methanol and conversion of TG to FAME is 97% (Liu, 2013).

Pre-treatment of lignocellulosic biomass includes sulfuric acid bath and heating (Begum & Dahman, 2015). Subsequently, nitrogen gas circulates materials in the SSF reactor. This study assumes a 10% loss of nitrogen gas, therefore, similar to solvent assessment above, only 10% of the overall required amount is included in the GHG impact assessment. This study assumes small amounts of nutrients required to supplement bacteria growth in the SSF and that GHG impact of these nutrients is negligible. This study also does not include the impact associated with the use of replenishment of bacteria for the SSF process. The fused *CbCt* bacteria are subject to cell immobilization such that replenishment of bacteria occurs infrequently (Dolejš et al., 2014; Green, 2011; Kök, 2016). Eighty two percent of the lignocellulosic biomass is fermented and 49% of the fermented sugar is converted to ABE (Begum & Dahman 2015). Distillation of ABE includes the use of water to assist with the separation of any residual water left in the alcohol stream. This addition of water, once used, is considered wastewater and is included as such in wastewater treatment processing impacts

The top left corner of Figure 3.4 depicts the wastewater equipment used in this process. Co-locating this study's biofuel process with a wastewater treatment plant provides nutrients to grow microalgae and allows for nutrient and co-product recirculation. Figure 3.3 is a simplistic diagram of nutrient flow and co-product recirculation within the overall system. The only removal of carbon from the system is through the biosolid and biofuel pathways. Additional carbon is supplemented using industrial flue gas. The following paragraph details Figure 3.3.

For optimal nutrient recovery and co-product use, the process strips the AD biogas to produce carbon dioxide and methane. Carbon dioxide flows to the PBR to supplement the carbon requirement (flow 1 in Figure 3.3) and methane produces electricity in the plant's gas turbine co-generation system (flow 7 in Figure 3.3). The electrical power generated from burning methane produced by AD process uses a 30% efficiency rate (Frank et al. 2011). This electricity offsets the electrical power and heat requirements of the system under study (flow 9). It is assumed that the heat generated by burning methane is sufficient to heat the AD (Frank et al. 2011). The flue gas from the co-generation plant and carbon dioxide from the ABE fermentation process supplements the carbon requirement of the PBR (flow 8 and 6 respectively). The AD supernatant supplements the nutrient requirements in the PBR (flow 4). This study assumes no nutrient loss and additional nutrients will accumulate in the biosolids produced by the AD. Using the additional nutrients, the equivalent amount of fertilizer is calculated based on the biosolid production rate and credited to the GHG impact of the system. Unreacted lipids, lignocellulosic biomass and protein are digested (flow 2 & 3). Finally, glycerol from the BD production process is recycled back into the PBR for carbon balance purposes (flow 5).

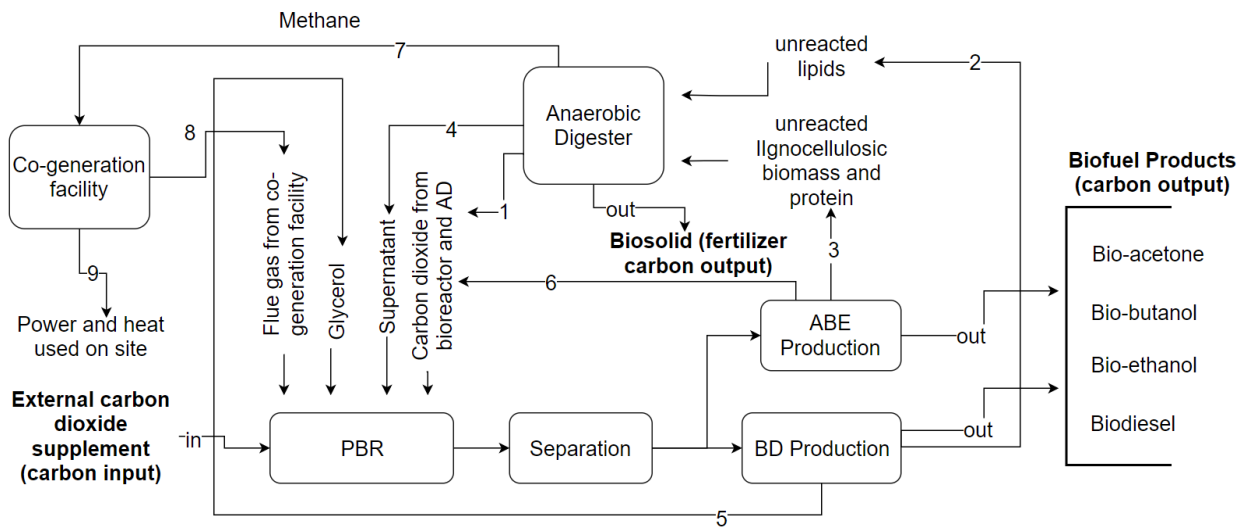


Figure 3.3: Schematic of nutrient and energy balancing (bold text indicates carbon input and output to the system)

Energy sourcing limitations

The refrigerants used in this study are Dowtherm A and water. Both are continually recycled with no waste treatment required. Any process over 100°C uses Dowtherm A as the refrigerant to avoid water vaporizing and additional pressure requirements. This study assumes a 5% loss of refrigerant; therefore, this study uses 5% of refrigerant requirement to calculate the associated GHG impact.

Based on calculations in Appendix B.2, heating and cooling energy requirements are approximately equal when grouped based on the refrigerant used (see Table B.3 and B.4). Therefore, this study included the GHG impact of the heat energy required only. The cooling energy capacity is not included in the GHG impact. Accordingly, this study uses NG to heat the refrigerant, the refrigerant heats a medium and then the refrigerant subsequently cools another medium before being re-heated. This heating and cooling optimization conserves all heat and mimics an adiabatic system. Thus, this system is very similar to the CHP system used by Frank et al. (2011).

This study did not create a detailed design specification for this process. A design specification includes drawings, dimensions, sizes, specific procedures and maintenance requirements associated with the process equipment. As such, this study did not determine the specifications of each piece of equipment. Consequently, estimations were used for pumps and equipment used to circulate materials. This study assumed half HP pumps to move the microalgal stream from PBRs to the processing system and to move materials between stages of the production process. The size of the pump is reasonable considering the slow rate of movement of most material. Impact on GHG emission results will be greater for cultivation, but less than 5% of the stage's GHG impact for all other process stages. See Appendix B.1 for circulation power requirements and calculations.

Time frame assumptions and limitations

This study has the base operating parameters of a fixed amount of microalgal production (i.e., 10,000 tonnes) with the required wastewater volume to produce the required amount of

microalgae in a 47-week period. In this study, it is assumed that five weeks of the year, the biofuel production system would not be operational for maintenance. Ten thousand tonnes of microalgae per year is reasonable for microalgal growth in an industrial facility at the pilot scale, however it has not been accomplished as of yet with PBRs (i.e., only with open ponds).

The overall time appreciation of 1 year for this study is preferred for two reasons. First, a year timeline for production aligns this study's process with the preferred way of measuring a BD production facility output. This study's approximately 5.7 million liters of fuel output falls in the mid to low range of the current BD production facilities in Canada today (see section 2.1.1). Second, having a yearly output implies a degree of operational stability that makes it easier to calculate hourly outputs from a larger initial volume. There is more accuracy involved when calculating overall large and small quantities of material on a large scale (i.e., a year and annual tonnage) before breaking these values down to a tangible reference (i.e., hourly requirement). For example, this model recycles the solvents methanol, hexane and ethanol. The LCA calculates the GHG impact of these solvents based on how much is required to supplement loss and not based on how much is required at the onset of the production process for a given amount of feedstock or biomass. This way of calculating GHG impact of cyclically used material is reasonable and drastically reduces the GHG impact of the process. It is more accurate to calculate how much solvent is required per year, reduce this to an hourly requirement and then take a percentage of loss from this value. Otherwise, there could be the assumption that the loss is negligible and the study would fail to include GHG impact for this material requirement.

3.2.4 Life Cycle Inventory Analysis

Table 3.1 outlines all the material and energy associated with this study's microalgal BD and ABE production process by stage. All calculations for the material and energy use found in Table 3.1 are in Appendix A. Appendix A contains a breakdown of each process stage, also linking all calculations found in these appendices with the process stages depicted in Figure 3.4.

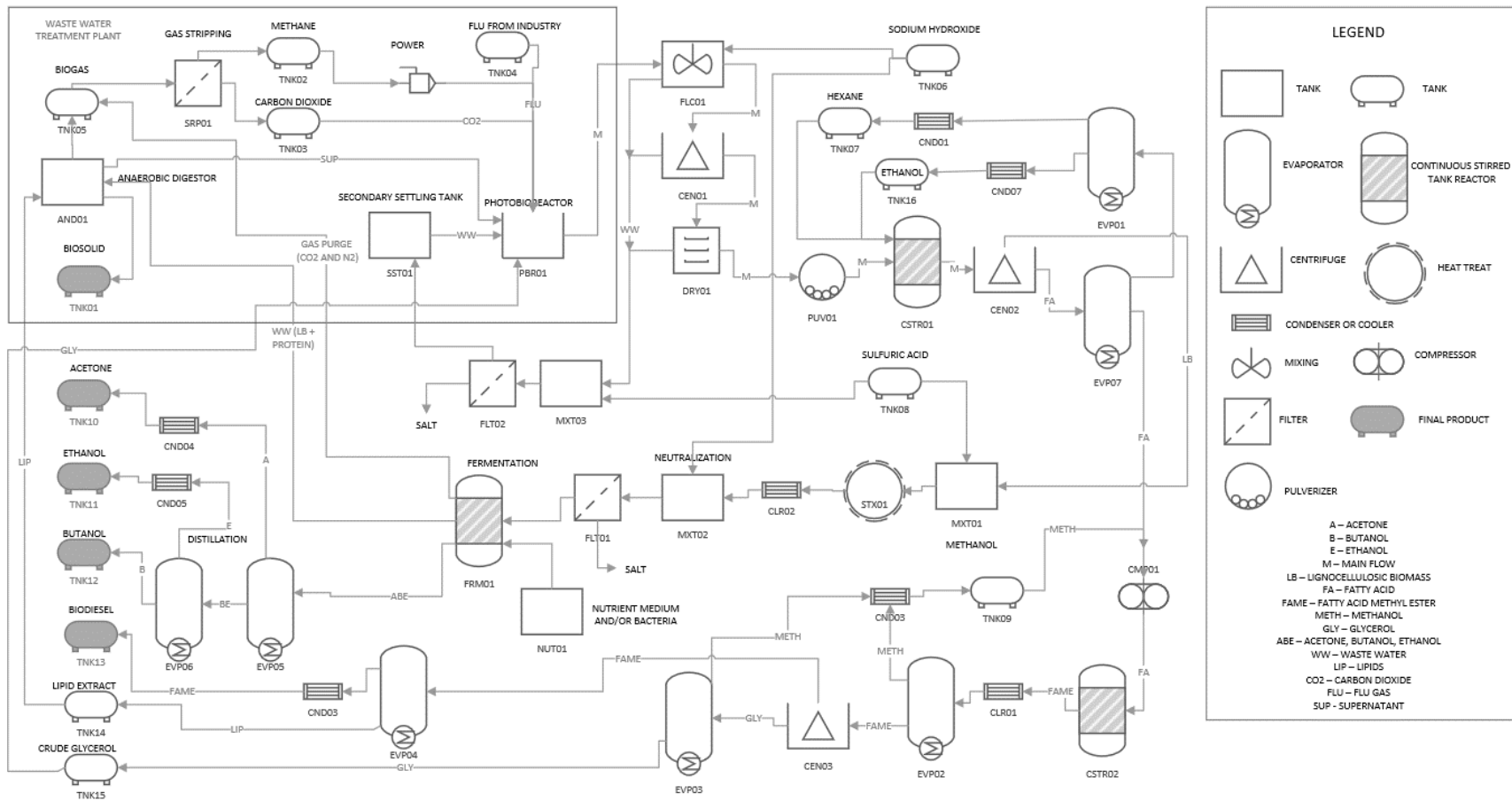


Figure 3.4: Microalgal BD and ABE process flow model (Note – pumps are not included in this diagram. Appendix B.1 contains all pumps with associated energy calculations)

Table 3.1: Materials required for each stage of the microalgal BD and ABE production process (Appendix A contains all calculations)

Stage	Material	Energy (on a per hour basis)	Amount (on a per hour basis)
PBR	Carbon dioxide		1,643 kg
	Wastewater		4.79x10 ⁶ L
	Internal energy for PBR(s) (electrical)	13,951 MJ (3,875 kWh)	
	Circulation to/from PBR and plant (electrical)	3,741 MJ (1,039 kWh)	
		4,914 kWh	
Harvest	Sodium hydroxide		958 kg
	Sulfuric acid		1,177 kg
	FLC energy (electrical)	72 kWh	
	CEN energy (electrical)	5,450 kWh	
	DRY energy (NG)	4,053 kWh	
	Energy for material circulation (electrical)	11.7 MJ (3.3 kWh)	
			9,578 kWh
Separation	PUV energy (electrical)	241.2 MJ (67 kWh)	
	CSTR energy (electrical)	184.84 kWh	
	CSTR heat (NG)	3,147 MJ (874 kWh)	
	Hexane		5.99 kg
	Ethanol		0.65 kg
	CEN energy (electrical)	20.1 kWh	
	EVP01 heat (NG)	2,949 MJ (819 kWh)	
	EVP07 heat (NG)	818 MJ (227 kWh)	
	Energy for material circulation (electrical)	9.1 MJ (2.5 kWh)	
	Energy for heating/cooling fluid circulation (NG)	1 MJ (0.265 kWh)	
		2,195 kWh	

BD production	CMP energy (electrical)	3.6 kWh	
	Methanol		49.86 kg
	CSTR heat (NG)	42.3 kWh	
	CEN energy (electrical)	0.746 kWh	
	EVP heat (NG)	73 kWh	
	Dowthern A		165.6 kg
	Energy for material circulation (electrical)	15.6 MJ (4.3 kWh)	
	Energy for heating/cooling fluid circulation (NG)	0.5 MJ (0.147 kWh)	
		124 kWh	
ABE production	MXT sulfuric acid		175 kg
	MXT water		9,573.8 kg
	STX heat (NG)	4,176 MJ (1,160 kWh)	
	MXT sodium hydroxide		165 kg
	FRM nitrogen		142 kg
	FRM energy for circ of nitrogen (electrical)	0.024 kWh	
	Energy for stripping nitrogen (electrical)	0.34 kWh	
	EVP water		174 kg
	EVP energy for water flow (electrical)	6.4x10 ⁻³ kWh	
	EVP heat (electrical)	13.94 kWh	
	Energy for material circulation (electrical)	16.9 MJ (4.7 kWh)	
	Energy for heating/cooling fluid circulation (NG)	Included in BD energy	
		1,179 kWh	
AD and power	AD energy (electrical)	53.3 kWh	
	Stripping energy (electrical)	80.1 kWh	
		133 kWh	

Total energy input		18,123 kWh	
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3.2.5 Life Cycle Impact Analysis Results

Most studies rely on an LCA software tool (e.g., GREET, GaBi, SimaPro) and corresponding LCI database (e.g., Ecoinvent, MIRO, GaBi) to produce a desired scope depth. Often the LCA software tool has corresponding databases embedded within the tool. Scope depth refers to the level of detail included in the life cycle assessment. For example, an in-depth life cycle analysis of a fuel might include the life cycles of not only the material required to produce the fuel, but the life cycle of the materials required to produce the materials required to produce the fuel.

Out of the LCAs concerning microalgal BD reviewed, only Sander & Murthy (2010) applied a 5% cut off to each impact category, which means the author sourced all of the impact associated with the life cycle of the product without the use of a software tool. The rest, such as Bradley et al. (2015), relied on a life cycle software tool to calculate and include nuanced processes within the study's dictated and defined larger processes. Software packages are essential to include the vast amounts of data required to compile a complete characteristic life cycle assessment, but they inevitably also create a degree of obscurity concerning the inclusion or exclusion of process data twice or more times removed from the primary processes.

Fortunately, software programs such as GaBi allow the user to select the degree depth to which the software will compile impact values. For example, GaBi classifies degree depth based on the boundaries *unit process single operation* (us-o), *cradle-to gate* (agg), *partially terminated system* (p-agg) and *avoided product system* (aps). This study uses all agg data in order to capture the life cycle impact of not only the material, but also the materials and energy associated with the production of such materials.

GaBi Tool

The GaBi acronym is of German origin and stands for “holistic balancing”. GaBi is a software tool supported by PE International and consists of a network of databases; some supported by PE International such as Ecoinvent, and other independent databases. The databases supply key information on millions of processes; information such as material requirements, energy requirements and other key requirements that allow the calculator to calculate the impact these processes have on the environment. The program groups these impacts on the environment into

what is known as impact categories. One of these impact categories, climate change, is the only impact category used in this study. The unit for the climate change impact category is kgCO_{2e} and can also be referred to as global warming potential or green house gas impact.

A scaling value is required in order to obtain results from GaBi in line with this study's objectives; the GHG impact associated with driving 100 km in a diesel vehicle. This study uses the following assumptions to calculate the equivalent 100 km scaling value:

- This study's products (i.e., BD, bio-acetone, bio-butanol and bio-ethanol) all contribute to the total fuel energy output. A study conducted by Wu et al. (2007) included bio-acetone, although not a common fuel, in terms of its energy potential to quantify total energy output of the study's ABE process (Wu et al. 2007).
- Lower heating value for (bio)butanol, (bio)ethanol and (bio)acetone are 33.1, 26.8 and 29.6 MJ/kg respectively (Rakopoulos et al. 2011; Xu et al. 2006)
- Lower heating value of BD is found in Table 2.1
- 0.65 kg ethanol/hr is subtracted from the total production of ethanol for solvent requirements in the extraction process
- MJ required to move a vehicle 100 km: 183 MJ (using a diesel vehicle) (Nanaki & Koroneos, 2012)

Thus, the scaling factor for GaBi for this study is 0.0078. Appendix D.7 includes scaling factor calculations.

GaBi Tool limitations

The version of GaBi used in this study is GaBi Education. GaBi Education is a free LCA software tool geared for students at the Masters level and below to support the developmental stages of life cycle assessment education and research. Therefore, there are some limitations associated with GaBi Education that would not exist in other versions. The following include a summary of this study's limiting parameters when using GaBi Education.

- This study replaced methanol with natural gas as methanol was not available in the database (Thinkstep representative, 2017). This assumption is reasonable as

- methanol is typically derived from NG, however, the steam reforming process required to add oxygen, to form methanol, is not included. The power and material required for a typical steam reforming process would be required to estimate the GHG impact for a similar part of this process. The time required to do this was deemed unnecessary considering the predicted small GHG impact of this stage when compared with the NG GHG impact. See Appendix A.4 and D.4 for calculations/parameters.
- Heating and cooling fluid, Dowtherm A, is replaced with ethylene glycol in GaBi as neither Dowtherm A nor Dowtherm A's components (diphenyl and diphenyl oxide) are available in GaBi Education. The GHG impact difference is likely minimal considering both processes are relatively energy intensive and both materials are derivatives of fossil resources. However, the environmental impact might be quite different.
 - As can be seen in the graphs in Appendix D, some of the process material selected relied on geographic information. On occasion, the appropriate geographic data was not available. For example, most materials and their associated life cycle climate change impact were not available in the Canadian context. Therefore, this study opted for other North American based data (i.e., United States of America). In some cases, as this LCA software tool is German based, North American data was not available either. In this case, this study used generic European Union (EU) data or DE (Denmark) data. This could arguably have huge impacts on results. There would be no way of determining the extent of this impact without the use of other GaBi versions, which would come at a financial cost of 1,266.00 per year (Thinkstep representative, 2017).

GaBi Results

Table 3.2 summarizes the WTW impact of this study's microalgal BD and ABE production process. Three functional units (i.e., 100 km traveled in a compact diesel vehicle, 1,000 MJ of fuel energy produced and 1 kg microalgal biomass produced) were included to facilitate comparison with other studies as required.

Table 3.2: Total climate change impact using three different functional units

Stage	CO₂e contribution (per 100 km traveled in a diesel passenger car equivalent to 183 MJ)	CO₂e contribution (per 1,000 MJ)	CO₂e contribution (per kg microalgae)	% contribution
PBR	28.1 kgCO ₂ e	153.7 kgCO ₂ e	2.8 kgCO ₂ e	37%
Harvest	38.0 kgCO ₂ e	207.4 kgCO ₂ e	3.8 kgCO ₂ e	50%
Separation	2.0 kgCO ₂ e	11.0 kgCO ₂ e	0.2 kgCO ₂ e	2.7%
BD production	1.9 kgCO ₂ e	10.2 kgCO ₂ e	0.2 kgCO ₂ e	2.5%
ABE production	5.1 kgCO ₂ e	27.9 kgCO ₂ e	0.5 kgCO ₂ e	6.7%
Transport of all products to biorefinery or service station	0.02 kgCO ₂ e	0.1 kgCO ₂ e	0.002 kgCO ₂ e	0.3%
AD and power	0.6 kgCO ₂ e	3.3 kgCO ₂ e	0.06 kgCO ₂ e	0.8%
Total	75.7 kgCO₂e	413.6 kgCO₂e	7.6 kgCO₂e	

Figure 3.5 is a visual representation of the values in Table 3.2. Note here that it is assumed that the microalgae would sequester the products produced by burning the biofuel. This is not the case in reality because burning fossil fuel and biofuel will produce other gasses other than CO₂, such as sulfur dioxides, nitrogen dioxides and particulate matter not sequestered by microalgae during growth. However, other studies have assumed that sequestering and burning cancel out, therefore, this study does as well (Frank et al. 2011). This assumption does not change the cradle to grave boundary of this study and it should be directly compared with other WTW studies.

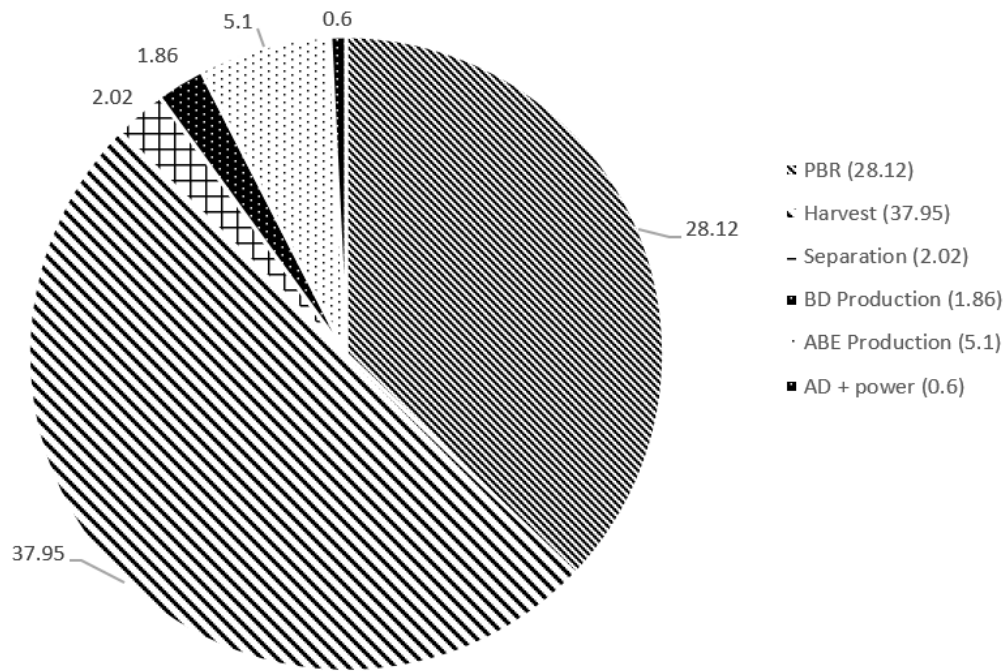


Figure 3.5: Global warming potential contribution in kgCO₂e for this study's microalgal BD and ABE production process based on 100 km driven in a compact diesel vehicle

Chapter 4 – Results, Discussion and Interpretation

4.1 Review of objectives

As indicated in Chapter 1, the objective of this study is to determine the GHG impact associated with a unique microalgal BD and ABE production process in order to compare this process with the other microalgal BD production processes. There was also a concerted effort made to create a closed loop system where possible to reduce overall material consumption and waste.

Considering the results outlined in 3.2.5 Table 3.2, the following section compares this study's finding with those of other microalgal BD production processes that have used LCA methods to calculate GHG impact.

4.2 GHG Results

As shown in Table 3.2, the cultivation (37%) and the harvesting (50%) stages produces the majority of the GHG impact of this microalgal BD and ABE production model. Also, the BD production stage GHG impact was relatively small (2.5%) compared to the GHG impact of the entire production model.

The GHG impact in each stage of the model is broken down into material, energy and power in the Figure 4.1. The majority of the GHG impact of the cultivation, harvesting and separation stages is from power use. Overall 66% of the GHG impact is from power use.

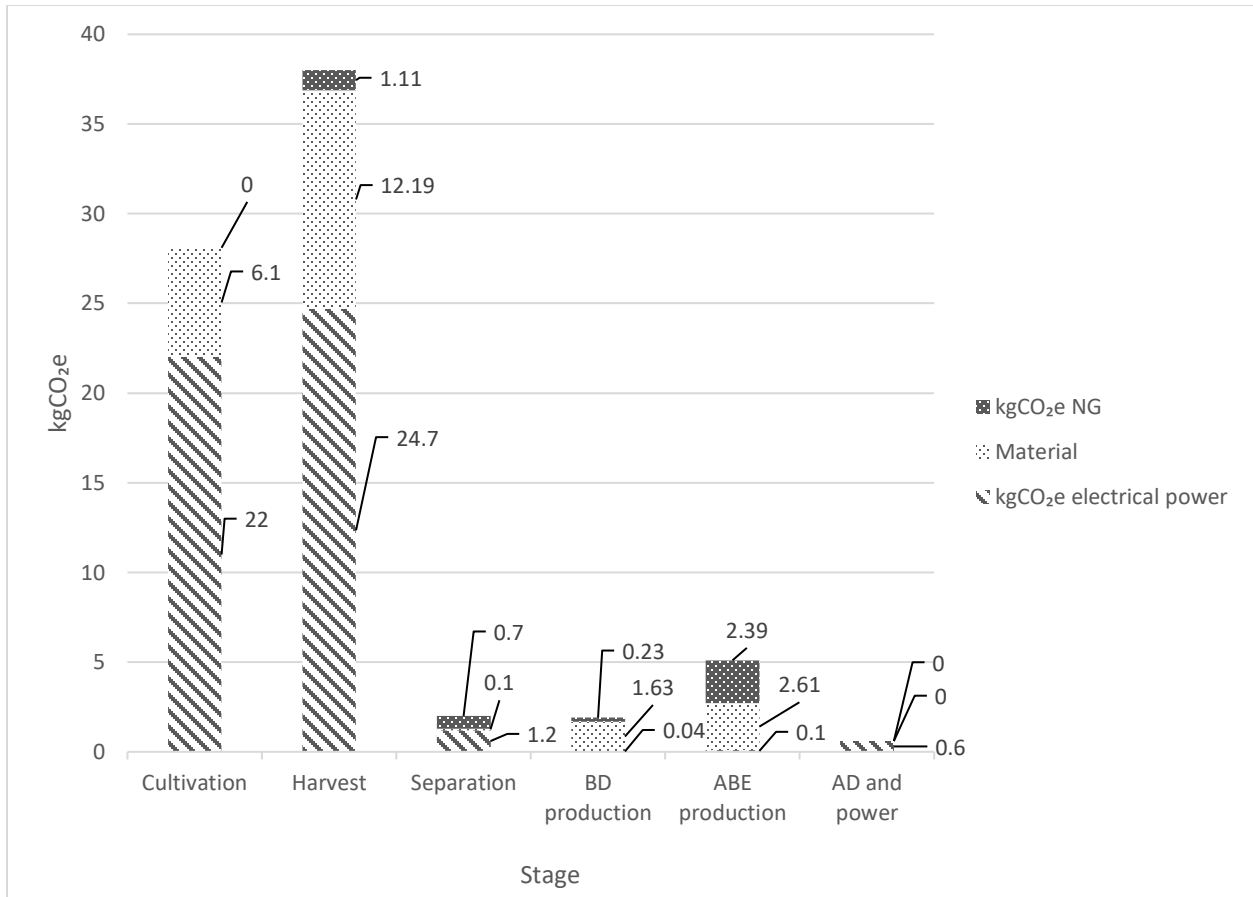


Figure 4.1: GHG impact distribution of NG, material and power per model stage

4.3 Comparison with other microalgal BD and co-product processes

All studies mentioned in section 2.5.4 used ponds instead of PBRs. Therefore, it was inevitable that the resulting GHG impact of this study's cultivation stage would be higher than that of other studies. Thirty seven percent of the total GHG impact of this process accrued at this cultivation stage. As mentioned above, other significant trends found in this study include high harvesting impact, low BD production impact and high power impact. Yuan et al. 2015 used a similar process (AD, carbon dioxide re-circulation, water from AD to support nutrient requirements and co-product biosolids) without ABE production. Yuan et al. (2015) did not provide specific values for energy and GHG impact, however the overall trends were high GHG emissions for cultivation and harvesting and low GHG impact for conversion to BD, which is similar to what this study found. Zaines & Khama (2013) did not break down GHG impact into process stages,

however, the study also found power requirements used the most fossil energy and thus produced the most GHG.

4.3.1 Argonne National Laboratory study comparison

Frank et al. (2011) is a microalgal BD GHG LCA study that followed a very similar process to this study. Frank et al. (2011) also provided the most comprehensive supporting information for comparison purposes. Section 2.5.4 of this study outlines Frank et al. (2011)'s parameters. Key differences between Frank et al. (2011) and this study's process include the exclusion of ABE production, the use of a CHP system rather than simply a co-generation facility and the use of a homogenizer to pre-treat the microalgal biomass instead of dry mechanical pre-treatment. Considering the similarity of both processes, each stage of the production process is broken down in the following paragraphs for a more detailed comparison between the two studies.

Cultivation

Five times more electrical power was required for cultivation in this study than in Frank et al. (2011) (see Appendix E.1.1 for calculations). This is in part because this study used PBRs whereas Frank et al. (2011) used an open pond system. If a reduction of 5 times the amount of electrical power were used in this study, this would correspond to a 62% reduction in GHG emissions for this stage of the process. Thus, instead of 28.1 kgCO₂e per 100 km driven in a diesel vehicle, the impact would be around 10.6 kgCO₂e. Open pond systems are not an option in Canada if a refinery is to produce year round. Yet, over two thousand PBRs would also take up a considerable amount of space in a populated urban area thus; using PBRs at this scale will likely not be an option either. A reduction in process scale would not alter the operation GHG impacts of the process, however, when including the GHG impact of infrastructure on a per unit biofuel production basis, the GHG impact would increase.

Harvesting

Frank et al. (2011) used a homogenizer to pre-treat the microalgal biomass before the hexane extraction of lipids. This stage used 365 kWh /dry ton microalgal biomass. If this process were

to have used a homogenizer in-lieu of the dryer in this study then it would have saved 3,543 kWh over the course of an hour (see calculations in Appendix E.1.5). However, because, in this study's case, NG is used for drying, overall CO₂e impact is still minimal: 1.1 kgCO₂e compared to the total harvest impact of 37.95 kgCO₂e.

There is a substantial difference between the two centrifuge power consumptions of both studies. Frank et al. (2011)'s centrifuge power consumption is based on power required per gram of microalgae, whereas this study's centrifuge power consumption is based on the volume of water that required processing. If this study had used Frank et al. (2011)'s centrifuge power requirement of 3.3×10^{-3} kWh/g-microalgae then this study's power requirements for this stage's power consumption would have been reduced by 23% (see calculations in Appendix D.8). However, the total power requirement for Frank et al. (2011)'s final dewatering stage is much less than would be calculated using their own stated centrifugal power requirements. Frank et al. (2011) calculated 3,036 Btu/kg-lipid for the final dewatering stage whereas if 25% lipid content of microalgae is used, total power requirements for the centrifuge stage for Frank et al. (2011) would be 45,040 Btu/kg-lipid (see calculations in Appendix E.1.3 and E.1.4). This study calculated the equivalent of 41,978 Btu/kg-lipid. This power requirement is less because there is a 35% lipid content in this study's microalgae.

Regardless, there is a direct correlation between electrical power use and GHG impact, which is apparent in this stage of this study's process. Harvesting is the most electrically intensive stage of this study's process and it accounts for 50% of the overall GHG impact of this study. If a substantial amount of power could be reduced here, it would reduce the GHG impact of the overall study substantially. The substantial GHG impact associated with harvesting is not unique to this study either. Sander & Murthy (2010) concluded that 89% of the energy required to produce the microalgal biomass is required for the harvesting process. It was recognized fairly early on in microalgal biomass cultivation and processing research that the cost of harvesting and dewatering would make or break the economic commercialization of microalgal biofuel production (Balaban et al., 1980).

Considering this study used LCIA electrical power data from the United States of America in GaBi Education, the resulting GHG impact of this study is higher than if Canadian power generation LCIA data had been used. As indicated in section 1.3 of this study, the majority of

Canada's power generation is hydroelectric and nuclear with only 20% of electrical power generation directly from fossil resources in general (Natural Resources Canada, 2015b). Ontario specifically uses on average only 6.7% oil and gas to produce power over the course of a year (see Figure 4.2) and this amount fluctuates (Independent Electricity System Operator, 2018). Ontario produces more electricity using oil and gas in the summer months (7.8%) than other times of the year (5.6%) (Independent Electricity System Operator, 2018).

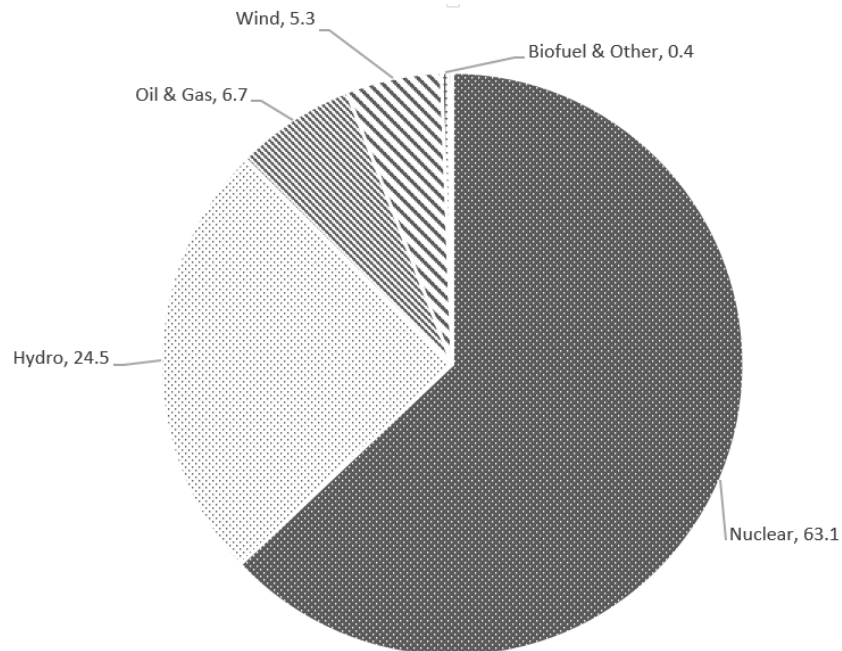


Figure 4.2: Percent Average Ontario Electricity Generation by Source in 2018 adapted from (Independent Electricity System Operator, 2018)

Implemented in Ontario, the estimated GHG impact of this model would range between 31 and 33 kgCO₂e over the course of the year instead of 76 kgCO₂e. This change in GHG impact is only by the proportional reduction of fossil resources for the model's operational power use.

Separation

Frank et al. 2011 only used a 3:1 solvent to oil ratio for lipid separation, which is a lot less than this study's 9:1 ratio, however with the reuse of this solvent and the minimal overall GHG impact of results for this stage, this fact would not have made a significant overall difference.

Power production and substitution

Another substantial difference between Frank et al. (2011) and this study is the power production. Frank et al. (2011) require 19,450 Btu/kg-oil for the entire process. The CHP plant generates 14,620 Btu/kg-oil that is used to offset this power requirement. Thus, Frank et al. (2011) generated 75% of the power requirement on site. In contrast, in this study the process not only requires more power, but also does not produce as much power. This study required the equivalent of 82,980 Btu of electrical power/ kg-oil, which is four times the amount of power required by Frank et al. (2011)'s process on a per kg-oil basis. This study also only produced the electrical power equivalent of 5,460 Btu/kg-oil, which is 7% of the total power requirement.

One of the reasons for the reduced power production is in part because of the reduced amount of biomass digested in the AD to produce power, as this study uses the lignocellulosic biomass to produce ABE. However, even if all the lignocellulosic biomass were used to generate power in this study, this only adds another 3,858 Btu/kg-oil to the power generated based on the methane and power conversion in this study (see Appendix E.1.8 for calculations). The additional power increases the total generated power of this study's process to 9,426.6 Btu/kg-oil·hr. The difference between this study's power output and Frank et al. (2011)'s study using the same biomass is still substantial. This study's process produces 36% less power from the same biomass than in Frank et al. (2011) (see Appendix E.1.8 for calculations). There was an attempt made to contact the authors of Frank et al. (2011) to determine discrepancies but the authors were unavailable for comment.

BD and ABE production

The BD and ABE production in this study contributes to 9% of the overall GHG emissions (7 kgCO_{2e} out of 76 kgCO_{2e}). Even though the BD transesterification process requires supercritical conditions, the resulting GHG impact was minimal. This minimal GHG impact is due to the exothermic chemical reaction taking place as well as the similar heat capacities of the products and reactants. The ABE process requires more heat, which contributed to 50% of the total ABE stage GHG impact (5 kgCO_{2e}). Frank et al. (2011) indicate a large percent fossil energy use contribution for both the separation and conversion of biomass to fuel process (pg. 42 and 43 of reference); however, are specific amount of energy or CO_{2e} for both stages is not

available or calculable for direct comparison. Larger energy requirements for the separation stage however, is due to the oil extraction process accommodating a substantial amount of water, whereas this study dries the biomass prior to separation and conversion.

Fertilizer credit

The fertilizer GHG credit in this study was not substantial enough to influence the overall GHG impact of the process (see Table D.1 in Appendix D.1). Frank et al. (2011) included the N₂O GHG impact of fertilizing soil. Thus, the GHG impact of using the biosolid fertilizer cancelled out the GHG benefits associated with displacing chemical fertilizer.

Summary

Overall, the overriding difference between Frank et al. (2011) and this study lies in the cultivation, harvesting and power production processes. In all three of these stages, electrical power use had by far the largest impact on GHG impact. Because of the large GHG impact of electrical power, opting for less material use and more electrical power use for this study produced a higher GHG impact. The BD process stage, in contrast, produced lower GHG impacts. This was expected based on the literature review. The ABE process stage also produced lower GHG impacts than the cultivation and harvesting processes. Note here that there is no discrepancy between the impact factors used to calculate GHG impact in both studies. GaBi uses the IPCC GWP factor to calculate the Climate Change impact category and similar to the GREET model used by Frank et al. (2011).

Although this study's GHG impact is more than Frank et al.'s (2011) study, the model presented in this thesis includes the most significant GHG aspects of the full life cycle of the microalgal BD production process. This model also uses the most developed harvesting and separation processes as well as the most energy efficient operating equipment. Therefore, this model is a realistic representation of the GHG impact of attempting to build and operate such a process today.

4.3.2 Biofuel output relative to microalgal biomass input

A study that greatly influenced the trajectory of this model was the Dong et al. (2016). Dong et al. (2016) completed a similar microalgal BD production process (at lab level) focusing on yield rather than GHG impact. In this study, microalgal biomass is subject to a wet pre-treatment process followed by fermentation to produce ethanol. The ethanol is collected and the biomass feed is subject to transesterification. Dong et al. (2016) found that overall yield (GGE/ton microalgae) was 126 gallons of gasoline equivalent/ton of microalgal biomass (dry weight). This study calculated yield for comparison purposes and found a yield of 186 GGE/ton dry microalgae (see calculations in Appendix E.2). There is no way of knowing how much energy was used to produce the yield in Dong et al. (2016), therefore, there is a strong possibility that more energy is used in this study to produce the increased yield. However, the increased GGE yield using both transesterification and the SSF process is reassuring.

4.3.3 Net Energy Ratio conclusions

The original intention was to include total energy requirements in this study's model. However, only primary energy requirements were calculated (i.e., power and NG) for reasons outlined in section 3.1. Primary energy requirements include process energy, but not secondary energy associated with the production of materials required for the process. Even without including secondary energy, total primary process energy for this study, including power reductions from power generated, is 17,400 kWh (summed all energy requirements from Appendix D and found in Figure 4.3 below) compared to 6,513 kWh output (found in Tables A.7 and A.14 as well as Appendix E.2). The resulting overall net energy ratio (NER) is approximately 0.4. NER is typically used to calculate the energy return on an energy product system. NER is the ratio of energy produced by the process divided by the energy used to produce the product energy. In this case, there is much more energy required to produce the product than the energy produced by the process. This NER makes this study unfavourable as there are other microalgal BD studies that produce NERs of upwards of 2 (Clarens et al., 2011). NERs of these studies are discussed in Chapter 5.

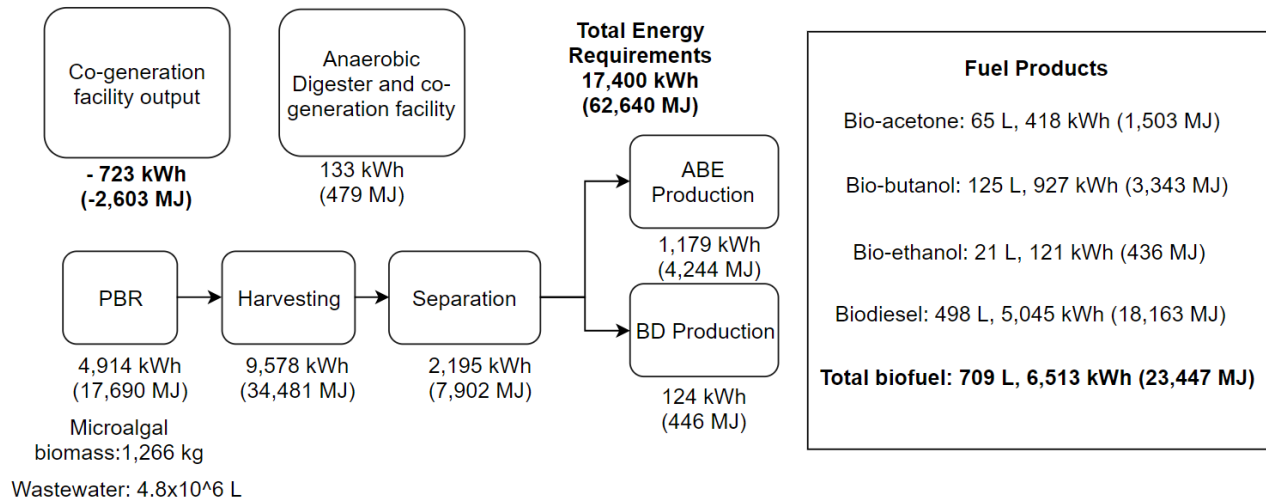


Figure 4.3: Energy requirements (input) and outputs of this study's process on a per hour basis (given an overall study production timeframe of 47 weeks and 10,000,000 kg of microalgae)

4.3.4 Feedstock parameter variations

Without altering this study's process, a variation in lipid, lignocellulosic and protein content would have an effect on the GHG impact of this study's system. As this study broke down GHG impact by stage, it is obvious to see that a lower microalgal lipid content would increase the overall GHG impact of the overall process. The increase in GHG impact is because the ABE process accounts for approximately 4% more GHG impact overall than the BD process.

As mentioned in section 3.2.3, the microalgal growth rate chosen (265 mg/L·day) is conservative and could be improved based on microalgal growth rates found by other studies (e.g. 3.4 g/L·day) (de Morais & Costa, 2007). Increasing the microalgal growth rate per liter of water per day would reduce GHG impact. The reduction in GHG impact would be the result of less processing water circulated and less heat required per microalgal biomass amount during cultivation, harvesting and separation stages. Considering these stages comprise over 50% of the GHG impact of the overall process, GHG reduction could be significant. The quantity of raw materials (i.e., sodium hydroxide, sulfuric acid and solvents) would remain the same or increase, however, as there would be an increased amount of feedstock that would require processing. Regardless, a substantial increase in microalgal growth rate is unlikely due to the combined use of flue gas and wastewater outlined in section 2.3.4.

Chapter 5 – Conclusions and Recommendations

The GHG impact of the microalgal BD processes per 100 VKT of the most recent studies published (Table 2.13 and 2.14) ranges between approximately 3.7 and 85 kgCO_{2e}. Fossil diesel's GHG impact at the same scale is between 17.3 and 23.3 kgCO_{2e}. This study found a 76 kgCO_{2e} total GHG impact associated with a microalgal BD and ABE production process measured in 100 VKT.

5.1 Model process adjustment

Based on this study's results, the following paragraphs outline changes to this study's process that could reduce overall GHG impact.

Considering the difference in power requirements for harvesting (centrifuge) and power generation return between this study and Frank et al. (2011), there is likely some variability in these two areas. Improvements in electrical power requirements for harvesting and electrical power generation would result in a lower GHG impact.

Replacing process NG with stripped methane from AD biogas is not recommended, as this would increase this study's process GHG impact because of the resulting reduction in power generation. At this time, the GHG impact of power is substantially more than the GHG impact of NG, even NG derived from fossil resources. In the future, when power generation relies predominantly on renewable sources, there is potential it would likely be less GHG intensive to recycle on-site methane for heat requirements instead of importing NG from fossil or renewable sources. The energy efficiency of power generation using fuels in gas turbines is also relatively low compared to other forms of energy generation (e.g., hydroelectric, nuclear, boilers) (Cengel & Boles, 2002), therefore, it would likely be more energy efficient to use the generated biogas for heating purposes instead of power generation.

Instead of using another study's overall energy requirements for tubular PBR cultivation, this study could break down heat and power requirements for cultivation. This breakdown could allow for the incorporation of additional renewable energy sources into the model. Adding solar power to the model for light and power generation purposes will have a positive effect on this

study's process GHG impact (Subhadra & Grinson, 2011). Including solar photovoltaic modules would be the first priority considering the large GHG impact of power use. Including solar thermal modules might also decrease the GHG impact of heat energy requirements (Good et al., 2015; Tian & Zhao, 2013).

As mentioned in section 4.3.1, using wet pre-treatment by homogenization would likely improve the GHG impact of this study's model. Another form of wet-pretreatment that is very energy efficient is ultrasonic treatment. Liang et al. (2012) used ultrasound to pre-treat wet microalgal biomass, but only extracted 49% of the lipids available in the biomass. This method requires additional research before industrial implementation suitability (Liang et al., 2012).

Other modifications to this study's process could include using wastewater for the lignocellulosic pre-treatment process, thus reducing additional water use (Castro et al., 2015), and using cyanobacteria from fresh water lakes as supplemental feedstock. Including harvested cyanobacteria from fresh water lakes during the summer would increase the biofuel output without having to grow additional biomass. Lake Erie continually experiences nutrient loading and resulting algal blooms because of its centralized location between large urban areas (Watson et al., 2016). However, considering harvesting is the most energy intensive step, this increase in product would likely come with an overall net increase in GHG impact from increased power requirements but a reduced GHG impact on a per-unit fuel basis.

NER

Although this study focuses on GHG emissions, overall energy use, electrical power or otherwise, is an important aspect of a process because it is directly related to process cost and efficiency. As mentioned in section 4.3.3, other microalgal BD and co-product studies have a larger NER than this study. For example, Clarens et al. (2011)'s study compared the energy return on investment (EROI) of four different microalgal biofuel processes of which two produce BD. EROI is defined the same as NER; the larger the EROI, the better energy return on investment (Colosi, 2012). One of the two BD processes studied by Clarens et al. (2011) produced BD and bioelectricity from AD, similar to this study's process. The EROI ranged between 1.11 and 1.13. The second of the two BD processes studied by Clarens et al. (2011) produced BD and bioelectricity directly from the combustion of the left over microalgal biomass

(gasification). The EROI of this later process was 1.99. The main *process* differences between this study and Clarens et al. (2011) are the use of open ponds and homogenization.

Batan et al. (2010) also uses a form of homogenization for wet processing the microalgal feedstock. The overall NER of Batan et al. (2010)'s microalgal BD is 1.1. Batan et al. (2010)'s study was also less GHG intensive than this study's process (4.2 kgCO_{2e}/100 km VKT) as seen in Table 2.14. Frank et al. (2011) also used homogenization for wet processing and although Frank et al. (2011) did not include a NER, the energy requirements outlined for the homogenization stage are substantially less than this study's combined drying and pulverizing process as seen in section 4.3.1 (Frank et al. 2011). Frank et al. (2011)'s study also predicted a lower GHG impact than this study (10 kgCO_{2e}/100 km VKT) also seen in Table 2.14.

Based on the results of Frank et al. (2011), Clarens et al. (2011) and Batan et al. (2010), opting for a wet extraction process using homogenization instead of dry processing would likely lower GHG impact and increase the NER of this study's process. It is likely that the GHG and energy savings lie only with the pre-treatment stage and not the follow on processes (i.e., subsequent separation and recycling) for two reasons. First, wet extraction would completely remove the drying NG requirement, but the GHG impact of NG is small. Removing the NG requirement would, however substantially reduce the heat *energy* requirement. Second, there would be less solvent required downstream if the wet feedstock stream underwent fermentation followed by transesterification as outlined in Dong et al. (2016) (Dong et al. 2016). However, solvent GHG impact is minimal because of solvent recycling. Again, however, there would be less heat *energy* required to separate the solvent and feedstock mixtures if the process was sequential, similar to Dong et al. (2016). Regardless, there would be more water to heat during the separation of product (i.e., ABE and BD) stages that could outweigh the benefits of reduced solvent and lack of NG drying requirements on GHG impacts and energy consumption (Dong et al. 2016).

To further improve this study's NER, ultrasonic techniques and surfactants during pre-treatment, as well as the use of slower gravity based decanters instead of centrifuges, would improve this model's energy efficiency (Wu et al., 2017). Perfecting these aforementioned processes would potentially slow down the overall process, but would rely more on less energy intensive processes.

Summary

Overall, in order to develop a microalgal biofuel production process and system, there is an incentive, along with cost and other parameters, to determine which microalgal biofuel production process is the least GHG intensive to not exacerbate the already blooming GHG levels. All the above modifications would likely align this study's process with the GHG impacts of similar studies yet would not make this process substantially superior from a GHG or energy perspective. In this case, this study's process would not be favoured due to the increased GHG impact and energy efficiency. In the future, it would make sense to focus on the NER for an initial assessment of process superiority and then develop the process to use the the most sustainable power and energy possible.

5.2 Model development adjustment

This research found that an LCA type of study supports a cyclical analysis. What this means is a similar study should be set up such that iterations can be performed with different process modifications. This flexibility would make it easier to test a hypothesis after having completed the LCAI stage. For example, using a formulated excel spreadsheet to quickly produce final product values would be beneficial if a future study's objective was to estimate different impacts associated with microalgal biomass with different lipid and lignocellulosic biomass composition. Changing processes, rather than biomass content, would be more labor intensive, but could be facilitated with integrated spreadsheets or a coded program.

This study recommends calculating each process stage (e.g. cultivation, harvesting, BD production etc) GHG impact separately in GaBi. This allows for a better understanding of co-product allocation and more flexibility of co-product handling.

5.3 Model purpose adjustment

This study's co-product allocation would change along with the corresponding GHG impact if this study's purpose had not focused on fuel and instead focused on bio-product development. For example, if this study had considered ABE the primary process bio-chemical products and

BD a co-product, the GHG impact of all process stages prior to ABE production would be split between the bio-acetone, bio-ethanol and bio-butanol products. The distribution of GHG impact would be based on the amount of each product produced using the mass-based co-product allocation method. The BD production stage GHG impact would also be split between ABE products based on the same ABE output ratio. Furthermore, the GHG benefit of producing BD (renewable fuel) would be credited to the overall process using the substitution allocation method and subsequently the mass based co-product allocation method. Given this shift in study purpose, the resulting GHG impact of the products of the same process but different overall life cycle would be quite different. Given a more energy efficient process and more sustainable forms of power and energy, an LCA of bio-products using a similar process would be a suitable area for future research.

In order to use all the wastewater available from a small WWT plant to grow microalgae, 2,875 40,000 liter PBRs would be required. The space for these PBRs is not practical for set up in an urban setting. A PBR set up in a more rural industrial setting would allow for more of them pending wastewater volume throughput. In this case, microalgae would grow using industrial wastewater rather than municipal wastewater, changing the microalgal growth rate. There is also the additional transport between a rural facility and a biorefinery that would need to be considered in a LCA assessment.

As indicated in section 1.3, this study did not complete a full LCA of microalgal BD and ABE. Because this study focused on operational GHG impact of a microalgal BD and ABE theoretical process, completing a full LCA that included other impact categories was outside the scope.

5.4 Overall process conclusions

The results of this study do, however, provide an understanding of how the addition of an ABE processing step affects the GHG impact of this study's microalgal BD production process. There is additional GHG impact associated with the ABE process but not to an extent that sets this overall process apart from other microalgal BD and co-product processes. The GHG impact of the ABE processing stage is 6.7% of the overall process. Given this study's process flow, all other stages of the process, except the separation stage, would have been required in order to produce the other outputs of the process (i.e. BD, fertilizer and energy). Thus, the overall GHG

impact of including the production of ABE is minimal. The separation stage only contributed to 2.7% of the overall GHG impact of the overall process.

It is also apparent based on the results of this study that the source of energy used for the process is rather the problem and not necessarily the carbon footprint. Electrical power requirements make up the majority of the GHG impact of this process (i.e., 66%) arguably because of the fossil fuel used to produce this electricity (Oreskes, 2018). Rather than continue to explore a diversity of processes to reduce microalgal biofuel production process' GHG emissions below those of a well-established fossil fuel production process, a superior direction would be to reduce fossil use in energy production and elsewhere. This way, a microalgal BD production process might still be carbon intensive, but would be using recycled carbon rather than adding new carbon to the atmosphere. Atmospheric carbon recycling results in a carbon neutral system as carbon has been sequestered from the atmosphere earlier in the life cycle of the process.

Canada is slowly increasing its percent of renewable sources producing electrical power. In 2015, Canadian electrical generation source percentages were 20.2% fossil (10.6% oil/gas & 9.6% coal), 15% nuclear, 58.9% hydro and 5.9% renewable (i.e., wind, biomass & solar) (Natural Resources Canada, 2015b). Based on the Canadian Governments year 2040 predictions, more wind but also more NG will be used to generate electricity (see Figure 5.1). NG is less CO_{2e} intensive for heat generation, as shown in this study in section 4.2.1, but not so for power generation, also demonstrated in this study in section 4.2.1. This reliance on fossil NG for power generation into the future is not acceptable.

The rest of the GHG impact of this study is associated with the carbon footprint of materials. Upon observation of the entire microalgal BD and ABE production process, there are relatively few material inputs involved. Methanol, NG, Dowtherm A, hexane, sodium hydroxide and sulfuric acid are the additional materials required in this study's process. There is potential here to derive these materials from biomaterials using biomass energy sources concurrently (Gnansounou & Pandey, 2017). The import requirement here is the use of biomass or other renewable energy sources to create these materials. Considering the majority of GHG impact comes from the combustion of fuel, the majority of the carbon footprint associated with these materials is from the operational energy requirements used to produce them.

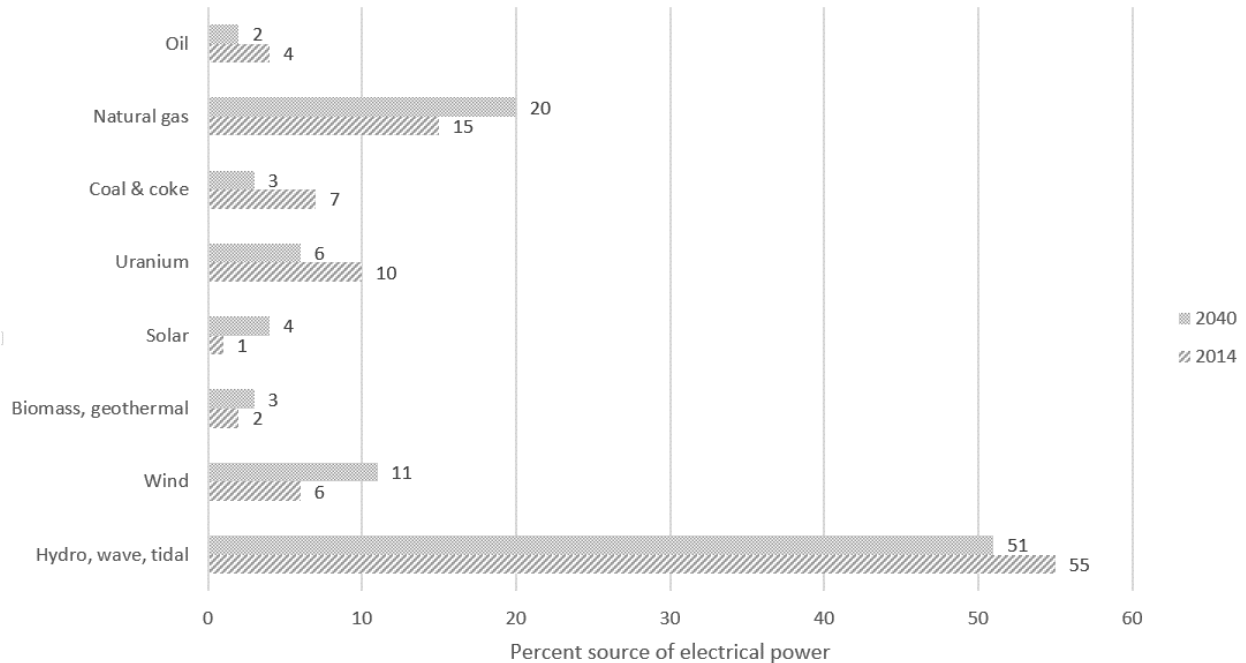


Figure 5.1: Canadian percent electrical power generation sources in 2014 ('14) and predicted for 2040 ('40), Note – read chart and legend from left to right, adapted from (National Energy Board, 2017)

The LCAs that focus on the climate change impact category, similar to this study, are really focused on quantifying the combustion based energy generation required for a process. There are few sources of GHG emissions in engineering processes that are not from the combustion of fuel. As regions power and heat energy generation sources shift to renewable (e.g. hydro, solar, wind, wave, biomass), the measure of GHG impact in LCAs will decrease.

5.5 Anthropogenic system changes (The biorefinery concept and distribution system)

There is undoubtedly a need to integrate systems. Similar to the “grid” concept outlined by Harvard’s Ms. Oreskes in her Vancouver lecture, there is a need to integrate wastewater treatment, flue gas sequestration and the production of liquid fuels (Oreskes, 2018). To put this into context, the Barrie wastewater treatment plant produces and cleans 5.89×10^7 L of wastewater per day (City of Barrie, 2004). This study’s process requires 1.15×10^8 L of wastewater per day. Therefore, a larger wastewater treatment plant is required to produce 10,000

tonnes of microalgae per year (or approximately 5.7 million liters of total biofuel). When compared to other BD production plants operating in Canada today, the fuel product output of 5.7 million liters is relatively small.

If all the wastewater in the United States (122,439 million litres of wastewater/day) were used to produce fuel, with a 90% removal of limiting nutrient from wastewater, a 10% BD yield and a 9 months/year operation, the overall process would produce roughly 6.5 million liters of fuel/day (Christenson & Sims, 2011). The United States uses 1,430 million liters/day of transport fuel. Producing fuel with wastewater amounts for 0.5% of the total transport fuel required by the US in 2011. Even contributing to such a small amount of a country's fuel requirements, Pittman et al. 2012 and Lundquist et al. 2010 insist the algal growth for biofuel production in consort with wastewater treatment is the only commercial hope for algal biofuel production (Lundquist et al., 2010; Pittman et al., 2011). Considering if it were not for the use of wastewater, 50% of the GHG emissions associated with algal cultivation would come from chemical fertilizers, this assertion makes sense (Wiley et al., 2011). The United States of America NREL also insists that there is no better way to learn effective integration of biofuel production and wastewater treatment process techniques than to do it on a small scale (Shelef et al., 1984).

Furthermore, there is a need to sequester flue gas. However, without the reduction of fossil fuel use, sequestering this carbon using microalgae and emitting it back into the atmosphere upon combustion, if the algae is used as a fuel source, nullifies any sequestering effects. Using microalgae that has sequestered flue gas to produce other products instead of fuel might help prevent the cycling of fossil carbon back into the air. Sourcing such microalgae for a human food source would be infeasible for health reasons, but there might be a bio-material process pathway that could suffice.

There is adequate technology to produce microalgal biofuel. BD and alcohol produced by the transesterification of plant based oils and the fermentation of plant based carbohydrates respectively were readily used to produce fuel from biomass at the beginning of the twentieth century (Arbor, 1986). However, since the early twentieth century, society has changed such that there are more people in concentrated areas requiring food and energy. As micro and macroalgae have the potential to be a very important food source, and are already used as supplements (Kelly, Ikononou, Blair, Morin, & Gobas, 2007; Suganya et al., 2016), microalgae

use for transport fuel purposes might be limited. Also considering livestock production is one of the leading producers of GHG, potentially more so than the transportation industry (Agriculture & Agri-Food Canada, 2018; Andersen & Kuhn, 2014; McMichael et al. 2007), society will inevitably need to reduce its reliance on livestock as a food source. People will thus need to rely more on lower trophic level organisms for nutrition, including microalgae.

Producing copious quantities of microalgal biofuel initially or even in the future does not have to and should not have to be the main objective of an integrated microalgal biofuel production system, or any anthropogenic production system. The argument that a negative economic impact will result from an increase in biofuel development, and the decarbonisation of the world's energy system, without the support of an economy of scale, is still used today to prevent changes to the transport energy production system status quo. However, there are multiple sources that negate this argument and propose that economic variability is controlled by large and small value based decisions by influential decision makers (Arbor, 1986; Gibney, 2018; Harari, 2014; Oreskes, 2018; Weaver, 2017). Government initiatives have contributed to successful increases in biofuel use, thus making it relatively clear that the only way to increase the use of renewables is to have some kind of overarching accountable control over the economy. Similarly, overarching energy goals should foster the diversification of energy and fuel sources. There should be an aversion to creating a single sourced, non-redundant fuel and chemical industry as was done with fossil resources. As such, it is likely that in the future, liquid fuels will be reserved for certain types of transport in potentially more remote locations such that much less liquid fuel will be required overall.

Appendices

Appendix A – Input/Output (IO) process parameters and calculations

A.1 IO for PBR

Table A.1: Cultivation input/output table

Stage	Inputs		Input amount	Outputs		Output amount
PBR (PBR 01)	1	Microalgae	0	1	Algae	10,000,000 kg/year
	2	Glycerol (nutrients)	411,792 kg/year	2	Wastewater	1.15x10⁸ L/day
	3	Sunlight	Given	3	Oxygen	Purged (not used)
	4	Nitrogen	69.52 kg N/hr			
	5	Phosphorus	3.91 kg P/hr			
	6	CO₂	1,643 kg CO₂/hr			
	7	Wastewater	1.15x10 ⁸ L/day			
	8	Energy requirements	153 GWh (over 1 year)			

A.1.1 PBR 01 – Tubular photobioreactor (input #2, 4, 5, 6, 7, 8, output #1)

Details/Assumptions:

- Tubular PBR
- Growth of *C. vulgaris* ranges in PBR using different types of wastewater and nutrients is between 85 mg/L·day to 3.4 g/L·day (de Morais & Costa, 2007). This study chose Ma 2016 as a reference value to be conservative 265 mg/L·day (Ma, 2016).
- Circulation pump: 0.5 HP/PBR (Min et al., 2014)
- This study did not include the energy required to initially grow the microalgae in each of the PBRs, although this would take approximately 7 days and additional nutrients.

- This study assumed that 5 weeks of the year the plant will be down for maintenance/holidays (plant operates 47 weeks/year)
- Phosphorus, nitrogen and carbon nutrient values optimal for algal growth: C:N:P ratio of 100:11:1 (Chisti, 2007)
- CO(0.48)H(1.83)N(0.11)P(0.01) (Chisti, 2007)
- Molecular weight of microalgae: 23.36 g/mol microalgae (based on Chisti 2007 chemical formula)
- Molecular weight of FAME: 0.292kg/mol (Agarwal & Das, 2001)
- Organic carbon content of biosolids is between 20 and 50% (Torri et al. 2014)
- Biosolids are removed from the system for fertilizer use, however, the supernatant, which contains more than enough nutrients for the PBR is used to supplement nutrients where required.
- This study uses typical wastewater total nitrogen and total phosphorus levels: 141 mg/L and 178 mg/L respectively (Ma, 2016)
- Other microalgal cultivation with municipal wastewater had N and P concentrations vary between 120.6 – 530 mg/L of total phosphorus and 128.6 – 290 mg/L of total nitrogen (Kong et al., 2010; Min et al., 2011)
- Medium lipid microalgal biomass (35% lipids) have approximately 35% P in lipids and 65% P in nucleic acids as well as 88% N in proteins and 11% N in nucleic acids (Williams & Laurens, 2010). In the case presented in GREET 2017, virtually no P is found in the proteins, carbohydrates and lipids (United States of America Argonne National Laboratory, 2017; Williams & Laurens, 2010). Therefore, as this process is extracting most of the lipids and lignocellulosic (carbohydrate) biomass, this leaves almost all the N in the left over biomass and the majority of the P. Therefore, considering N & P are suitable for continued recycling within system.
- This study assumes full N and P recovery and circulation.

Table A.2: PBR nutrient sources

Nutrient	Source
Carbon	Flue from co-gen facility (CO ₂ and flue)
Carbon	Glycerol
Carbon	Anaerobic digester supernatant
Carbon	Wastewater
Carbon	Flue gas from industry to ensure nutrient balance and sequestration
Carbon	Fermenter (ABE process)
Nitrogen	Anaerobic digester supernatant
Nitrogen	Wastewater
Phosphorus	Anaerobic digester supernatant
Phosphorus	Wastewater

Calculations:

Input #7 - Wastewater requirement

$$265 \text{ mg/L} \cdot \text{day} = 0.265 \text{ g/L} \cdot \text{day} = 2.65 \times 10^{-4} \text{ kg/L} \cdot \text{day}$$

$$2.65 \times 10^{-4} \text{ kg/L} \cdot \text{day} \cdot 0.001 \text{ tonnes/kg} = 2.65 \times 10^{-7} \text{ tonnes/L} \cdot \text{day}$$

$$10,000 \text{ tonnes/year} / 47 \text{ weeks/year} / 7 \text{ days/week} = 30.4 \text{ tonnes/day}$$

$$30.4 \text{ tonnes/day} / 2.65 \times 10^{-7} \text{ tonnes/L} \cdot \text{day} = 1.15 \times 10^8 \text{ L water (required per day)}$$

Input #8 - Energy requirements for PBR operation

Details/Assumptions:

- 153 GWh (over the course of the year) (Harun et al., 2011). This includes:
 - o PBR circulation
 - o Solar requirements

- Cooling water pumping as required
- Based on a production of 50 kt/year (5x that of which is produced here):

Calculations:

$$153 \text{ Gwh} = 153 \cdot 3,600,000 \text{ MJ} = 550,800,000 \text{ MJ (per year)} = 69,757 \text{ MJ/hr}$$

For this process estimate:

$$69,757 \text{ MJ/hr} / 5 = 13,951 \text{ MJ/hr}$$

Input #2, 6 - Carbon calculations (CO₂ and glycerol)

Total amount of carbon in microalgae produced:

$$10,000,000 \text{ kg microalgae/year} / 47 \text{ week/year} / 7 \text{ days a week} / 24 \text{ hours/day} = 1.27 \times 10^3 \text{ kg of microalgae/hr}$$

$$1.27 \times 10^3 \text{ kg microalgae/hr} / 0.02336 \text{ kg/mol} = 54,215 \text{ mol/hr}$$

$$54,215 \text{ mol/hr} \cdot 0.012 \text{ kg/mol} = 650.6 \text{ kg C/hr}$$

Total amount of carbon in products (ABE, BD, fertilizer):

Carbon in FAME:

$$430 \text{ kg/hr} / 0.292 \text{ kg/mol} = 1,473 \text{ mol/hr}$$

17 mol C /mol FAME

$$17 \text{ mol of C} \cdot 1,473 \text{ mol FAME/hr} = 25,034 \text{ mol C} \cdot 0.012 \text{ kg /mol C} = 300 \text{ kg C}$$

Carbon in Acetone:

$$50.76 \text{ kg/hr} / 0.058 \text{ kg/mol} = 875.17 \text{ mol/hr}$$

3 mol C/mol Acetone

$$3 \text{ mol of C} \cdot 875.17 \text{ mol acetone/hr} = 2,626 \text{ molC/hr} \cdot 0.012 \text{ kg/mol C} = 31.5 \text{ kg C}$$

Carbon in Butanol:

$$101.52 \text{ kg/hr} / 0.074 \text{ kg/mol} = 1372 \text{ molC/hr}$$

4 mol of C/mol butanol

$$4 \text{ mol of C} \cdot 1,372 \text{ mol C/hr} = 5,488 \text{ molC/hr} \cdot 0.012 \text{ kg/mol C} = 65.86 \text{ kg C}$$

Carbon in Ethanol:

$$16.92 \text{ kg/hr} / 0.046 \text{ kg/mol} = 368 \text{ mol ethanol/hr}$$

2 mol C /mol ethanol

$$2 \text{ mol C} \cdot 368 \text{ mol ethanol/hr} = 735.65 \text{ mol C/hr} \cdot 0.012 \text{ kg/mol C} = 8.83 \text{ kg C}$$

Total carbon lost with products (bio-acetone, bio-butanol, bio-ethanol, FAME)

$$300 \text{ kg C in FAME} + 31.5 \text{ kg C in bio-acetone} + 65.86 \text{ kg C in bio-butanol} + 8.83 \text{ kg C in bio-ethanol} = 406.19 \text{ kg C in product/hr}$$

Organic carbon content of biosolids (20 %)

$$290.9 \text{ kg biosolid} \cdot 0.20 = 42 \text{ kg carbon/hr}$$

Total carbon recycled in the system:

650.6 – 406 kg C/hr – 42 kg C/hr = 202 kg C/hr or **1.59x10⁶ kg C/year** (carbon from glycerol, CO₂ from fermenter and flue gas after burning biogas in co-gen)

Mass Balance confirmation (calculating the amount of carbon recycled in recycled glycerol, CO₂ from fermenter and flue gas after burning biogas in co-gen)

CO₂ purged from airlift bioreactor (1.26x10⁶ kg/year*) + CO₂ produced by AD of proteins/lignocellulosic/lipid biomass (2.02x10⁶kg CO₂ + 3.78x10⁵kg CO₂ + 7.14x10⁵ kg CO₂/year) = 1.26x10⁶ + 3.1x10⁶ kg/year = 4.37x10⁶ kg CO₂/ year

In terms of carbon:

4.37x10⁶ kgCO₂ /year /0.044 kgCO₂/mol = 9.93x10⁷ molCO₂/year

Given molar ratio CO₂:C is 1:1, 9.93x10⁷ molCO₂ /year = 9.93x10⁷ molC/year

9.93x10⁷ molC/year*0.012 kg/molC = 1.19x10⁶ kgC/year

*see IO for ABE for calculations

Recycled carbon from glycerol production:

Reaction: TG + 3MeOH ↔ GL + FAME

429.53 kg FAME/hr / 0.2965 kg/mol = 1,448.67 mol FAME/hr

1,448.67 mol glycerol/hr

Each mol of glycerol provides 3 mol of carbon (Glycerol C₃H₈O₃)

1,448.67 mol glycerol/hr · 3 = 4,346 mol C/hr

$$4,346 \text{ mol C/hr} \cdot 0.012 \text{ kg/mol} = 52 \text{ kg C/hr}$$

$$52.15 \text{ kg C/hr} \cdot 24\text{hr/day} \cdot 7 \text{ day/week} \cdot 47 \text{ weeks/year} = 411,792.5 \text{ kg C/year}$$

Mass balance calculation total carbon recycled: $1.19 \times 10^6 \text{ kgC/year}$ from fermenters and AD + $411,792.5 \text{ kg C/year}$ from glycerol = $1.6 \times 10^6 \text{ kgC/year}$

$$1.6 \times 10^6 \text{ kgC/year} \sim 1.59 \times 10^6 \text{ kgC/year}$$

Total CO₂ required (due to loss from products/fertilizer):

$$406 \text{ kg C lost in biofuel products/hr} + 42 \text{ kg C lost in fertilizer/hr} = 448 \text{ kg C/hr}$$

$$448 \text{ kg C/hr} / 0.012 \text{ kg/mol C} = 37,333 \text{ mol C} \cdot 0.044 \text{ kg/mol CO}_2 = 1,643 \text{ kg CO}_2/\text{hr}$$

Input #4, 5 - Nitrogen and Phosphorous

Total amount of N and P required to satisfy C:N:P of 100:11:1

$$650.6 \text{ kg C}/100 = x \text{ kg N}/11, x = \mathbf{71.57 \text{ kg of N/hr}}$$

$$650.6 \text{ kg C}/100 = x \text{ kg P}/1, x = \mathbf{6.5 \text{ kg of P/hr}}$$

Total amount of N and P in wastewater using Ma (2016) values:

$$0.178 \text{ g P/L} \cdot 1.15 \times 10^8 \text{ L/year} = 2.05 \times 10^7 \text{ g/year} = \mathbf{2.59 \text{ kg P/hr}}$$

$$0.141 \text{ g N/L} \cdot 1.15 \times 10^8 \text{ L/year} = 1.62 \times 10^7 \text{ g/year} = \mathbf{2.05 \text{ kg N/hr}}$$

Nitrogen and Phosphorus additional requirements:

71.57 kg of N/hr – 2.05 kg N/hr = **69.52 kg N/hr**

6.5 kg of P/hr – 2.59 kg P/hr = **3.91 kg N/hr**

N and P in wastewater will be recycled through the digestion process (biosolid supernatant returned to PBR)

Approximate time it will require to build up enough N and P to supplement the levels of N and P required:

$71/2.05 = 34$ – it will only take 34 hours for enough N (theoretically) to be retained in the system for adequate nutrient maintenance

$6.5/2.59 = 3$ – it will only take 3 hours for enough P (theoretically) to be retained in the system for adequate nutrient maintenance

Therefore, biosolids will exit the process as fertilizer for elsewhere. GHG credits are calculated in IO for AD section

A.2 IO for Harvesting

Table A.3: Harvesting input/output table

Stage		Inputs	Input amount		Outputs	Output amount
Flocculation and Flootation (FLC 01)	1	Wastewater	1.15×10^8 L/day	1	Wastewater	1.09×10^8 L/day
	2	Algae	10,000,000 kg/year	2	Algae	10,000,000 kg/year
	3	NaOH	7,564,368 kg/year			
	4	Sulfuric acid	1,176.8 kg/hr			
	5	Power	72 kWh (1 hour)			
Centrifuge (CEN 01)	6	Wastewater	1.09×10^8 L/day	3	Wastewater	7.63×10^7 L/day
	7	Algae	10,000,000 kg/year	4	Algae	10,000,000 kg/year
	8	Energy	5,450 kWh (1 hour)			
Flash Dryer (DRY 01)	9	Wastewater	7.63×10^7 L/day	5	Algae	10,000,000 kg/year
	10	Algae	10,000,000 kg/year			

	11	Heat	4,053 kWh (1 hour)			
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A.2.1 FLC 01 - Flocculation (input #3, 4, 5, output #1)

Details/Assumptions:

- Flocculation energy required is 0.015 kWh/m³ (Vandamme, 2013)
- Assuming density of NaOH and algae is negligible here
- Final slurry % total solids: 3-5% (Vandamme, 2013; Wiley et al., 2011) = loss of 5% water
- 5 mM of NaOH required (Yang et al., 2016)
- Molar mass NaOH: 40 g/mol
- Assuming no algae loss

Calculations:

Input #3 – sodium hydroxide

$$5 \text{ mmol NaOH/L} \cdot 1.15 \times 10^8 \text{ L/day} = 5.75 \times 10^8 \text{ mmol NaOH/day}$$

$$5.75 \times 10^8 \text{ mmol NaOH/day} \cdot 0.04 \text{ g/mmol} = 2.3 \times 10^7 \text{ g/day}$$

$$2.3 \times 10^7 \text{ g/day} \cdot 1 \text{ day/24 hours} = 9.58 \times 10^5 \text{ g/hr} = 958 \text{ kg/hr} = 7,564,368 \text{ kg/year}$$

Input #5 - power

$$0.015 \text{ kWh/m}^3 \cdot 115,000 \text{ m}^3/\text{day} (\text{wastewater}) = 1,725 \text{ kWh /day}$$

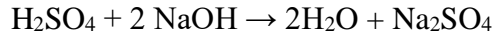
72 kWh (over an hour)

Output #1 - wastewater

$$1.15 \times 10^8 \text{ L wastewater/day} - (0.05 \cdot 1.15 \times 10^8 \text{ L wastewater/day}) = 1.09 \times 10^8 \text{ L/day}$$

Additional wastewater created because of the neutralization of flocculant:

12,008.3 mol H₂SO₄ /hr and will produce 2x the amount of mols per hour according to this equation:



$$\text{Mols of water produced} = 12,008.3 \text{ mol H}_2\text{SO}_4 / \text{hr} \cdot 2 = 24,017 \text{ mol water/hr}$$

$$24,017 \text{ mol water/hr} \cdot 0.018 \text{ kg water/mol} = 432 \text{ kg water produced/hr}$$

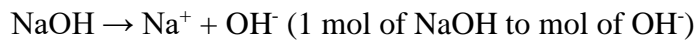
Input #4 – sulfuric acid

Initial pOH:

$$958 \text{ kg NaOH/hr in } 4.54 \times 10^6 \text{ L/hr}$$

$$958 \text{ kg NaOH} / 0.040 \text{ kg/mol} = 23,950 \text{ mol/hr}$$

$$23,950 \text{ mol/hr} / 4.54 \times 10^6 \text{ L/hr} = 0.0053 \text{ mol NaOH/L}$$



$$\text{pOH} = -\log_{10} [\text{OH}^-]$$

$$\text{pOH} = -\log_{10} [0.0053 \text{ mol/L}]$$

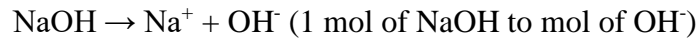
$$\text{pOH} = 2.28$$

To get to pH of 5:

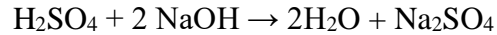
$$0.0053 \text{ mol/L} \rightarrow 0.00001 \text{ mol/L requires reduction of } 0.00529 \text{ mol hydroxyl ions/L}$$

$0.00529 \text{ mol hydroxyl ions/L} \cdot 4.54 \times 10^6 \text{ L NaOH solution/hr} = 24,017 \text{ mol hydroxyl ions to be removed/hr}$

Need the same mols of NaOH as hydroxide ions according to this equation:



Need ½ the mols of H₂SO₄ than of NaOH according to this equation:



Mols of H₂SO₄ required: $0.5 \cdot 24,017 \text{ mol hydroxyl ions/hr}$

$12,008.3 \text{ mol H}_2\text{SO}_4 \text{ /hr} \cdot 0.098 \text{ kg H}_2\text{SO}_4 \text{ /mol} = 1,176.8 \text{ kg H}_2\text{SO}_4 \text{ /hr}$

A.2.2 CEN 01 – Centrifuge to remove wastewater (input #8, output #3)

Details/Assumptions:

- 1000 kg of water/m³ (based on 1 kg/L water density)
- mass of algae is insignificant compared to the weight of water
- lose 5% of water from flocculation
- EVODOS centrifuge: 1.2 kWh /m³ water (power required for centrifuge) (EVODOS, 2011)
- Increase solid content to 30% (EVODOS, 2011)

Calculations:

Input #8 – energy for centrifuge

$1.09 \times 10^8 \text{ L water/day}$ through the centrifuge

$1.2 \text{ kWh/m}^3 \cdot 1.09 \times 10^5 \text{ m}^3 \text{ /day} = 130,800 \text{ kWh per day}$

5,450 kWh (over an hour)

Output #3 - wastewater

$$1.09 \times 10^8 \text{ L wastewater/day} \cdot 0.7 = 7.63 \times 10^7 \text{ L wastewater/day}$$

A.2.3 DRY 01 - Dryer to dry all microalgae (input #11)

Details/Assumptions:

- 3.2 kWh/kg dried algae based on a 30% solid content beforehand (Petrick et al., 2013)

Calculations:

$$3.2 \text{ kWh/kg dried algae} \cdot 10,000,000 \text{ kg dried algae/year} = 3.2 \times 10^7 \text{ kWh over a year period}$$

4,053 kWh (over an hour – given 47 weeks/year)

A.3 IO for Extraction

Table A.4: Extraction input/output table

Stage		Inputs	Input amount		Outputs	Output amount
Air Swept Pulveriser (PUV 01)	1	Algae	10,000,000 kg/year	1	Algae	10,000,000 kg/year
	2	Energy	241.2 MJ (1 hour)			
Solvent addition (CSTR 01)	3	Algae	10,000,000 kg/year	2	Lipids	3,500,000 kg/year
	4	Energy	184.84 kWh (1 hour)			
	5	Heat	3,147.15 MJ (1 hour)	3	Ligno + protein	6,500,000 kg/year
	6	Hexane	8,776.1 kg/hr			
7	Ethanol	975,7 kg/hr				
Centrifuge (CEN 02)	8	Lipids	3,500,000 kg/year	4	Lipids	3,500,000 kg/year
	9	Ligno + protein	6,500,000 kg/year			
	10	Hexane	8,776.1 kg/hr	5	Ligno + Protein	6,500,000 kg/year
	11	Ethanol	975,7 kg/hr			
12	Energy	20.1 kWh (for 1 hour)				
Evaporator (EVP 01)	13	Lipids	3,500,000 kg/year	6	Lipids	3,500,000 kg/year
	14	Hexane	8,776.1 kg/hr			
	15	Heat (Q)	2,949 MJ/hr	7	Hexane	8,776.1 kg/hr
Condenser (CND 01)	16	Hexane	8,776.1 kg/hr	8	Hexane	8,776.1 kg/hr
	17	Cooling (Q)	2,949 MJ/hr			
Evaporator (EVP 07)	18	Ethanol	975,7 kg/hr	9	Ethanol	975,7 kg/hr
	19	Heat (Q)	818 MJ/hr			
Condenser (CND 07)	20	Ethanol	975,7 kg/hr	10	Ethanol	975,7 kg/hr
	21	Cooling (Q)	818 MJ/hr			

A.3.1 PUV 01 - Air swept pulveriser (input #2)

Details/Assumptions:

- Microalgal cell size: 5.3 micron (Hu, 2014)
- Air swept pulverisers can produce smaller particle sizes (Towers, 2016)
- Jacobson pulverizer can produce particles below 37 micron (Carter Day International Inc., 2012)
- Jacobson pulverizer operates at 50-125 HP (Carter Day International Inc., 2012)
- Capacity for a similar product (to the Jacobson pulverizer) 1200 kg/hr with similar power requirement (75 – 100 HP) (Premium Pulman PVT Ltd., 2017)
- Using 90 HP = **67kW**

Calculations:

$$67\text{kJ/s} \cdot 3600\text{s} = 241,200 \text{ kJ} = 241.2 \text{ MJ (each hour)}$$

A.3.2 CSTR 01 - Continuous Stirred Tank Reactor for separation mixing (input #4, 5, 6, 7, output #2, 3)

Details/Assumptions:

- Several studies have used hexane for extraction of oil in a similar process chains (Lardon, Hélias, Sialve, Steyer, & Bernard, 2009; Nanaki & Koroneos, 2012; Stephenson et al., 2010)
- Hexane molecular weight: 86.18 g/mol
- Hexane boiling point: 68°C (Green & Perry 2007)
- Dry extraction power requirement: 0.417 kWh/kg extracted oil; heat requirement: 7.1 MJ/kg extracted oil; hexane loss: 0.015 kg/kg extracted oil calculated from Table 3 of (Lardon et al., 2009)
- Hexane/ethanol solvent mixture with hexane:ethanol at 9:1 by weight with solvent to oil ratio of 22:1 by weight with a 90% recovery of oil (Batan et al. 2010)
- Assume hexane and ethanol loss in the same ratio (9:1)

- 35 wt% lipids (realistic lipid percentages based on values found in Table 2.4 of this document and optimal nutrients)

Calculations:

Input #4, 5, 6 & 7

Power and heat requirements:

$3,500,000 \text{ kg lipids/year} \cdot 1 \text{ year}/47 \text{ weeks} \cdot 1 \text{ week}/7 \text{ days} \cdot 1 \text{ day}/24 \text{ hours} = 443.26 \text{ kg lipids/hr}$ enter the bioreactor

$0.417 \text{ kWh/kg} \cdot 443.26 \text{ kg/hr} = 184.84 \text{ kWh}$ (665.42 MJ)

$7.1 \text{ MJ/kg} \cdot 443.26 \text{ kg/hr} = 3,147.15 \text{ MJ}$

Hexane and ethanol loss:

$0.015 \text{ kg hexane loss/kg extracted oil} \cdot 443.26 \text{ kg extracted oil/hr} = 6.65 \text{ kg hexane/hr}$ (close to 2 g hexane loss/kg dry algae in Lardon et al. 2009)

$1,266.5 \text{ kg algae/hr} \cdot 2 \text{ g hexane loss/kg dry algae} = 2,533 \text{ g hexane loss/hr} = 2.5 \text{ kg of hexane loss /hr}$ (using Lardon et al. 2009)

Hexane (x) + ethanol (y) = 6.65 kg

$9y = x$ (9:1 hexane/ethanol ratio)

Hexane loss = 5.99 kg/hr

Ethanol loss = 0.65 kg/hr

Amount of total hexane required for circulation purposes:

443.26 kg oil/hr · 22 = 9,751.72 kg solvent required (22:1 solvent/oil ratio)

Hexane (x) + ethanol (y) = 9,751.72

9y = x (9:1 hexane/ethanol ratio)

Ethanol required = 975.12 kg/hr

Hexane required = 8,776.1 kg/hr

Output #2 & 3

Calculations:

10,000,000 kg microalgae/year · 0.35 lipid content = 3,500,000 kg lipids/kg of microalgae

65% lignocellulosic biomass and protein left over = 6,500,000 kg lingo+protein/ kg of microalgae

A.3.3 CEN 02 – Centrifuge to separate lipids from rest of microalgal biomass (input #12)

Details/Assumptions:

- Average density of Chlorella Vulgaris is 0.57 g/cm³ (Hu, 2014), 0.57 g/cm³ = 570 kg/m³
- Density of hexane: 659 kg/m³ (Green & Perry 2007)
- Density of ethanol: 0.79 kg/L at 15°C (Table 2.2 in section 2.1.2)

Calculations:

$10,000,000 \text{ kg microalgae/year} / 47 \text{ week/year} / 7 \text{ days a week} / 24 \text{ hours/day} = 1.27 \times 10^3 \text{ kg of microalgae/hr}$

$1.27 \times 10^3 \text{ kg of microalgae/hr} / 570 \text{ kg/m}^3 = 2.23 \text{ m}^3$

$8,776.1 \text{ kg hexane/hr} / 659 \text{ kg/m}^3 = 13.3 \text{ m}^3$

$975.12 \text{ kg ethanol/hr} / 0.79 \text{ kg/L} \cdot 1 \text{ L} / 0.001 \text{ m}^3 = 1.2 \text{ m}^3$

Total volume (16.7 m^3) to run through the centrifuge in an hour

$1.2 \text{ kWh/m}^3 \text{ (EVODOS, 2011)} \cdot 16.7 \text{ m}^3 = 20.1 \text{ kWh (for 1 hour)}$

A.3.4 EVP 01 – Evaporate hexane from lipid stream (input #15)

Details/Assumptions:

- Using a falling film evaporator as per Figure A.1
- Circulation energy included in the Pump Energy section (Appendix B)
- Using NG for heat source

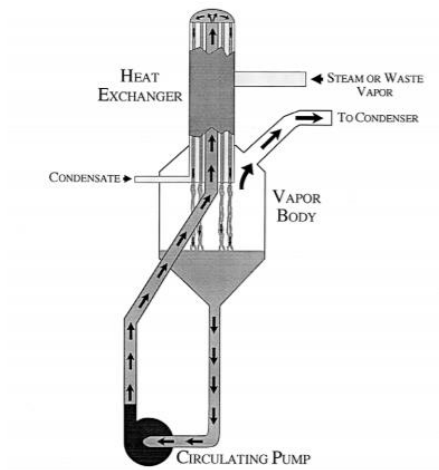


Figure A.1: Falling film evaporator (The Dupps Company, 2017)

Calculations:

$$H1 = \int(341 \text{ K} - 298 \text{ K}) C_p (l) (\mathbf{TG}) dT$$

$$H1 = \int(341 \text{ K} - 298 \text{ K}) (A_i + B_i T + C_i T^2) dT \text{ (in J/kmol} \cdot \text{K)}$$

$$H1 = 1475.1T - (0.71T^2)/2 + (5.85T^3)/3 \cdot 10^3$$

$$H1 = 1475.1 (341 - 298) - (0.71(341^2 - 298^2))/2 + (5.85(341^3 - 298^3))/3 \cdot 10^3$$

$$H1 = 61,987 \text{ J/kmol}$$

$$H1 = 0.068 \text{ kJ/mol}$$

$$H2 = \int(341 \text{ K} - 298 \text{ K}) C_p (l) (\mathbf{HEX}) dT + \Delta H \text{ vap}$$

Assume $\Delta H \text{ vap}$ supersedes

$$H2 = 29.24 \text{ kJ/mol}$$

Mol of hexane:

$$8,776.1 \text{ kg} / 0.086 \text{ kg/mol} = 102,048 \text{ mol of hexane/hr}$$

$$Q = \Sigma \text{ Internal enthalpy rate} = n1H1 + n2H2$$

$$Q = (501 \text{ mol/hr})(0.062 \text{ kJ/mol}) + (102,048 \text{ mol/hr})(28.9 \text{ kJ/mol})$$

$$Q = 31 \text{ kJ/hr} + 2,949,178 \text{ kJ/hr}$$

$$Q = 2,949 \text{ MJ/hr}$$

Table A.5: EVP01 - hexane evaporation from lipid stream

Product	Mass flow (kg/hr)	Molar flow (kmol/hr) (ni)	Internal Enthalpy designate (J/kmol)	Internal Enthalpy value (based on scenario)
Triglyceride (lipid for inlet)	443.26	0.501	H1	0.068 kJ/mol
Hexane (86.18 g/mol)	8,776.1 kg/hr	102,048 mol/hr	H2	28.9 kJ/mol

A.3.5 *CND 01 - Condenser for hexane (input #15)*

$$H2 = -(341 \text{ K} - 339 \text{ K}) C_p (\text{l}) (\text{HEX}) dT - \Delta H \text{ vap}$$

Assume $\Delta H \text{ vap}$ supersedes

$$H2 = - 28.9 \text{ kJ/mol}$$

$$Q = \Sigma \text{ Internal enthalpy rate} = n2H2$$

$$Q = 102,048 \text{ mol/hr} \cdot (-28.9 \text{ kJ/mol})$$

$$Q = - 2,949 \text{ MJ/hr}$$

A.3.6 EVP 07 – Evaporator for ethanol (input #19)

Details/Assumptions:

- Using a falling film evaporator as per Figure A.1
- Circulation energy included in the Pump Energy section (Appendix B)
- $T_{in} = 68^{\circ}\text{C}$ (from the evaporation of hexane), $T_{out} = 79^{\circ}\text{C}$ (boiling point of ethanol)
- Using NG for heat source

$$H = \int (352 \text{ K} - 341 \text{ K}) C_p (l) (\mathbf{ETH}) dT + \Delta H \text{ vaporization (evaporating bio-ethanol)}$$

Assume ΔH vap supersedes

$$H = 38.6 \text{ kJ/gmol}$$

Mol of ethanol:

$$975.12 \text{ kg/hr} / 0.046 \text{ kg/mol} = 21,198 \text{ mol ethanol/hr}$$

$$Q = \Sigma \text{ Internal enthalpy rate} = nH$$

$$Q = 21,198 \text{ mol/hr} \cdot 38.6 \text{ kJ/gmol}$$

$$Q = 818,243 \text{ kJ/hr}$$

$$Q = 818 \text{ MJ/hr}$$

Table A.6: EVP07 - ethanol evaporation from lipid stream

Product	Mass flow (kg/hr)	Molar flow (kmol/hr) (ni)	Internal Enthalpy designate (J/kmol)	Internal Enthalpy value (based on scenario)
Triglyceride (lipid for inlet)	443.26	0.501	H1	0.068 kJ/mol
Ethanol (46 g/mol)	975.12 kg/hr	21,198 mol/hr	H2	38.6 kJ/gmol

A.3.7 CND 07 – Condenser for ethanol (input #21)

Assume ΔH vap supersedes

$$H = - 38.6 \text{ kJ/gmol}$$

$$Q = \Sigma \text{ Internal enthalpy rate} = nH$$

$$Q = 21,198 \text{ mol/hr} \cdot -38.6 \text{ kJ/gmol}$$

$$Q = - 818,243 \text{ kJ/hr}$$

$$Q = - 818 \text{ MJ/hr}$$

A.4 IO for BD production

Table A.7: BD process input/output table

Stage		Inputs	Input amount		Outputs	Output amount
Positive displacement heat pump (CMP 01)	1	Power/Energy	3.6kW/3.6kWh	1	Triglycerides (Lipids) Methanol	443.26 kg/hr 144.25 kg/hr
	2	Triglycerides (Lipids)	443.26 kg/hr			
	3	Methanol	144.25 kg/hr	2		
Transesterification (CSTR 02)	4	Triglycerides (Lipids)	443.26 kg/hr	3	Triglycerides	0.11 kg/hr
	5	Methanol	144.25 kg/hr	4	Methanol	97.70 kg/hr
	6	Heat energy (Q)	42.3 kW	5	Diglycerides	1.59 kg/hr
				6	Monoglycerides	5.17 kg/hr
				7	Methylesters	429.53 kg/hr
			8	Glycerol	53.34 kg/hr	
Cooler (CLR 01)	7	Triglycerides	0.11 kg/hr	10	Triglycerides	0.11 kg/hr
	8	Methanol	97.70 kg/hr	11	Methanol	97.70 kg/hr
	9	Diglycerides	1.59 kg/hr	12	Diglycerides	1.59 kg/hr
	10	Monoglycerides	5.17 kg/hr	13	Monoglycerides	5.17 kg/hr
	11	Methylesters	429.53 kg/hr	14	Methylesters	429.53 kg/hr
	12	Glycerol	53.34 kg/hr	15	Glycerol	53.34 kg/hr
	13	Cooling energy	50 kWh			
Evaporator (EVP 02)	14	Triglycerides	0.11 kg/hr	16	Methanol	75.88 kg/hr
	15	Methanol	97.70 kg/hr			
	16	Diglycerides	1.59 kg/hr			
	17	Monoglycerides	5.17 kg/hr			
	18	Methylesters	429.53 kg/hr			
19	Heat energy	0				
Condenser (CDN 02)	20	Methanol	75.88 kg/hr (from	17	Methanol	94.39 kg/hr (recovered)
	21	Cooling energy	EVP 02)			

	22	Methanol sourced	18.92 kg/hr (from EVP 03) 30.8 kW 49.86 kg			
Centrifuge (CEN 03)	23	Triglycerides	0.11 kg/hr	18	Triglycerides	0.11 kg/hr
	24	Methanol	21.82 kg/hr	19	Methanol	2.9 kg/hr
	25	Diglycerides	1.59 kg/hr	20	Diglycerides	1.59 kg/hr
	26	Monoglycerides	5.17 kg/hr	21	Monoglycerides	5.17 kg/hr
	27	Methylesters	429.53 kg/hr	22	Methylesters	429.53 kg/hr
	28	Glycerol	53.34 kg/hr			
	29	Energy	0.746kWh (1 hour)			
Evaporator (EVP 03)	30	Glycerol	53.34 kg/hr	23	Glycerol	53.34 kg/hr
	31	Methanol	21.82 kg/hr	24	Methanol	18.92 kg/hr
	32	Heat (Q)	22 MJ/hr			
Evaporator (EVP 04)	33	Triglycerides	0.11 kg/hr	25	Triglycerides	0.11 kg/hr
	34	Diglycerides	1.59 kg/hr	26	Diglycerides	1.59 kg/hr
	35	Monoglycerides	5.17 kg/hr	27	Monoglycerides	5.17 kg/hr
	36	Methylesters	429.53 kg/hr	28	Methylesters	429.53 kg/hr
	37	Methanol	2.9 kg/hr	29	Methanol	2.9 kg/hr
	38	Heat energy (Q)	73 kWh			
Condenser (CND 03)	39	Methylesters	429.53 kg/hr	30	Methylesters	429.53 kg/hr
	40	Cooling energy (Q)	35 kWh			

A.4.1 CMP 01 – Compressor for TG feed (input #1, 3)

Details/Assumptions:

- Supercritical methanol is the process used for transesterification for this study
- Conversion of oil to FAME is rapid (i.e. less than 3 minutes) with a 98 % conversion at 10-20 MPa, between 375 and 400°C with a methanol:oil ratio of 3:1 to 6:1 (Bernal et al., 2012; Marulanda et al., 2010; Pinnarat & Savage, 2008)
- This study assumes reactor pressure increased from 0.1 – 15 mPa as per above (not dealing with head pressure)

- Density of TG: 915 kg/m³ (Green & Perry, 2007)
- Density of methanol: 810 kg/m³ (Green & Perry, 2007)
- $P_{\text{elect}} \text{ (kW)} = Q \text{ (m}^3\text{/hr)} \cdot \Delta P \text{ (bar)} / 36 \cdot E \text{ (\%/100)}$, E = efficiency of (pump, transmission, motor) (Vogelesang, 2008)
- Molar methanol: Molar Triglyceride (9:1) (Liu, 2013)

Calculations:

Input #1 – power requirement for pressure increase

$$(443.26 \text{ kg/hr} / 915 \text{ kg/m}^3) + (144.25 \text{ kg/hr} / 810 \text{ kg/m}^3) = 0.48 + 0.18 \text{ m}^3 = 0.66 \text{ m}^3\text{/hr}$$

$$P_{\text{elect}} = 0.66 \text{ m}^3\text{/hr} \cdot 150 \text{ bar} / 36 \cdot 0.75$$

$$P_{\text{elect}} = 3.6 \text{ kW (power)}$$

If the pump operates for 1 hour then the total energy used is 3.6 kWh

Input #3 – methanol requirement

Molar flow rate of methanol:

$$\text{Lipids: } 443.26 \text{ kg lipids/hour} \cdot 1 \text{ kmol}/885 \text{ kg} = 0.5 \text{ kmol/hr}$$

$$\text{Methanol: } 9 \cdot 0.5 \text{ kmol/hr} = 4.5 \text{ kmol/hr}$$

$$4.5 \text{ kmol/hr} \cdot 32 \text{ kg/kmol} = 144.25 \text{ kg/hr}$$

A.4.2 CSTR 02 - Transesterification reaction (input #6, output# 3-8)

Details/Assumptions:

- Supercritical methanol is the process used for transesterification for this study

- Molar methanol: Molar Triglyceride (9:1) (Jiuxu Liu, 2013)
- 443.26 kg lipids/hr enter the bioreactor (calculated above)
- Dowtherm A is used as a heating medium. The heat source for Dowtherm A is NG in GaBi using LHV of NG
- Loss of refrigerants (e.g. Dowtherm A) is assumed to be 5%
- Molecular weight of TG = 885 g/mol (see Table A.8)
- Methanol can be obtained from renewable sources, it is usually derived from natural gas (Knothe, 2010). Therefore, methanol:NG by weight ratio is 1.7:1 with density of NG at 25°C and 1 atm at 0.66 g/L (Cheng & Kung, 1994; Haid & Koss, 2001). NG is used as a substitute for methanol as methanol is not available in GaBi Education.

Calculations:

Output #3-8 – amount of methanol, glycerides and FAME

Molar flow rate of all inputs:

Lipids: 443.26 kg lipids/hour · 1 kmol/885 kg = **0.5 kmol/hr**

Methanol: 9·0.5 kmol/hr = **4.5 kmol/hr**

4.5 kmol/hr · 32 kg/kmol = 144.25 kg/hr (recover enough that only need to supplement 49.86/hr)

Converting methanol to NG for input into GaBi

methanol:NG 1.7:1

144.25kg/1.7 = 84.9 kg of NG

*Note – steam reforming impacts not included here

Total inlet mass flow:

$$144.25 \text{ kg methanol/hr} + 443.26 \text{ kg lipid/hr} = 587.51 \text{ kg/hr}$$

Outlet mass flow calculations based on ratios in Table A.8 (Liu, 2013) (supercritical transesterification process):

$$\text{Methanol: } 587.51 \text{ kg inlet mass/hr} \cdot 16.63 \text{ wt\%} = 97.70 \text{ kg/hr}$$

$$\text{Glycerol: } 587.51 \text{ kg inlet mass/hr} \cdot 9.08 \text{ wt\%} = 53.34 \text{ kg/hr}$$

$$\text{MG: } 587.51 \text{ kg inlet mass/hr} \cdot 0.88 \text{ wt\%} = 5.17 \text{ kg/hr}$$

$$\text{DG: } 587.51 \text{ kg inlet mass/hr} \cdot 0.27 \text{ wt\%} = 1.59 \text{ kg/hr}$$

$$\text{TG: } 587.51 \text{ kg inlet mass/hr} \cdot 0.02 \text{ wt\%} = 0.11 \text{ kg/hr}$$

$$\text{FAME: } 587.51 \text{ kg inlet mass/hr} \cdot 73.11 \text{ wt\%} = 429.53 \text{ kg/hr}$$

Input #6 – Heat

- Dowtherm A – used in liquid phase heat exchanger from 15-400°C (can be used as heat exchanger fluid in vapour form from 257°C to 400°C) and freezing point (12°C) (The DOW Chemical Company, 1997)
- Molecular weight of Dowtherm A: 166 g/mol (The DOW Chemical Company, 1997)
- Heat capacity of Dowtherm A: 2.7 kJ/kg·K (@400°C) (The DOW Chemical Company, 1997)
- Heat of formation is the energy associated with the formation of the material
- Heat capacity is the ratio of heat added to or removed from a material to the resulting temperature change of the material (J/Kelvin)

Table A.8: Properties of transesterification products (Anitescu & Bruno, 2012; Lapurta et al., 2010; Perry et al., 1997)

Product	Molecular weight (g/mol)	Weight composition of product ratio (% of total) (Liu 2013)	Boiling Point (*C)	Heat of Formation (kJ/mol)	Heat of Vaporization (kJ/mol) (Reid et al. 2007)
Glycerol	92	9.08	288	-669.6	91.7
Monoglyceride	356	0.879	238	-1147.31	151.86
Diglyceride	621	0.2697	397.7	-1651.79	222.69
Triglyceride (lipid for inlet)	885	0.02	629	-2129.07	285.77
FAME	296.5	73.1196	218.5	-734.5	84.6
Methanol	32	16.6317	64.7	-239.2	37.6
Chloroform	119.38		62		29.24

Table A.9: Mass and molar flows of transesterification process

Product	Input mass flow (kg/hr)	Input molar flow (kmol/hr) (ni)	Output mass flow (kg/hr)	Output molar flow (kmol/hr)	Internal Enthalpy designate (J/kmol)	Internal Enthalpy value (J/kmol)
Glycerol			53.34	0.58	H8	320,028
Monoglyceride			5.17	0.01	H3	534,768
Diglyceride			1.59	0.003	H4	751,121
Triglyceride (lipid for inlet)	443.26 kg/hr	0.5	0.11	0.0001	H1/H5	966,688
FAME			429.53	1.45	H6	326,656
Methanol	144.25 kg/hr	4.51	97.70	3.05	H2/H7	20,827

Table A.10: Heat capacity coefficients for liquids ((Zeng et al., 2014), C_p (liquid component) = $A_i + B_iT + C_iT^2$ (in J/kmol ·K)

Component	A	B	$C \times 10^3$
Triglyceride/Lipids	1475.0791	-0.7072	5.8483
Diglyceride	1105.3142	-1.2734	6.1244
Monoglyceride	735.5490	-1.8397	6.4005
MeOL/FAME	509.4171	-0.3055	2.0693
Glycerol	365.7840	-2.4060	6.6766
MeOH	7.2703	0.1328	-0.0610

Table A.11: Heat capacity coefficients for gases ((Sazhin et al., 2014), C_p (gas component) = $(A_i + B_iT + C_iT^2) \cdot 10^3$ (in J/mol·K)

Component	A	B	C
MeOH/FAME	1.915	-0.002163	0.00000829
MeOH	21.152	0.070924	0.00002587

Total energy required to maintain reactor temperature:

Q (Energy) = ΔH (heat content of the system)

ΔH = (**Rate of internal enthalpy change** + **Rate of enthalpy of reaction**) – from Chapter 7 of (Morris et al., 2011)

TG + 3 MeOH → GL + 3FAME (Dong et al., 2016)

Rate of enthalpy of reaction (heat given off or required by/for the reaction):

Enthalpy of reaction = heat of formation of products – heat of formation of reactants

Enthalpy of reaction = (1 mol glycerol)(-669.6 kJ/mol glycerol) + (3 moles FAME)(-734.5 kJ/mol FAME) – [(1 mol TG)(-2,129.07 kJ/mol TG) + (3 mol methanol)(-239.2 kJ/mol methanol)]

Enthalpy of reaction = -26.43 kJ/mol (**exothermic reaction**)

Extent of enthalpy of reaction (rate) = (0.5 kmol TG/hr)(1 hr/3600s)(-26.43 kJ/mol)(1000 mol/kmol) = -3.67 kJ/s or -3.67 kW

Rate of internal enthalpy change (heat required or given off based on the heat capacity of the materials and their correlated temperature changes):

$$Q = \Sigma \text{ Internal enthalpy rate output} - \Sigma \text{ Internal enthalpy rate input} + \text{rate of enthalpy of reaction}$$

$$\Sigma \text{ Internal enthalpy rate input} = n_1 H_1 + n_2 H_2$$

$$\Sigma \text{ Internal enthalpy rate output} = n_3 H_3 + n_4 H_4 + n_6 H_6 + n_8 H_8 + n_5 H_5 + n_7 H_7$$

$$H_1 = \int (673 \text{ K} - 298 \text{ K}) C_p (\text{TG}) dT$$

$$H_1 = \int (673 \text{ K} - 298 \text{ K}) (A_i + B_i T + C_i T^2) dT \text{ (in J/kmol *K)}$$

$$H_1 = 1475.08T - (0.71T^2)/2 + (5.85T^3)/3 * 10^3$$

$$H_1 = 1475.08 (673 - 298) - (0.71(673^2 - 298^2))/2 + (5.85(673^3 - 298^3))/3 * 10^3$$

$$H_1 = 966,688 \text{ J/kmol}$$

$$H_2 = \int (338 \text{ K} - 298 \text{ K}) C_p (\text{l}) (\text{MeOH}) dT + \Delta H_{\text{vap}} + \int (673 \text{ K} - 338 \text{ K}) C_p (\text{g}) (\text{MeOH}) dT$$

Assume ΔH_{vap} supersedes

$$\Delta H_2_{\text{vap}} = 37.6 \text{ kJ/mol}$$

$$H_3 = \int (511 \text{ K} - 298 \text{ K}) C_p (\text{l}) (\text{MG}) dT + \Delta H_{\text{vap}} + \int (673 \text{ K} - 511 \text{ K}) C_p (\text{g}) (\text{MG}) dT$$

Assume ΔH_{vap} supersedes

$$\Delta H_3_{\text{vap}} = 151.86 \text{ kJ/mol}$$

$$(H3 [511 - 298] = 534,768 \text{ J/kmol})$$

$$H4 = \int(670 \text{ K} - 298 \text{ K}) C_p (1) (\mathbf{DG}) dT + \Delta H \text{ vap}$$

Assume ΔH vap supersedes

$$\Delta H4 \text{ vap} = 222.69 \text{ kJ/mol}$$

$$(H4 [670 - 298] = 751,121 \text{ J/kmol})$$

$$H5 = \int(673 \text{ K} - 298 \text{ K}) C_p (\mathbf{TG}) dT$$

$$H5 = 966,688 \text{ J/kmol}$$

$$H6 = \int(491 \text{ K} - 298 \text{ K}) C_p (\mathbf{FAME}) dT + \Delta H \text{ vap} + \int(673 \text{ K} - 491 \text{ K}) C_p (\text{g}) (\mathbf{FAME}) dT$$

Assume ΔH vap supersedes

$$\Delta H6 \text{ vap} = 84.6 \text{ kJ/mol}$$

$$(H6 [491 - 298] = 326,656 \text{ J/kmol})$$

$$H7 = \int(338 \text{ K} - 298 \text{ K}) C_p (\mathbf{MeOH}) dT + \Delta H \text{ vap} + \int(673 \text{ K} - 338 \text{ K}) C_p (\text{g}) (\mathbf{MeOH}) dT$$

Assume ΔH vap supersedes

$$\Delta H7 \text{ vap} = 37.6 \text{ kJ/mol}$$

$$(H7 [338 - 298] = 20,827 \text{ J/kmol})$$

$$H_8 = \int(561 \text{ K} - 298 \text{ K}) C_p (\text{Gly}) dT + \Delta H_{\text{vap}} + \int(561 \text{ K} - 491 \text{ K}) C_p (\text{g}) (\text{Gly}) dT$$

Assume ΔH_{vap} supersedes

$$\Delta H_{\text{vap}} = 91.7 \text{ kJ/mol}$$

$$(H_8 [561 - 298] = 320,028 \text{ J/kmol})$$

$$\Sigma \text{ Internal enthalpy rate input} = n_1 \cdot H_1 + n_2 \cdot H_2$$

$$\Sigma \text{ Internal enthalpy rate input} = 0.5 \text{ kmol lipids/hr (967 kJ/kmol)} + 4.510 \text{ kmol methanol/hr (37,006 kJ/kmol)}$$

$$\Sigma \text{ Internal enthalpy rate input} = 483.5 \text{ kJ/hr} + 166,527 \text{ kJ/hr}$$

$$\Sigma \text{ Internal enthalpy rate input} = 167,011 \text{ kJ/hr}$$

$$\Sigma \text{ Internal enthalpy rate input} = 46.4 \text{ kW}$$

$$\Sigma \text{ Internal enthalpy rate output} = n_8 \cdot H_8 + n_3 \cdot H_3 + n_4 \cdot H_4 + n_5 \cdot H_5 + n_6 \cdot H_6 + n_7 \cdot H_7$$

$$\Sigma \text{ Internal enthalpy rate output} = 0.58 \text{ kmol GLY/hr (91,700 kJ/kmol)} + 0.01 \text{ kmol MG/hr (151,860 kJ/kmol)} + 0.003 \text{ kmol DG/hr (222,690 kJ/kmol)} + 0.0001 \text{ kmol TG/hr (967 kJ/kmol)} + 1.45 \text{ kmol FAME/hr (84,600 kJ/kmol)} + 3.05 \text{ kmol MeOH/hr (37,600 kJ/kmol)}$$

$$\Sigma \text{ Internal enthalpy rate output} = 53,186 \text{ kJ/hr} + 15,186 \text{ kJ/hr} + 668.1 \text{ kJ/hr} + 0.1 \text{ kJ/hr} + 122,670 \text{ kJ/hr} + 114,680 \text{ kJ/hr}$$

$$\Sigma \text{ Internal enthalpy rate output} = 306,390 \text{ kJ/hr}$$

$$\Sigma \text{ Internal enthalpy rate output} = 85 \text{ kW (products require more energy for the same temperature increase)}$$

$$Q = \Delta H$$

$$Q = \Sigma \text{ Internal enthalpy rate output} - \Sigma \text{ Internal enthalpy rate input} + \text{rate of enthalpy of reaction}$$

$$Q = 85 \text{ kW} - 46.4 \text{ kW} + 3.67 \text{ kW}$$

$$Q = 42.3 \text{ kW (required to maintain reactor temperature)}$$

A.4.3 CLR 01 - Cooler for transesterification products (input# 13)

Details/Assumptions:

- Input: $T_{in} = 400^{\circ}\text{C}$, $P_{in} = 15\text{mPa}$
- Output: $T_{out} = 65^{\circ}\text{C}$, $P_{out} = 1\text{atm}$ (65°C boiling point of methanol – Table A.8)
- Heat capacity of Dowtherm A: $1.587\text{kJ/kg}\cdot\text{K}$ (@ 25°C) – using the same fluid to cool as to heat (The DOW Chemical Company, 1997)
- Note – the pressure drop will decrease the amount of energy required to cool the products. Energy required to cool was over estimated. That amount is estimated to be rather small and inconsequential overall.

Calculations:

$Q = \Delta H_{\text{vap}}$ of glycerol, MG, DG and FAME as these transition from gas to liquid during cooling

$$Q = (91.7 \text{ kJ/mol} \cdot 1\text{mol}/0.092\text{kg} \cdot 53.34 \text{ kg/hr}) + (151.86 \text{ kJ/mol} \cdot 1\text{mol}/0.356 \text{ kg} \cdot 5.17 \text{ kg/hr}) + (222.69 \text{ kJ/mol} \cdot 1\text{mol}/0.621\text{kg} \cdot 1.59\text{kg/hr}) + (84.6\text{kJ/mol} \cdot 1\text{mol}/0.296\text{kg} \cdot 429.53\text{kg/hr})$$

$$Q = 53,166 \text{ kJ/hr} + 2,205 \text{ kJ/hr} + 570 \text{ kJ/hr} + 122,764 \text{ kJ/hr}$$

$$Q = 178,705 \text{ kJ/hr}$$

$$Q = 50 \text{ kW}$$

A.4.4 EVP 02 - Evaporate methanol (output# 16)

Details/Assumptions:

- no energy required to evaporate methanol here as output temperature from cooler (CLR 01) is the evaporation temperature of methanol (65°C) (Table A.8)
- 2-5% methanol remains in BD. Using 3% methanol remains with oil and is not extracted (Kusdiana & Saka, 2004).
- 5 wt% oil of methanol is required to assist with phase separation between glycerol and with oil (Patil et al., 2012). Therefore, 5 wt% oil of methanol will not be evaporated at EVP 02 and will be evaporated at EVP 03 after glycerol/lipid separation.

Calculations:

$$97.70 \text{ kg methanol/hr} - (97.70 \text{ kg methanol/hr} \cdot 0.03) = 94.39 \text{ kg methanol/hr (recovered)}$$

2.9 kg methanol/hr remains in BD

$$0.11 \text{ kg TG/hr} + 1.59 \text{ kg DG/hr} + 5.17 \text{ kg MG/hr} + 429.53 \text{ kg FAME/hr} = 436.4 \text{ kg oil/hr}$$

$$436.4 \text{ kg oil/hr} \cdot 0.05 = 21.82 \text{ kg of methanol required to remain with oil}$$

$$97.70 \text{ kg methanol/hr} - 21.82 \text{ kg methanol/hr} = 75.88 \text{ kg methanol/hr evaporated}$$

A.4.5 CDN 02 - Condenser for methanol (input #21, 22, output #17)

Details/Assumptions

- methanol completely condensed from 65 to 25°C
- water used here for cooling
- this condenser collects all methanol from both EVP 02 and EVP 03

Calculations:

Output #17 - methanol

$$97.70 \text{ kg methanol/hr} - (97.70 \text{ kg methanol/hr} \cdot 0.03) = 94.39 \text{ kg methanol/hr (recovered)}$$

Input #20 – cooling energy

$$H1 = -\int(338 \text{ K} - 298 \text{ K}) C_p(l) (\text{MeOH}) dT - \Delta H_{\text{vap}}$$

Assume ΔH_{vap} supersedes

$$H1 = -37.6 \text{ kJ/mol}$$

$$Q = \Sigma \text{ Internal enthalpy rate} = n1H1$$

$$Q = 94.39 \text{ kg/hr} \cdot 1 \text{ mol}/0.032 \text{ kg} \cdot (-37.6 \text{ kJ/mol})$$

$$Q = -110,908 \text{ kJ/hr}$$

$$Q = 30.8 \text{ kW}$$

Input #22

Total amount of methanol required to be sourced (because of recovery)

$$144.25 \text{ kg methanol/hr} - 94.39 \text{ kg methanol/hr recovered} = 49.86 \text{ kg methanol required}$$

A.4.6 CEN 03 - Centrifuge to separate glycerol and lipids (input #29, output#19)

Details/Assumptions:

- MAC 203 disk bowl centrifuge used here (1HP = 0.746 kW) (United States of America Centrifuge Systems, 2017)

Calculations:

Output #19 - methanol

97.70 kg methanol/hr · 0.03 = 2.9 kg methanol/hr remains in BD

Input #29 – centrifuge power requirement

0.746kWh (for 1 hour)

A.4.7 EVP 03 - Evaporate rest of methanol (input #32, output #24)

Details/Assumptions:

- Using NG for heat source

Calculations:

Output #24 - methanol

21.82 kg methanol/hr – 2.9 kg methanol/hr = 18.92 kg methanol/hr

Input #32 – heat energy

$$H1 = \int (338 \text{ K} - 298 \text{ K}) C_p (l) (\text{MeOH}) dT + \Delta H_{\text{vap}}$$

Assume ΔH_{vap} supersedes

$$H1 = 37.6 \text{ kJ/mol}$$

$$H2 = \int (338 \text{ K} - 298 \text{ K}) C_p (l) (\text{GLY}) dT - \text{assume negligible compared to } \Delta H_{\text{vap}} \text{ above}$$

$$Q = \Sigma \text{ Internal enthalpy rate} = n_1 \cdot H_1 + n_2 \cdot H_2$$

$$Q = 18.92 \text{ kg/hr} \cdot 1 \text{ mol}/0.032 \text{ kg} \cdot 37.6 \text{ kJ/mol}$$

$$Q = 22,231 \text{ kJ/hr}$$

A.4.8 EVP 04 - Evaporate BD (input# 38)

Details/Assumptions:

- Evaporating BD from the other lipids (50°C – 218°C) (see Table A.8 for reference)
- Using NG for heat source and Dowtherm A for refrigerant medium
- Dowtherm A – used in liquid phase heat exchanger from 15-400°C (can be used as heat exchanger fluid in vapour form from 257°C to 400°C) and freezing point (12°C) (The DOW Chemical Company, 1997)
- Molecular weight of Dowtherm A: 166 g/mol (The DOW Chemical Company, 1997)
- Heat capacity of Dowtherm A: 2.162 kJ/kg·K (@230°C) (The DOW Chemical Company, 1997)
- Heat for Dowtherm A (Q) is from NG in GaBi using LHV of NG

Table A.12: Parameters associated with the evaporation of BD (FAME) from residue lipids

Product	Mass flow rate (kg/hr)	Molar flow rate (kmol/hr)	Internal Enthalpy designate	Internal Enthalpy value (kJ/mol)
Monoglyceride	5.17	0.01	H1	0.38 kJ/mol
Diglyceride	1.59	0.003	H2	0.42 kJ/mol
Triglyceride	0.11	0.0001	H3	0.7 kJ/mol
FAME	429.53	1.45	H4	84.71 kJ/mol
Methanol	97.70	3.05	H5	45.96 kJ/mol

Calculations:

$$H1 = \int(491 \text{ K} - 338 \text{ K}) C_p (1) \text{ (MG)} dT$$

$$H1 = 735.5T - (1.84T^2)/2 + (6.4T^3)/3 \cdot 10^3 \text{ (J/kmol)}$$

$$H1 = 735.5 (491 - 338) - (1.84(491^2 - 338^2))/2 + (6.4(491^3 - 338^3))/3 \cdot 10^3$$

$$H1 = 165,989 \text{ J/kmol}$$

$$H1 = 0.17 \text{ kJ/mol}$$

$$H2 = \int(491 \text{ K} - 338 \text{ K}) C_p (1) \text{ (DG)} dT$$

$$H2 = 1105.3T - (1.27T^2)/2 + (6.12T^3)/3 \cdot 10^3 \text{ (J/kmol)}$$

$$H2 = 1105.3(491 - 338) - (1.27(491^2 - 338^2))/2 + (6.12(491^3 - 338^3))/3 \cdot 10^3$$

$$H2 = 0.42 \text{ kJ/mol}$$

$$H3 = \int(491 \text{ K} - 338 \text{ K}) C_p (1) \text{ (TG)} dT$$

$$H3 = 1475.1T - (0.71T^2)/2 + (5.85T^3)/3 \cdot 10^3 \text{ (J/kmol)}$$

$$H3 = 1475.1 (491 - 338) - (0.71(491^2 - 338^2))/2 + (5.85(491^3 - 338^3))/3 \cdot 10^3$$

$$H3 = 336,188 \text{ J/kmol}$$

$$H3 = 0.34 \text{ kJ/mol}$$

$$H4 = \int(491 \text{ K} - 338 \text{ K}) C_p (1) \text{ (FAME)} dT + \Delta H \text{ vap (evaporating FAME)}$$

$$H4 = 509.4T - (0.305T^2)/2 + (2.07T^3)/3 \cdot 10^3 \text{ (J/kmol)} + \Delta H \text{ vap}$$

$$H4 = 509.4 (491 - 338) - (0.305(491^2 - 338^2))/2 + (2.07(491^3 - 338^3))/3 \cdot 10^{-3} + 84.6 \text{ kJ/mol}$$

$$H4 = 113,627 \text{ J/kmol} + 84.6 \text{ kJ/mol}$$

$$H4 = 0.11 \text{ kJ/mol} + 84.6 \text{ kJ/mol}$$

$$H4 = 84.71 \text{ kJ/mol}$$

$$H5 = \int(491 \text{ K} - 338 \text{ K}) C_p (\text{g}) (\text{MeOH}) dT + \Delta H_{\text{vap}} (\text{boiling point is under } 491 \text{ K})$$

$$H5 = 21.15T + (0.07T^2)/2 + (0.0000258T^3)/3 (\text{J/mol}) + \Delta H_{\text{vap}}$$

$$H5 = 21.15 (491 - 338) + (0.07(491^2 - 338^2))/2 + (0.0000258(491^3 - 338^3))/3 + 37.6 \text{ kJ/mol}$$

$$H5 = 8.361 \text{ kJ/mol} + 37.6 \text{ kJ/mol}$$

$$H5 = 45.96 \text{ kJ/mol}$$

$$Q (\text{Energy}) = n_1 \cdot H_1 + n_2 \cdot H_2 + n_3 \cdot H_3 + n_4 \cdot H_4 + n_5 \cdot H_5 (\text{no reaction})$$

$$Q (\text{Energy}) = 10 \text{ mol/hr}(0.17 \text{ kJ/mol}) + 3 \text{ mol/hr} (0.42 \text{ kJ/mol}) + 0.1 \text{ mol/hr} (0.34 \text{ kJ/mol}) + 1,450 \text{ mol/hr}(84.71 \text{ kJ/mol}) + 3,050 \text{ mol/hr} (45.96 \text{ kJ/mol})$$

$$Q = 1.7 \text{ kJ/hr} + 1.26 \text{ kJ/hr} + 0.07 \text{ kJ/hr} + 122,830 \text{ kJ/hr} + 140,178 \text{ kJ/hr}$$

$$Q = 263,010 \text{ kJ/hr}$$

$$Q = 73 \text{ kW}$$

A.4.9 CND 03 - Condenser to cool BD to liquid after EVP 05 (input# 40)

Details/Assumptions:

- $Q = \sum n_i H_i$
- $T_{in} = 218^\circ\text{C}$, $T_{out} = 25^\circ\text{C}$
- Dowtherm A used here to cool

Table A.13: Properties of BD stream for cooling purposes

Component	Mass flow rate (kg/hr)	Molar flow rate (mol/hr)	Enthalpy designate	Enthalpy (kJ/mol)
FAME	429.53	1,448.67	H1	- 84.7 kJ/mol
Methanol	3.03	94.69	H2	- 37.6 kJ/mol

Calculations:

$$H1 = \int (298 \text{ K} - 491 \text{ K}) C_p (l) (\mathbf{FAME}) dT - \Delta H_{\text{vap}} (\text{condensing FAME})$$

$$H1 = 509.41T - (0.3055T^2)/2 + (2.069T^3)/3 \cdot 10^3 \text{ (J/kmol)} - \Delta H_{\text{vap}}$$

$$H1 = 509.41(298 - 491) - (0.3055(298^2 - 491^2))/2 + (2.069(491^3 - 338^3))/3 \cdot 10^3 - 84.6 \text{ kJ/mol}$$

$$H1 = -0.138 \text{ kJ/mol} - 84.6 \text{ kJ/mol}$$

$$H1 = - 84.7 \text{ kJ/mol}$$

$$H2 = - \left[\int (338 \text{ K} - 491 \text{ K}) C_p (g) (\mathbf{MeOH}) dT + \Delta H_{\text{vaporization}} (\text{condensing methanol}) + \int (298 \text{ K} - 338 \text{ K}) C_p (l) (\mathbf{MeOH}) dT \right]$$

*assume ΔH_{vap} supersedes

$$H2 = - 37.6 \text{ kJ/mol}$$

$$Q (\text{Energy}) = n_1 \cdot H1 + n_2 \cdot H2 \text{ (no reaction)}$$

$$Q (\text{Energy}) = 1,448.67 \text{ mol/hr} (-84.7 \text{ kJ/mol}) + 94.69 \text{ mol/hr} (-37.6 \text{ kJ/mol})$$

Q = - 122,702 kJ/hr - 3,560 kJ/hr

Q = - 126,262 kJ/hr

Q = 35 kW

A.5 IO for ABE production

Table A.14: ABE process input/output table

Stage		Inputs	Input amount		Outputs	Output amount
Pre-treat for Ligno/Protein stream (MXT 01)	1	Lignocellulosic	443.26 kg/hr	1	Lignocellulosic	443.26 kg/hr
	2	Protein	379.94 kg/hr	2	Protein	379.94 kg/hr
	3	H ₂ SO ₄ (1%)	175 kg/hr	3	Dilute sulfuric acid solution	9,573.8 kg/hr
	4	Water	9,573.8 kg/hr			
Heated vessel (STX 01)	5	Ligno + protein	823.2 kg/hr	4	H ₂ SO ₄ solution	9,573.8 kg/hr
	6	H₂SO₄ solution	9,573.8 kg/hr	5	Sugar	421.10 kg/hr
	7	Heat (Q)	4,176 MJ/hr	6	LO ligno	22.163 kg/hr
Cooler (CLR 02)				7	Protein	379.94 kg/hr
	8	H ₂ SO ₄ solution	9,573.8 kg/hr	8	H ₂ SO ₄ solution	9,573.8 kg/hr
	9	Sugar	421.10 kg/hr	9	Sugar	421.10 kg/hr
	10	LO ligno	22.163 kg/hr	10	LO ligno	22.163 kg/hr
	11	Protein	379.94 kg/hr	11	Protein	379.94 kg/hr
	12	Cooling (Q)	- 3,306 MJ/hr			
Neutralization (MXT 02)	13	Sugar	421.10 kg/hr	12	Sugar	421.10 kg/hr
	14	NaOH	165 kg/hr	13	NaOH	0 kg/hr
	15	H ₂ SO ₄ solution	9,573.8 kg/hr	14	H ₂ SO ₄	0 kg/hr
	16	LO lingo + protein	402.10 kg/hr	15	Wastewater	9,647.8 kg/hr
				16	LO lingo + protein	402.10 kg/hr

Airlift bioreactor (FRM 01)	17	Sugar	421.10 kg/hr	17	Bio-acetone	50.76 kg/hr
	18	LO lingo + protein	402.10 kg/hr	18	Bio-butanol	101.52 kg/hr
	19	Nitrogen	142 kg/hr (top up)	19	Bio-ethanol	16.92 kg/hr
	20	Bacteria	Not included	20	Wastewater	9,647.8 kg/hr
	21	Nutrient medium	11,909 L not included	21	Protein + LO lingo	402.10 kg/hr
	22	Wastewater	9,647.8 kg/hr	22	UR lingo	75.8 kg/hr
	23	Energy required for reflux of nitrogen	0.024 kWh (1 hour)	23	CO ₂	155.58 kg/hr
	24	Energy required for stripping nitrogen	0.34 kWh (1 hour)			
Bio-acetone Evaporator (EVP 05)	25	Bio-acetone	50.76 kg/hr	24	Bio-acetone	50.76 kg/hr
	26	Bio-butanol	101.52 kg/hr	25	Bio-butanol	101.52 kg/hr
	27	Bio-ethanol	16.92 kg/hr	26	Bio-ethanol	16.92 kg/hr
	28	Water	174 kg/hr			
	29	Pre vaporator water flow energy	6.4 x10 ⁻³ kW (over 1 hour)			
	30	Chitosan membrane	2.3 membranes per year			
	31	Heat (Q)	13.94 kWh (EVP 05 and EVP 06 energy)			
Bio-acetone Condenser (CND 04)	32	Bio-acetone	50.76 kg/hr	27	Bio-acetone	50.76 kg/hr
	33	Cooling energy				
Bio-ethanol Evaporator (EVP 06)	35	Bio-butanol	101.52 kg/hr	28	Bio-butanol	101.52 kg/hr
	36	Bio-ethanol	16.92 kg/hr	29	Bio-ethanol	16.92 kg/hr
Bio-ethanol Condenser (CND 05)	37	Bio-ethanol	16.92 kg/hr	30	Bio-ethanol	16.92 kg/hr
	38	Cooling energy				

A.5.1 MXT 01 – Mixing sulfuric acid for pre-treatment of lignocellulosic & protein biomass (input #1, 2, 3, 4)

Details/Assumptions:

- pre-treatment of lignocellulosic biomass with H₂SO₄ (Dong et al., 2016)
- Of the 10,000,000 microalgal biomass, 35% lignocellulosic biomass and 30% protein (reasonable based on Table 2.4)

Calculations:

Input #1, 2 – lignocellulosic and protein biomass

10,000,000 kg microalgal biomass/year · (0.35 lignocellulosic biomass)/47 weeks/year/7 days/week/24 hours/day = 443.2 kg lignocellulosic biomass/hr

10,000,000 kg microalgal biomass/year · (0.30 protein biomass)/47 weeks/year/7 days/week/24 hours/day = 379.94 kg protein biomass/hr

Input #3 – sulfuric acid

4.3 g biomass/0.05 L 1% dilute H₂SO₄ solution = 86 g biomass/L 1% dilute H₂SO₄ solution (Begum & Dahman, 2015)

Which is 0.01163 L 1% dilute H₂SO₄ solution/g biomass = 11.63 L 1% dilute H₂SO₄ solution /kg biomass

823.2 kg biomass/hr · 11.63 L 1% dilute H₂SO₄ solution/kg biomass = 9,573.82 L of 1% dilute H₂SO₄ solution /hr

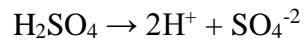
9,573.82 L of 1% dilute H₂SO₄ solution /hr (assuming 1% volume) = 95.7 L H₂SO₄/hr

95.7 L H₂SO₄/hr · 1 cm³/0.001L = 95,400 cm³/hr · 1.84 g/cm³ (density of H₂SO₄) = 175,535 g/hr

pH of solution:

175.5 kg H₂SO₄ / 0.098 kg/mol = 1,785.7 mol H₂SO₄ in 9,573.8 L

$$1,785.7 \text{ mol}/9,573.8 \text{ L} = 0.186 \text{ mol/L}$$



$$0.186 \text{ mol/L H}_2\text{SO}_4 = 2 \cdot 0.186 \text{ mol/L} = 0.372 \text{ mol H}^+/\text{L}$$

$$\text{pH} = -\log_{10} [0.372 \text{ mol/L}]$$

$$\text{pH} = 0.43$$

Input #4 - water

11.63 L/kg biomass · 823 kg biomass/hr = 9,573.8 L of water/hr (also 9,573.8 kg of water/hr given 1 L water = 1 kg water and the solution is mostly water)

A.5.2 STX 01 – Heating of lignocellulosic and protein biomass (input #7, output #5, 6)

Details/Assumptions:

- Heat biomass from 25°C to 121°C and maintain for 60 mins (Begum & Dahman 2015)
- Density of water: 1000 kg/m³, mass of water: 1 L = 1 kg
- By definition: A pump is a device that moves fluid (either liquid or gas) from one place to another. A compressor is a device that squeezes a gas into a smaller volume and often “pumps” it somewhere else at the same time.
- Density of 1% sulfuric acid solution: 1.0038 kg/L (Green & Perry, 2007)
- NG used as heat source while Dowtherm A used as refrigerant medium

Calculations:

Input #7 – energy required to heat solution

Using the heat capacity of water to find heat required:

$$Q = mC_p\Delta T$$

$$Q = (9,573.8 \text{ kg water/hr} + 823.2 \text{ kg lignocellulosic biomass and protein/hr})(4.184 \text{ kJ/kg}\cdot\text{K})(394-298)\text{K}$$

$$Q = 4,176,101 \text{ kJ/hr}$$

$$Q = 4,176 \text{ MJ/hr}$$

Output #5, 6 – fermentable sugar, LO lignocellulosic biomass

Amount of lignocellulosic biomass:

$$10,000,000 \text{ kg}\cdot 0.35/47 \text{ week/year} / 7 \text{ days/week} / 24 \text{ hr/day} = 443.26 \text{ kg/hr}$$

$$443.26 \text{ kg/hr lignocellulosic biomass}\cdot 0.95\% \text{ released} = 421.10 \text{ kg fermentable sugar/hr}$$

Unreleased lignocellulosic biomass:

$$443.26 \text{ kg/hr lignocellulosic biomass}\cdot 0.05\% \text{ released} = 22.16 \text{ kg left over (LO) lignocellulosic biomass/hr}$$

A.5.3 CLR 02 – Cool after pre-treatment (input #12)

Details/Assumptions:

- Cool from 121°C to 45°C prior to neutralization. 45°C is required for SSF process
- Dowtherm A used here to cool

Calculations:

Amount of energy required to reduce temperature of solution (using water heat capacity):

$$Q = mC_p\Delta T$$

$$Q = (9,573.8 \text{ kg water/hr} + 823.2 \text{ kg lignocellulosic biomass and protein/hr})(4.184 \text{ kJ/kg} \cdot \text{K})(394-318)\text{K}$$

$$Q = 3,306,080 \text{ kJ/hr}$$

$$Q = 3,306 \text{ MJ/hr}$$

A.5.4 MXT 02 – Neutralization tank (input #14, 16, output #15)

Details/Assumptions:

- Assume required to attain pH of 5 for effective neutralization
- Assume water will be produced from the neutralization reaction and will become wastewater

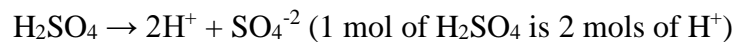
Input #14 – sodium hydroxide

To get to pH of 5:

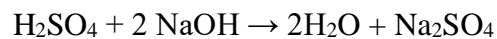
0.43 mol/L \rightarrow 0.00001 mol/L requires reduction of 0.42999 mol/L of protons

0.42999 mol protons/L \cdot 9,573.82 L of H₂SO₄ solution/hr = 4,117 mol protons to be removed/hr

Need ½ mol H₂SO₄ per mol of protons according to:



½ mol H₂SO₄ is needs 1 mol of NaOH according to:



Therefore, need 4,117 mol of NaOH

4,117 mol NaOH /hr \cdot 0.04kg NaOH/mol = 165 kg NaOH/hr

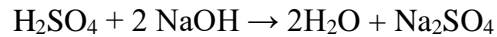
Input #16 – LO lignocellulosic and protein biomass

379.94 kg protein/hr + 22.16 kg LO lignocellulosic biomass = 402.10 kg LO lignocellulosic biomass + protein/hr

Output #15 – wastewater

Extra water produced:

4,117 mol NaOH /hr is the same number of mols of water produced according to:



4,117 mol water produced · 0.018 kg water/mol = 74 kg water produced/hr

Total water output:

9,573.8 kg H₂SO₄ solution/hr + (74 kg water produced/hr) = 9,647.8 kg/hr

A.5.5 FRM 01 – Airlift Fermentation Reactor to produce alcohols (input #19, 23, 24, 20, 21, output #17, 18, 19, 22, 23)

Details/Assumptions:

- 82% of fermentable lignocellulosic biomass fermented (Begum & Dahman, 2015)
- 49% of the fermented sugars converted into ABE products (Begum & Dahman, 2015)
- 0.49 g ABE/g fermented sugar - Table 7 in reference (Begum & Dahman, 2015)
- ABE is produced in ratios of 3:6:1 respectively (Ellis et al., 2012)
- Using volumetric flow rate for nitrogen gas (0.45987 m³/s) based on sized airlift bioreactor for a similar process with similar volumes (Giang et al. 2017)
- Assume 10% loss of nitrogen gas during operations
- Density of nitrogen gas = 1.165 kg/m³ (Green & Perry, 2007)

- Density of liquid nitrogen: 808.5 kg/m³ (Green & Perry, 2007)
- Only liquid oxygen, and not liquid nitrogen storage and handling, is already practiced by wastewater treatment plants as part of the treatment process (Barrie wastewater treatment facility, 2017). Infrastructure for liquid nitrogen would need to be developed/contracted.
- Assume that flue gas from fermenter is stripped of N₂ via filters. Assume power requirements to strip are the same as those for stripping biogas (stripping energy requirements range from 0.15 to 0.5 kWh/Nm³ (N refers to the temperature measurement at STP) (Bauer et al., 2013)).
- Assume energy requirements for recirculation of nitrogen is similar to the DAF unit that also re-circulates nitrogen gas
- Wastewater here (with proteins and left over lignocellulosic biomass) will move to the anaerobic digester to produce biosolids for nutrient recovery (see Figure 3.3 & 3.4)

Calculations:

Input #21, 23, 24 – nitrogen, re-circulation & strip

$$\text{Nitrogen required (N}_2\text{)} = 0.45987 \text{ m}^3/\text{s} \cdot 3600 \text{ s/hr} / 1.165 \text{ kg/m}^3 = 1,421.05 \text{ kg/hr}$$

$$1,421.05 \text{ kg/hr} \cdot 0.1 = 142 \text{ kg/hr}$$

$$\text{Total amount of N}_2 \text{ required on an hourly bases} = 142 \text{ kg/hr}$$

$$\text{Volume of liquid nitrogen required to make up for loss: } 142 \text{ kg/hr} / 808.5 \text{ kg/m}^3 = 0.17 \text{ m}^3$$

$$\text{Volume of liquid nitrogen re-circulated: } 1,421.05 \text{ kg/hr} / 808.5 \text{ kg/m}^3 = 1.7 \text{ m}^3/\text{hr}$$

$$\text{Power requirementst for re-circulations} = 0.015 \text{ kWh/m}^3 \cdot 1.7 \text{ m}^3/\text{hr} = 0.024 \text{ kWh (1 hour)}$$

$$\text{Stripping power: } 0.2 \text{ kWh/m}^3 \cdot 1.7 \text{ m}^3/\text{hr} = 0.34 \text{ kWh (1 hour)}$$

Input #20, 21 – bacteria and nutrient medium

Details/Assumptions:

- 250 mL vessel with 59.59 g/L fermentable sugar (Begum & Dahman, 2015)
- Used 7 mL bacteria and 40 mL nutrient medium in the 250 mL vessel (Begum & Dahman, 2015)
- Given that it takes 5 days to get full ABE return from a quantity of fermentable sugar, it is best to have 5 days of nutrient medium to maintain bacteria culture long enough for the bacteria culture to transfer from relying on the medium to relying on the algal sugar
- Bacteria Culture: Fused *Clostridium beijerinckii* (ATCC BA101) and *Clostridium thermocellum* (ATCC 27405) (Syed, 2012)
- Did not calculate amount of bacteria or water associated with this culture. Based on Syed (2012), these bacteria would be used continuously to produce ABE and would need to be replaced potentially on a weekly bases. Regardless, this input could not be captured in GaBi for analysis

Calculations:

$0.25 \text{ L} \cdot 59.59 \text{ g/L} = 0.0149 \text{ kg}$ sugar in the 250 mL vessel

Given 345.3 kg of fermentable sugar/hr being produced by the process

$345.3 \text{ kg of fermentable sugar/hr} \cdot 24 \text{ hr/day} \cdot 5 \text{ days} = 4,436 \text{ kg}$ sugar produced by the process over the course of 5 days

Corresponding nutrient medium required:

$0.0149 \text{ kg sugar} / 0.04 \text{ L nutrient medium} = 4,436 \text{ kg sugar/X}$

$X = 11,909 \text{ L nutrient medium}$ (nutrient medium required for 5 days)

Nutrient medium required on a per hour basis:

$11,909 \text{ L} / 5 \text{ days} / 24 \text{ hours/day} = 100 \text{ L/hr}$ (water) with 1 kg of glucose /hr (see Table A.15)

Table A.15: Contents of nutrient medium (Syed, 2012)

Material	Amount (kg) (in 0.2 L water)	Amount in 1L of water (kg/L)	Amount in 11,909 L required for 5 days (kg)	Amount in 100L of water (amount required/hr)
Glucose	0.002	0.01	119.09	1 kg
MgSO ₄	0.00006	0.0003	3.57	0.03 kg
FeSO ₄	0.000002	0.00001	0.12	Considered insignificant
PAPA	0.0000002	0.000001	0.01	Considered insignificant
Biotin	0.000004	0.00002	0.24	Considered insignificant
Thiamin	0.00000002	0.0000001	0.001	Considered insignificant
Casein hydrolysate	0.0008	0.004	47.636	0.4 kg

GaBi has a flow stream of glucose syrup (68 w%) that would be substituted for the nutrient source (if available)

1 kg of glucose is transported with 1.4 kg of water (for GaBi glucose syrup stream)

1 kg sugar/ 1.4 kg water = 0.68

Total mass of solution is 2.4 kg

To use the glucose, the solution needs to be diluted to 1kg/100L (100L of water = 100kg)

Additional water required is 100kg – 1.4kg = 98.6 kg water

Output #17, 18, 19 – bio-acetone, bio-butanol and bio-ethanol

Fermented sugar = 421.10 kg/hr · 82% = 345.3 kg/hr

345.3 kg fermented sugar/hr · 49% = 169.2 kg ABE/hr

Bio-acetone produced:

$169.2 \text{ kg ABE/hr} \cdot 0.3 = 50.76 \text{ kg bio-acetone/hr}$

Bio-butanol produced:

$169.2 \text{ kg ABE/hr} \cdot 0.6 = 101.52 \text{ kg bio-butanol/hr}$

Bio-ethanol produced:

$169.2 \text{ kg ABE/hr} \cdot 0.1 = 16.92 \text{ kg bio-ethanol/hr}$

Output #22 – Un-reacted (UR) lignocellulosic biomass

$421.10 \text{ kg fermentable lignocellulosic biomass/hr} - 345.3 \text{ kg fermented lignocellulosic biomass/hr}$

Un-reacted sugar = 75.8 kg/hr

Output #23 – carbon dioxide produced

Details/Assumptions:

- Basic reaction: Total sugars \rightarrow ABE + acetic acid + butyric acid + carbon dioxide + hydrogen
- By-products include acetic acid (5%), butyric acid (2%) (Begum & Dahman, 2015)
- 93% of products of the above reaction is carbon dioxide and hydrogen. Of this 93%, 95% is CO₂ and 5% is H₂ (Kótai et al., 2013)

Calculations:

$345.3 \text{ kg fermented sugar/hr} \cdot 51\% = 176.10 \text{ kg by-products/hr}$

Amount of CO₂ produced:

$176.10 \text{ kg by-products/hr} (0.93 \cdot 0.95) = 155.58 \text{ kg CO}_2/\text{hr} = 1.26 \times 10^6 \text{ kg CO}_2/\text{year}$ (assuming 47 week year)

A.5.6 EVP 05 & 06 – Evaporate bio-acetone and bio-ethanol (input #28, 29, 30, 31)

Details/Assumptions

- Distillation is a physical separation method used to separate compounds from a mix based on the boiling points of the compounds in the mixture. Vaporization here occurs from the whole liquid mass and not just the surface when the mixture's temperature is increased by an outside source up to the boiling point of the compound of interest (Vobis LLC, 2017)
- The reboiler is the means of heating the column and re-circulating the feed stream for continued evaporation
- Acetone's boiling point is 56°C (Green & Perry, 2007), therefore for EVP 06 $T_{in} = 45^{\circ}\text{C}$, $T_{out} = 56^{\circ}\text{C}$
- Ethanol's boiling point is 79°C (Green & Perry, 2007), therefore for EVP 07 $T_{out} = 79^{\circ}\text{C}$
- Total evaporator column heating requirements are from 45 to 79°C
- Additional water (for pervaporation) is required to separate the azeotropes produced between existing water and the alcohol in the feed stream such that there is only need for 1 distillation column (Martin, 1998). Water feed flow rate: 174 kg/hr used in a similar scale process (Giang et al., 2017) (pg 68 of reference)
- Assume chitosan membrane material does not have a significant environmental impact considering the longevity of the membrane and its disposability if manufactured without the use of silica instead of poly(ethylene) glycol (Clasen et al. 2006)
- Using NG for heat source and water for refrigerant medium

Table A.16: Properties of ABE (Basf Petronas Chemicals, 2006 (#); Wright, 2011), $C_p = A + BT + CT^2$ (J/gmol·K)

			Heat capacity coefficients at constant pressure (gas/liquid)			
Compound	Molecular weight (g/mol)	Heat of vaporization	A	B	C	Liquid heat capacity (Cp)
Acetone	58 g/mol	29.1 kJ/gmol	6.301/72.2	0.261/0.186	-1.25x10 ⁻⁴	
Ethanol	46 g/mol	38.6 kJ/gmol	9.014	0.214	-8.39x10 ⁻⁴	112.0 J/gmol·K
Butanol	74 g/mol	592 kJ/kg (#)				2.589 kJ/kg·K (#)

Calculations:

Input #28 – water for pervaporation

Water feed flow rate: 174 kg/hr (see Details/Assumptions)

Input #29 – pervaporation water flow energy

Flow rate in m³: 0.174 m³/hr required (assuming density of water 1000 kg/m³)

$$\text{Pelect (kW)} = Q \text{ (m}^3\text{/hr)} \cdot \Delta P \text{ (bar)} / 36 \cdot E \text{ (\%/100)}$$

E = efficiency of (pump, transmission, motor)

$$\text{Pelect} = 0.174 \text{ m}^3\text{/hr} \cdot 1 \text{ bar} / 36 \cdot 0.75$$

$$\text{Pelect} = 6.4 \times 10^{-3} \text{ kW (power)}$$

Input #30 – pervaporation chitosan membrane

- 141 day lifespan = 2.3 membranes /47 week year
- 35 m² of membrane material used in a similar scale process (Giang et al., 2017) (pg 70 of reference)

Input #31 –heat energy

Table A.17: Properties of ABE in distillation column for increase in temperature from 45°C to 56°C

Compound	Molar mass (g/mol)	Mass flow rate (kg/hr)	Molar flow rate (mol/hr) (ni)	Enthalpy designate	Enthalpy (kJ/mol)
Acetone	58.08	50.76	874	H1	30.0
Butanol	74.12	101.52	1370	H2	2.1
Ethanol	46.07	16.92	367	H3	1.2

Heat required to increase ABE steam temperature from 45°C to 56°C:

$$H1 = \int(329 \text{ K} - 318 \text{ K}) C_p (l) (\mathbf{ACE}) dT + \Delta H \text{ vap (evaporating acetone)}$$

$$H1 = 6.301T + (0.261T^2)/2 - (1.25 \times 10^{-4}T^3)/3 \text{ (J/gmol)} + \Delta H \text{ vap}$$

$$H1 = 6.301 (329 - 318) + (0.261(329^2 - 318^2))/2 - (1.25 \times 10^{-4}(329^3 - 318^3))/3 + 29.1 \text{ kJ/gmol}$$

$$H1 = 854.2 \text{ J/gmol} + 29.1 \text{ kJ/gmol}$$

$$H1 = 0.854 \text{ kJ/gmol} + 29.1 \text{ kJ/gmol}$$

$$H1 = 30.0 \text{ kJ/mol}$$

$$H2 = \int(329 \text{ K} - 318 \text{ K}) C_p (l) (\mathbf{BUT}) dT$$

$$H2 = (329 - 318) C_p (l)$$

$$H2 = (329 - 318) \cdot 2.589 \text{ kJ/kg} \cdot \text{K} \cdot 0.07412 \text{ kg/mol}$$

$$H2 = (329 - 318) \cdot 0.192 \text{ kJ/mol} \cdot \text{K}$$

$$H2 = 2.1 \text{ kJ/mol}$$

$$H_3 = \int (329 \text{ K} - 318 \text{ K}) C_p (l) \text{ (ETH)} dT$$

$$H_3 = (329 - 318) C_p (l)$$

$$H_3 = (329 - 318) \cdot 112.0 \text{ J/gmol} \cdot \text{K}$$

$$H_3 = 1,232.0 \text{ J/gmol}$$

$$H_3 = 1.2 \text{ kJ/mol}$$

$$Q \text{ (Energy)} = n_1 \cdot H_1 + n_2 \cdot H_2 + n_3 \cdot H_3 \text{ (no reaction involved in this process)}$$

$$Q \text{ (Energy)} = 874 \text{ mol/hr}(30 \text{ kJ/mol}) + 1370 \text{ mol/hr} (2.1 \text{ kJ/mol}) + 367 \text{ mol/hr} (1.2 \text{ kJ/mol})$$

$$Q = 26,220 \text{ kJ/hr} + 2,877 \text{ kJ/hr} + 440 \text{ kJ/hr}$$

$$Q = 29,537 \text{ kJ/hr}$$

$$Q = 8.20 \text{ kW}$$

Heat required to increase BE stream temperature from 56°C to 79°C

Table A.18: Properties of ABE in distillation column for increase in temperature from 56°C to 79°C

Compound	Molar mass (g/mol)	Mass flow rate (kg/hr)	Molar flow rate (mol/hr) (ni)	Enthalpy designate	Enthalpy (kJ/mol)
Butanol	74.12	101.52	1370	H1	4.4
Ethanol	46.07	16.92	367	H2	39.9

$$H_1 = \int (352 \text{ K} - 329 \text{ K}) C_p (l) \text{ (BUT)} dT$$

$$H_1 = (352 - 329) C_p (l)$$

$$H1 = (352 - 329) \cdot 2.589 \text{ kJ/kg} \cdot \text{K} \cdot 0.07412 \text{ kg/mol}$$

$$H1 = (352 - 329) \cdot 0.192 \text{ kJ/mol} \cdot \text{K}$$

$$H1 = 4.4 \text{ kJ/mol}$$

$$H2 = \int (352 \text{ K} - 329 \text{ K}) C_p (l) (\text{ETH}) dT + \Delta H_{\text{vap}} (\text{evaporating (bio)ethanol})$$

$$H2 = 9.014T + (0.214T^2)/2 - (8.39 \times 10^{-4} T^3)/3 \text{ (J/gmol)} + \Delta H_{\text{vap}}$$

$$H2 = 9.014 (352 - 329) + (0.214(352^2 - 329^2))/2 - (8.39 \times 10^{-4}(352^3 - 329^3))/3 + 38.6 \text{ kJ/gmol}$$

$$H2 = 1,344.89 \text{ J/gmol} + 38.6 \text{ kJ/gmol}$$

$$H2 = 1.34 \text{ kJ/gmol} + 38.6 \text{ kJ/gmol}$$

$$H2 = 39.9 \text{ kJ/mol}$$

$$Q (\text{Energy}) = n_1 H_1 + n_2 H_2 \text{ (no reaction involved in this process)}$$

$$Q (\text{Energy}) = 1,370 \text{ mol/hr} (4.4 \text{ kJ/mol}) + 367 \text{ mol/hr} (39.9 \text{ kJ/mol})$$

$$Q = 6,028 \text{ kJ/hr} + 14,643 \text{ kJ/hr}$$

$$Q = 20,671 \text{ kJ/hr}$$

$$Q = 5.74 \text{ kW}$$

A.5.7 CND 04 – Bio-acetone condenser (input #33)

Details/Assumptions:

- $T_{in} = 56^{\circ}\text{C}$, $T_{out} = 25^{\circ}\text{C}$
- Completely condense bio-acetone to a liquid for transport

Calculations:

$$Q = n\Delta H_{vap} \text{ (bio-acetone)}$$

$$Q = 50.8 \text{ kg/hr} / 0.058 \text{ kg/mol} \cdot 29.1 \text{ kJ/mol}$$

$$Q = 25,488 \text{ kJ/hr}$$

$$Q = 7.1 \text{ kW}$$

Heat capacity of water at $25^{\circ}\text{C} = 4.184 \text{ kJ/kg}\cdot\text{K}$

$$Q = mC_p\Delta T$$

$$-7.1 \text{ kJ/s} = m(4.18\text{kJ/kg}\cdot\text{K}) (56-25)\text{K}$$

$$\text{Mass of water to cool} = 7.1 \text{ kJ/s} / 129.58 \text{ kJ/kg} = 0.054 \text{ kg/s}$$

A.5.8 CND 05 – Bio-ethanol condenser (input #38)

Details/Assumptions:

- $T_{in} = 79^{\circ}\text{C}$, $T_{out} = 25^{\circ}\text{C}$
- Completely condense bio-ethanol to liquid for transport

Calculations

$$Q = n\Delta H_{vap} \text{ (bio-ethanol)}$$

$$Q = 16.9 \text{ kg/hr} / 0.046 \text{ kg/mol} \cdot 38.6 \text{ kJ/mol}$$

$$Q = 14,181 \text{ kJ/hr}$$

$$Q = 3.9 \text{ kW}$$

A.6 IO for Anaerobic Digester and Co-generation facility

Table A.19: Anaerobic digestion and co-generation facility process input/output table

Stage		Inputs	Input amount		Outputs	Output amount
AD (AND 01)	1	LO lipids (from BD)	6.85 kg /hr	1	Biogas (CO ₂)	3.1x10 ⁶ kgCO ₂ /year
	2	LO lignocellulosic biomass (from ABE)	98 kg /hr	2	Biogas (CH₄)	1.45x10⁶ kg CH₄/year
	3	Protein	380 kg /hr	3	Biosolids (N&P)	2.05 kg N/hr
	4	Power	53.3 kWh (1 hour)			2.59 kg P/hr
Gas stripping (SRP 01)	5	Biogas (CO ₂)	3.1x10 ⁶ kgCO ₂	4	Biogas (CO ₂)	3.1x10 ⁶ kgCO ₂ /year
	6	Biogas (CH₄)	/year	5	Biogas (CH₄)	1.45x10⁶ kg CH₄/year
	7	Power	1.45x10⁶ kg CH₄/year 80.1 kWh (1 hour)			
Power generation (COG 01)	8	Biogas (CH ₄)	1.45x10 ⁶ kg CH ₄ /year	6	Power	2,603.25 MJ/hr
				7	Flue gas	Recycled to PBR

A.6.1 AND 01 – Anaerobic digester (input #4, output #1, 2, 3)

Details/Assumptions

- Density of CO₂ = 1.98 kg/m³ (Green & Perry 2007)
- Anaerobic digester biogas content is 50-70% methane (CH₄) and 30-50% CO₂ with trace amounts of H₂S (Yanwen Shen et al., 2016). This study assumes CO₂:CH₄ in biogas is 60:40

- Density of methane: 0.6987 kg/m³ at 298K 1 atm, 0.777 kg/m³ at STP (Green & Perry 2007)
- Methane yield from Table 2.11 in section 2.4.7
- One kg of nitrogen and 1 kg of phosphorus from solid digestate (AD) is substituted for 0.6 kg of nitrogen and 0.4 kg of phosphorus in synthetic fertilizer respectively (Yuan et al., 2015)
- Electrical power requirements for mixing are 0.11 kWh/kg-TS (Collet et al., 2011)

Calculations:

Input #4 – power for the additional digestate production

Total solids (TS) added to digester from microalgal process = 6.85 kg LO lipids/hr + 98 kg LO & UR lignocellulosic biomass/hr + 380 kg protein/hr = 484.85 kg-TS/hr

484.85 kg-TS/hr · 0.11 kWh/kg-TS = 53.3 kWh

Output #1 – carbon dioxide

Carbon dioxide produced from un-extracted and unreacted lipid portion of microalgal biomass sent to anaerobic digester:

6.85 kg lipids not used/hr

6.85 kg lipids to digester /hr · 1 m³ methane/kg fat = 6.85 m³ methane/hr

Using 60:40 ratio, 6.85 m³ methane/hr = 10.28 m³ CO₂ /hr produced

10.28 m³ CO₂ /hr produced · 1.98 kg/m³ = 20.34 kg CO₂/hr or 7.14x10⁵ kg CO₂/year

Carbon dioxide produced from proteins sent to anaerobic digester:

380 kg proteins/hr · 24 hr/day · 7 days/week · 47 weeks/year = 3,000,480 kg protein to digester /year

$3,000,480 \text{ kg lipids to digester /year} \cdot 0.51 \text{ m}^3 \text{ methane/kg protein} = 1,530,245 \text{ m}^3 \text{ methane}$

Using 60:40 ratio, $1,020,163 \text{ m}^3 \text{ CO}_2 \text{ /year produced}$

$1,020,163 \text{ m}^3 \text{ CO}_2 \text{ /year produced} \cdot 1.98 \text{ kg/m}^3 = 2,019,923 \text{ kgCO}_2\text{/year}$

Carbon dioxide produced by sending un-extracted and uncreated lignocellulosic biomass to anaerobic digester:

$98 \text{ kg lignocellulosic/hr} \cdot 24 \text{ hr/day} \cdot 7 \text{ days/week} \cdot 47 \text{ weeks/year} = 773,808 \text{ kg lignocellulosic to digester /year}$

$773,808 \text{ kg lignocellulosic to digester /year} \cdot 0.37 \text{ m}^3 \text{ methane/kg lignocellulose} = 286,309 \text{ m}^3 \text{ methane}$

Using 60:40 ratio, $190,873 \text{ m}^3 \text{ CO}_2 \text{ /year produced}$

$190,873 \text{ m}^3 \text{ CO}_2 \text{ /year produced} \cdot 1.98 \text{ kg/m}^3 = 377,928 \text{ kg CO}_2\text{/year}$

Total CO₂ produced from AD due to microalgal biomass waste:

$7.14 \times 10^5 \text{ kg CO}_2\text{/year (from lipids)} + 2,019,923 \text{ kgCO}_2\text{/year (from protein)} + 377,928 \text{ kg CO}_2\text{/year (from lignocellulosic)} =$
 $3.1 \times 10^6 \text{ kgCO}_2 \text{ /year}$

Output #2 - methane

Methane produced by sending left over lipids to anaerobic digester:

$6.85 \text{ kg lipids not used/hr} \cdot 24 \text{ hr/day} \cdot 7 \text{ days/week} \cdot 47 \text{ weeks/year} = 54,087.6 \text{ kg lipids to digester /year}$

$54,088 \text{ kg lipids to digester /year} \cdot 1 \text{ m}^3 \text{ methane/kg fat} = 54,088 \text{ m}^3 \text{ methane}$

$54,088 \text{ m}^3 \text{ methane} \cdot 0.777 \text{ kg methane/m}^3 = 42,026.4 \text{ kg CH}_4\text{/year}$

Methane produced by sending proteins to anaerobic digester:

$$1,530,245 \text{ m}^3 \text{ methane} \cdot 0.777 \text{ kg methane/m}^3 = 1,189,000.4 \text{ kg CH}_4/\text{year}$$

Methane produced by sending LO and UR lignocellulosic biomass to anaerobic digester:

$$286,309 \text{ m}^3 \text{ methane} \cdot 0.777 \text{ kg methane/m}^3 = 222,461 \text{ kg CH}_4/\text{year}$$

Total CH₄ produced from AD due to microalgal biomass waste:

$$42,026.4 \text{ kg CH}_4/\text{year from lipids} + 1,189,000.4 \text{ kg CH}_4/\text{year from protein} + 222,461 \text{ kg CH}_4/\text{year from LO and UR lignocellulosic biomass} = 1,453,488 \text{ kg CH}_4/\text{year}$$

Output #3 – Biosolids

Total amount of N and P in wastewater using Ma (2016) values that will be recycled every hour from the AD:

$$0.178 \text{ g P/L} \cdot 1.15 \times 10^8 \text{ L/year} = 2.05 \times 10^7 \text{ g/year} = \mathbf{2.59 \text{ kg P/hr}}$$

$$0.141 \text{ g N/L} \cdot 1.15 \times 10^8 \text{ L/year} = 1.62 \times 10^7 \text{ g/year} = \mathbf{2.05 \text{ kg N/hr}}$$

$$1 \text{ kg N from AD} / 0.6 \text{ kg of N in synthetic fertilizer} = 2.05 \text{ kg N from AD/X}$$

$$X = \text{kg N in synthetic fertilizer} = 1.23 \text{ kg N/hr}$$

$$1 \text{ kg of P from AD} / 0.4 \text{ kg of P in synthetic fertilizer} = 2.59 \text{ kg P from AD/X}$$

$$X = \text{kg P in synthetic fertilizer} = 1.04 \text{ kg P/hr}$$

A.6.2 SRP 01 – Stripping biogas and fermenter gas (input 7)

Details/Assumptions:

- stripping energy requirements range from 0.15 to 0.5 kWh/Nm³ (N refers to the temperature measurement at STP) (Bauer et al., 2013).

Calculations:

[54,088 m³ CH₄ from LO lipids/year + 1,530,245 m³ CH₄ from protein/year + 286,309 m³ CH₄ from LO and UR lignocellulosic biomass/year + 10.28 m³ CO₂ from LO lipids/hr + 1,020,163 m³ CO₂ from protein/year + 190,873 m³ CO₂ from LO and UR lignocellulosic biomass/year] /47weeks/year/7days/week/24 hr/day = 400.56 m³ gas/hr

0.2 kWh/m³ · 400.56 m³ gas/hr = 80.1 kWh (1 hour)

A.6.3 COG 01 – Co-generation facility for energy production (output #6):

Details/Assumptions

- Heat of combustion of methane = lower heating value (LHV) of methane = 47 MJ/kg (Frank et al. 2011)
- power generation systems of less than 5 MW had a power generation conversion efficiency of 30 % (Frank et al. 2011)

Calculations:

1,453,488 kg CH₄/year · 47.14 MJ/kg = 68,517,430 MJ/year = 8,677.5 MJ/hr

8,677.5 MJ/hr · 0.3 = 2,603.25 MJ/hr = 723 kWh

Allocate energy produced between process stages:

- The percent contribution each stage makes to the overall electrical energy requirements will be the same percentage of the total electrical energy production that is allocated to each process.
- These values will be subtracted from the total electrical power requirements of each stage.

PBR: $4,914 \text{ kWh} / 10,874 \text{ kWh} = 45\%$

$0.45 \cdot 723 \text{ kWh} = 325 \text{ kWh}$

Harvest: $5,525 \text{ kWh} / 10,874 \text{ kWh} = 51\%$

$0.51 \cdot 723 \text{ kWh} = 369 \text{ kWh}$

Separation: $274 \text{ kWh} / 10,874 \text{ kWh} = 3\%$

$0.03 \cdot 723 \text{ kWh} = 22 \text{ kWh}$

BD production: $9 \text{ kWh} / 10,874 \text{ kWh} = 0\%$

ABE production: $19.1 \text{ kWh} / 10,874 \text{ kWh} = 0\%$

AD and power: $133 \text{ kWh} / 10,874 \text{ kWh} = 1\%$

$0.01 \cdot 723 \text{ kWh} = 7 \text{ kWh}$

Appendix B – Pump energy and refrigerant requirements

B.1 Pump energy calculations

Details/Assumptions:

- A 0.5 HP required to circulate water in PBR (Min et al., 2014). The same rating is used for all the pumps in the system moving the main feed as well as providing additional materials (e.g. sodium hydroxide, hexane etc.) as main feed movement is slow
- Energy associated with moving cooling/heating fluids calculated with this equation: $P_{\text{elect}} \text{ (kW)} = Q \text{ (m}^3\text{/hr)} \cdot \Delta P \text{ (bar)} / 36 \cdot E$ (%/100), E = efficiency of pump (0.75) (Vogelesang, 2008)
- Estimate 7,500 L/PBR based on size of PBR in (Min et al., 2014). Number of PBRs required to process 1.15×10^8 L/day = $1.15 \times 10^8 \text{ L/day} / 7,500 \text{ L/PBR} = 15,333$ PBRs
- Estimate 40,000 L/PBR based on size of PBR in Zhou et al. (2014). Number of PBRs required to process 1.15×10^8 L/day = $1.15 \times 10^8 \text{ L/day} / 40,000 \text{ L/PBR} = 2,875$ PBRs

Calculations:

$$0.5\text{HP} = 0.37285 \text{ kJ/s} \cdot 3600 \text{ s} = 1,342.26 \text{ kJ} = 1.3 \text{ MJ per pump per hour}$$

Table B.1: List of pumps required – based on diagram in Figure 3.4

Stage #	Number of pumps	Process Flow Diagram Equipment Number	Description
1	n/a	SST01 to PBR01 (2,875)	Wastewater gravity fed
1	2,875	PBR01 (2,875) to FLC01	Several PBRs supplying water to FLC01
1	1	TNK05 through 03 to PBR01	Carbon dioxide to PBR from biogas
1	1	TNK02 to PBR01	Flue from Co-gen
1	1	AND01 to PBR01	Supernatant to PBR from AD

2	1	FLC01 to CEN01	Floc to centrifuge
2	1	CEN01 to DRY01	Centrifuge to dryer
2	1	DRY01 to PUV01	Dryer to pulverizer
2	1	TNK08 to MXT03	Sulfuric acid to neutralizing tank for floc water
2	1	MXT03 to SST01	Returning harvesting water to secondary clarifier
2	1	FLC01 to MXT03	Floc water to neutralization tank
2	1	CEN01 to MXT03	Centrifuge water to neutralization tank
2	1	DRY01 to MXT03	Dryer water to neutralization tank
2	1	TNK06 to FLC01	Supplying sodium hydroxide
3	1	PUV01 to CSTR01	Pulverizer to hexane mixing tank
3	1	CSTR01 to CEN02	Hexane mixing tank to centrifuge for separation
3	n/a	EVP01 to CND01	Evaporation of hexane to condenser for recirculation
3	1	CND01 to TNK07	Cooling hexane and recirculation
3	1	TNK07 to CSTR01	Redistribution of hexane
3	1	EVP01 to EVP07	Evaporation of ethanol solvent
3	n/a	EVP07 to CND07	Condensing ethanol solvent
3	1	CND07 to TNK16	Ethanol solvent circulation to tank
3	1	TNK16 to CSTR01	Ethanol solvent supplying CSTR with solvent for separation
4	n/a	CEN02 to EVP01	Oil movement from centrifuge to evaporator
4	1	EVP01 to CMP01 (+1 way vv)	Oil movement from hexane evaporator to compressor
4	n/a	CMP01 to CSTR02	Compressor to transesterification reactor
4	1	CSTR02 to CLR01	Cooling products of transesterification reaction
4	1	CLR01 to EVP02	Evaporating excess methanol
4	1	EVP02 to CND03	Methanol cooled
4	1	CND03 to TNK09	Storage tank for methanol
4	1	TNK09 to CMP01	Recirculation of methanol
4	1	EVP02 to CEN03	FAME + oil + glycerol to centrifuge for separation
4	n/a	CEN03 to EVP03	Glycerol moving to evaporator to remove rest of methanol
4	n/a	EVP03 to CND03	Condensing methanol
4	1	EVP03 to TNK15	Glycerol intermediate tank
4	1	TNK15 to PBR01	Glycerol circulated to PBR
4	n/a	CEN03 to EVP04	FAME + oil to evaporate FAME

4	1	EVP04 to TNK14	Residual oil to intermediate tank
4	n/a	EVP04 to CND03	Cooling of evaporated FAME
4	1	CND03 to TNK13	FAME to storage tank
4	1	TNK14 to AND01	Residual oil to AD
5	n/a	CEN02 to MXT01	Lignocellulosic biomass to pre-treatment tank
5	1	MXT01 to STX01	Lignocellulosic biomass to steam treat
5	1	STX01 to CLR02	Cooling after steam treat
5	1	CLR02 to MXT02	Neutralization after pre treatment
5	1	MXT02 to FRM01	Pre-treated lignocellulosic biomass to fermenter
5	1	FRM01 to EVP05	ABE products to 1 st stage evaporator
5	1	EVP05 to EVP06	BC to 2 nd stage evaporator
5	1	EVP06 to TNK12	Bio-butanol to storage tank
5	n/a	EVP05 to CND04	Cooling bio-acetone
5	1	CND04 to TNK10	Bio-acetone to storage
5	n/a	EVP06 to CND05	Cooling bio-ethanol
5	1	CND05 to TNK11	Bio-ethanol to storage
5	1	FRM01 to AND01	Residual lignocellulosic biomass + protein to AD
5	1	FRM01 to TNK05	Gas purge to stripping system
5	1	TNK08 to MXT01	Sulfuric acid to pre-treatment tank
5	1	TNK06 to MXT02	Supplying sodium hydroxide

PBR

2,875 PBR with 1 pump each · 1.3 MJ/pump·hr + 3 pumps· 1.3 MJ/pump·hr = 3,741.5 MJ/hr

Harvesting

9 pumps· 1.3 MJ/pump·hr = 11.7 MJ/hr

Separation

7 pumps· 1.3 MJ/pump·hr = 9.1 MJ/hr

BD production

12 pumps · 1.3 MJ/pump · hr = 15.6 MJ/hr

ABE production

13 pumps · 1.3 MJ/pump · hr = 16.9 MJ/hr

Total power requirement:

3,741.5 MJ/hr + 10.4 MJ/hr + 5.2 MJ/hr + 15.6 MJ/hr + 15.6 MJ/hr = 3,788.3 MJ/hr

Table B.2: Allocation of pump energy requirements by process stage (based on an hourly rating)

Stage #	Stage	Total electrical energy required (MJ)
1	PBR	3,741.5 MJ
2	Harvesting	11.7 MJ
3	Separation	5.2 MJ
4	BD production	15.6 MJ
5	ABE production	16.9 MJ

B.2 Refrigeration energy calculations

Details/Assumptions:

- Heat capacity of water = 4.184 kJ/kg · K (Green & Perry, 2007)
- Density of water = 1,000 kg/m³

- Dowtherm A heat capacity ranges from 1.5 kJ/kg·K at 12°C to 2.8 kJ/kg·K at 425°C – average heat capacity is 2.15 kg/kg·K (The DOW Chemical Company, 1997)
- Density of Dowtherm A at 2.15 kg/kg·K (approximately 225°C): 833.5 kg/m³ (The DOW Chemical Company, 1997)

B.2.1 Water refrigerant

Heating and cooling done with water

*Assume heating is all that is required as all water pumped through system will cool and can be used for cooling purposes thereafter.

Table B.3: Heat energy and temperature delta required for calculating heating and cooling water capacity and power circulation requirements

Stage	Heat energy	Temperature delta	Stage	Cooling energy
EVP01	(2,949 MJ/hr) 819 kWh	25°C – 68°C (43)	CND01	(2,949 MJ/hr) 819 kWh
EVP07	(818 MJ/hr) 227 kWh	68°C – 79°C (11)	CND07	(818 MJ/hr) 227 kWh
EVP03	(22 MJ/hr) 6 kWh	25°C – 65°C (40)	CND02	30.8 kWh
EVP05 and EVP06	14 kWh	45°C – 79°C (34)	CND04 and CND05	11kWh
Total	1,066 kWh	128		1,088 kWh

Amount of water required to heat to heat capacity:

$$Q = mC_p\Delta T$$

$$1,066 \text{ kJ/s} = m(4.184 \text{ kJ/kg} \cdot \text{K})(128\text{K})$$

$$\text{Mass of water (m)} = 1.99 \text{ kg/s} = 7,166 \text{ kg/hr}$$

$$7,166 \text{ kg water/hr} / 1000 \text{ kg/m}^3 = 7.166 \text{ m}^3/\text{hr}$$

Power required to move this amount of water:

$$P_{\text{elect}} \text{ (kW)} = Q \text{ (m}^3/\text{hr)} \cdot \Delta P \text{ (bar)} / 36 \cdot E \text{ (\%/100)}$$

$$P_{\text{elect}} \text{ (kW)} = 7.166 \text{ m}^3/\text{hr} \cdot 1 \text{ bar} / 36 \cdot 0.75$$

$$P_{\text{elect}} = 0.265 \text{ kWh (1 MJ/hr)}$$

B.2.2 Dowthern A refrigerant

Heating and Cooling that require by Dowthern:

*Assume heating is all that is required, as all Dowthern A is heated, losing heat while heating and then used to cool thereafter.

Table B.4: Heating energy and temperature delta to calculate corresponding amount of Dowthern A and associated circulation power

Stage	Heat energy	Temperature delta	Stage	Cooling energy
CSTR02	42.3 kWh	25°C – 400°C (375)	CLR01	50 kWh
EVP04	73 kWh	50°C – 218°C (168)	CND03	35 kWh

STX01	(4,176 MJ/hr) 1160 kWh	25°C – 121°C (96)	CLR02	(3,306 MJ/hr) 918 kWh
Total	1,275 kWh	639		1,003 kWh

Amount of Dowtherm A required to heat to heat capacity:

$$Q = mC_p\Delta T$$

$$1,275 \text{ kJ/s} = m(2.15 \text{ kJ/kg}\cdot\text{K})(639\text{K})$$

$$\text{Mass of Dowtherm A (m)} = 0.919 \text{ kg/s} = 3,311 \text{ kg/hr}$$

$$3,311 \text{ kg Dowtherm A/hr} / 833.5 \text{ kg/m}^3 = 3.972 \text{ m}^3 / \text{hr}$$

Power required to move this amount of water:

$$P_{\text{elect}} \text{ (kW)} = Q \text{ (m}^3/\text{hr)} \cdot \Delta P \text{ (bar)} / 36 \cdot E \text{ (\%/100)}$$

$$P_{\text{elect}} \text{ (kW)} = 3.972 \text{ m}^3 / \text{hr} \cdot 1 \text{ bar} / 36 \cdot 0.75$$

$$P_{\text{elect}} = 0.147 \text{ kWh (0.5 MJ/hr)}$$

Dowtherm A will be continually recycled therefore assuming 5% loss, only 5% is needed on a regular basis:

$$3,311 \text{ kg Dowtherm A} \cdot 0.05 = 165.6 \text{ kg}$$

Appendix C – Sourcing materials (distance calculations and assumptions)

Details/Assumptions:

- Toronto’s land area is 641 km² with distances spanning 43 km east-west and 21 km north-south (City of Toronto, 2017)
- Given a circular radius of 14.3km ($\pi r^2 = 641 \text{ km}^2$), there will likely be a factory to source materials within double this distance ~ 28.6 km (27 km)
- Used a Euro truck 5, 34-40 t gross weight with a 27 t payload in GaBi for transport for all transport materials

Table C.1: Transport methods for process materials

Materials	Transport methods	Reference for Transport method
Sodium Hydroxide	Truck in solid form – although recommended in liquid form	(CargoHandbook, 2014)
Sulfuric Acid	Truck in liquid form	(CargoHandbook, 2014)
Methanol (NG in GaBi)	Truck in liquid form	
Hexane	Truck in liquid form	(CargoHandbook, 2014)
Nitrogen gas	Truck in liquid form	(CargoHandbook, 2014)
Natural gas (NG)	Normally distributed by existing pipeline – no transport included	
Carbon dioxide	Pipeline required – energy for transport included only	
Wastewater	Co-located with biofuel production facility – pumping wastewater to PBR and for further process included	
Surface water	From existing surface or ground water source – pumping energy included	
Dowtherm A (ethylene glycol in GaBi)	Truck in liquid form	(CargoHandbook, 2014)

C.1 Sodium hydroxide

Details/Assumptions

- NaOH also called lye
- Stored or transported in pellets or in a 50% or 70% saturated solution (called caustic soda liquor) (CargoHandbook, 2014)

- In GaBi chose 100% caustic soda instead of liquor as would have had to change water requirements in proceeding processes

C.2 Sulfuric acid

Details/Assumptions:

- Transported in several concentrations – most common is 93.2% but 78% is also popular (CargoHandbook, 2014)
- Acids of 77% concentration and above do not react with dry mild steel or stainless steel at normal temperatures but dilute acids of less than 77% concentration will corrode most metals
- Choosing to transport at high concentration and dilute further upon arrival at biorefinery and assuming additional water is negligible.
- Truck in liquid form

C.3 Dowthern A

Details/Assumptions:

- Used ethylene glycol instead of Dowthern A in GaBi because Dowthern A was not available to use.
- Truck in liquid form (CargoHandbook, 2014)

C.4 Methanol

Details/Assumptions:

- Truck in liquid form in drums (CargoHandbook, 2014)
- As GaBi database does not include methanol, transport of NG by truck is included to approximate the environmental impact.

C.5 Hexane

Details/Assumptions:

- Normally transported as liquid in drums (CargoHandbook, 2014)

C.6 Nitrogen gas

Details/Assumptions:

- Normally transported as liquid (Air Products and Chemicals Inc., 2015)
- Majority recirculated, top up required /hr

C.7 Carbon dioxide

Details/Assumptions:

- Pipeline with 0 incline chosen in GaBi

Appendix D – Life Cycle Impact Assessment Tables and Assumptions

D.1 PBR Life Cycle Impact Assessment Table, graph and assumptions

Table D.1: GHG impact associated with the PBR (scaling factor of 0.0078 is equivalent to a 100 km drive)

Input /Output	Material / Service	Total value/hr	Value (scaling)	CO₂e contribution
Input	Carbon dioxide transport	1,643 kg	12.8 kg	6.21 kgCO ₂ e
Input	Wastewater	4.79x10 ⁶ L	n/a	No impact
Input	Operational electrical energy	3,875 kWh		
Input	Electrical circulation energy to/from PBR(s)	1,039 kWh		
Output	Electrical energy credit from energy production	-325 kWh		
	Total electrical energy	4,589 kWh	35.8 kWh	21.96 kgCO ₂ e
Output	Microalgae	1.27x10 ³ kg	9.91 kg	No impact
Output	Fertilizer credit (ammonia and di-ammonium phosphate)	2.27 kg		-0.05 kgCO ₂ e
Total impact				28.12 kgCO₂e

Details/Assumptions:

- No additional fertilizer is required as all N and P are recirculated to the point where there will be additional fertilizer credits (just over 48 hours operation)
- DAP (di-ammonium phosphate), the world's most widely used phosphorus fertilizer as well as ammonia to supplement nitrogen requirements (Williams & Laurens, 2010)

- This study did not include the burning of biofuel CO_{2e} impact, as this same amount of carbon was sequestered in order to create the microalgae. Both values would simply cancel out.
- Not able to change aggregated impact values from DE (Denmark) data to US data as the US values are not available to select.
- This study used the LCIA tab in GaBi database for climate change impact values. These values are the same values found in other tabs (ReCiPe model) that use the GWP 100 model.

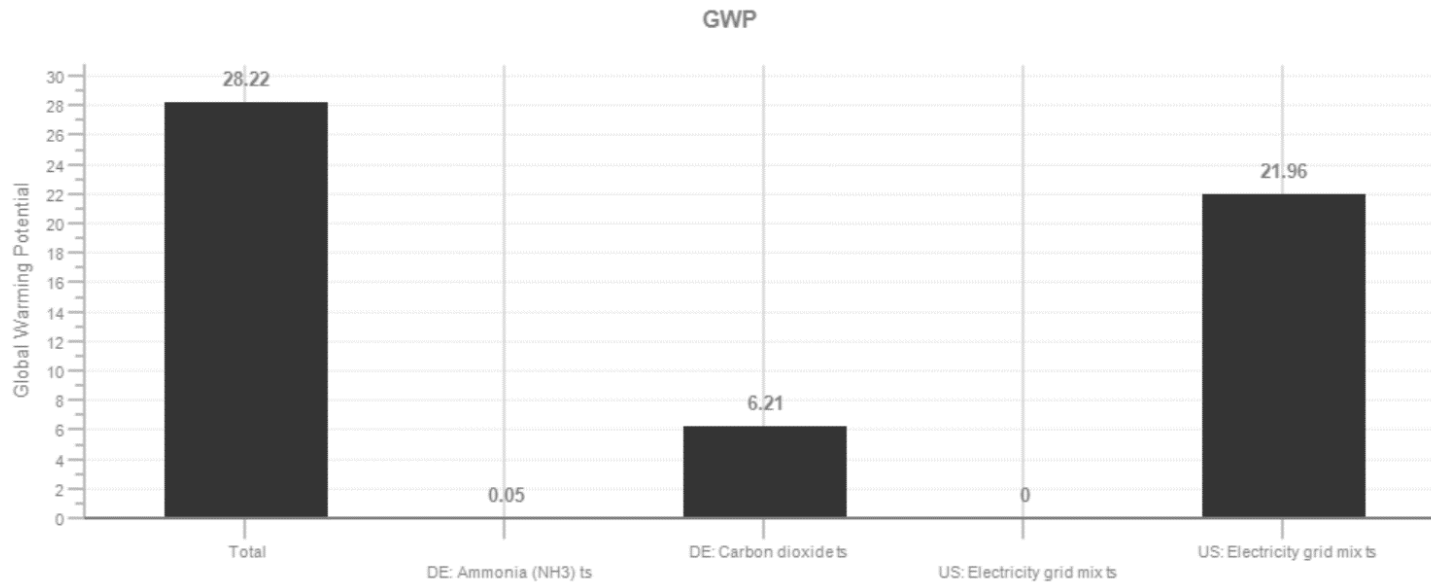


Figure D.1: Global Warming Potential of PBR process requirements (GaBi Thinkstep - PE International, 2017)

D.2 Harvest Life Cycle Impact Assessment Table, graph and assumptions

Table D.2: GHG impact associated with the Harvest process: flocculation to dryer (scaling factor of 0.0078 equivalent to 100 km drive)

Input /Output	Material / Service	Total value/hr	Value (scaling)	CO₂e contribution
Input	Sodium hydroxide	958 kg	7.47 kg	9.53 kgCO ₂ e
	Transportation of sodium hydroxide	27 km		inconsequential
Input	Sulfuric acid	1,177 kg	9.18 kg	2.55 kgCO ₂ e
	Transportation of sulfuric acid	27 km		inconsequential
Input	Floatation and flocculation electrical energy	72 kWh		
Input	Centrifuge electrical energy	5,450 kWh		
Input	Electrical energy for material circulation	3.3 kWh		
Output	Electrical energy credit from energy production	-369 kWh		
	Total electrical energy	5,156 kWh	40.3 kWh	24.68 kgCO ₂ e
Input	Dryer energy (NG)	4,053 kWh (310 kg)	2.42 kg	1.11 kgCO ₂ e
Input	Additional wastewater created	432 kg	3.37 kg	0.08
Total Impact				37.95 kgCO₂e

Details/Assumptions:

- This study assumed 100% microalgae recovery
- This study assumes that NG arrives at plant via existing underground pipeline
- See Appendix C for transport calculations and references
- Amount of NG required is calculated using LHV of methane (47 MJ/kg)

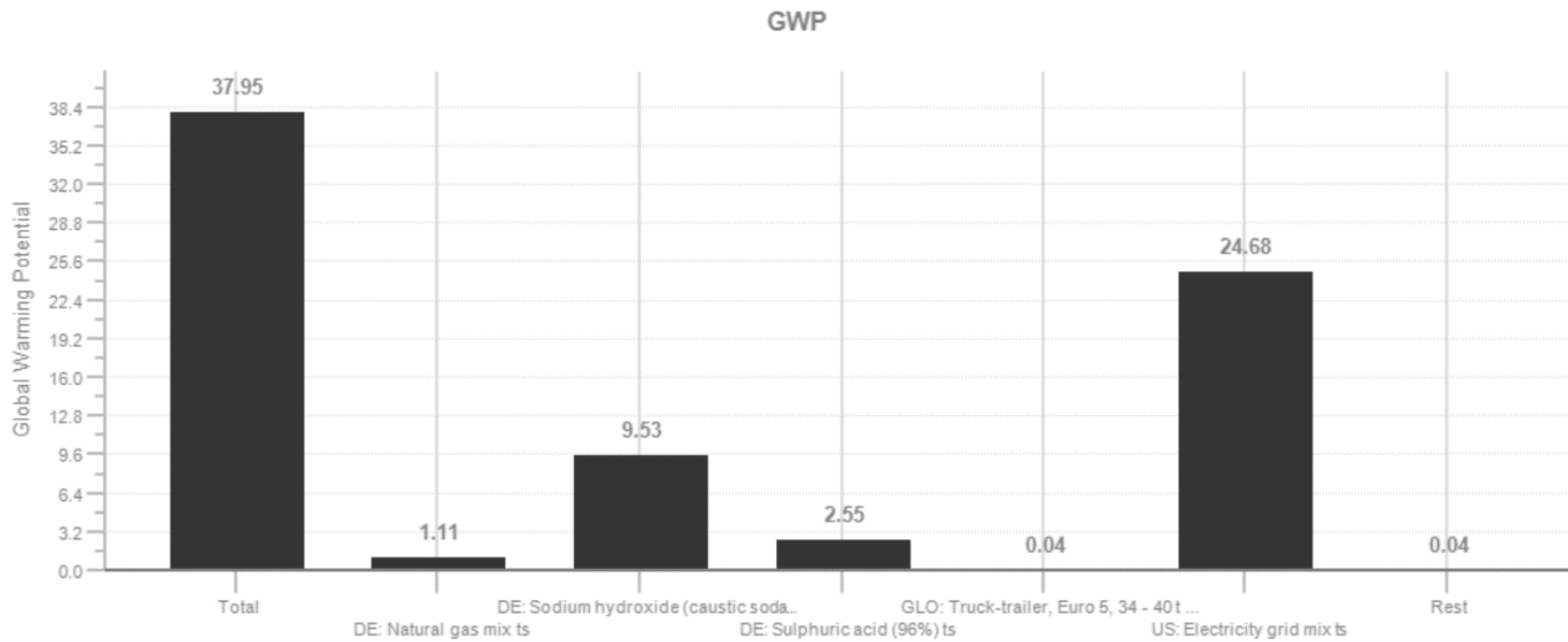


Figure D.2: Global Warming Potential of Harvesting process requirements (GaBi Thinkstep - PE International, 2017)

D.3 Separation Life Cycle Impact Assessment Table, graph and assumptions

Table D.3: GHG impact associated with the Separation process: pulverizing to condensing of solvents for recovery (scaling factor is 0.0078 equivalent to 100 km driven)

Input /Output	Material / Service	Total value/hr	Value (scaling)	CO₂e contribution
Input	Pulverizing electrical energy	67 kWh		
Input	CSTR electrical energy	184.84 kWh		
Input	Centrifuge electrical energy	20 kWh		
Input	Electrical energy for material circulation	2.5 kWh		
Output	Electrical energy credit from energy production	-22 kWh		
	Electrical requirement	252 kWh	1.97 kWh	1.21 kgCO ₂ e
Input	Hexane	5.99 kg	0.047 kg	0.1 kgCO ₂ e
	Transportation of hexane	27 km		inconsequential
Input	Ethanol	0.65 kg		none
	Transportation of ethanol	Use ethanol on site		
Input	CSTR heat (NG)	874 kWh		
Input	Evaporation energy (NG)	1,046 kWh		
Input	Energy for heating /cooling fluid circulation (NG)	0.256 kWh		
	Total NG requirement	1,920 kWh (145.7 kg)	1.14 kg	0.71 kgCO ₂ e
Total Impacts				2.02 kgCO₂e

Details/Assumptions:

- This study assumes that NG arrives at plant via existing underground pipeline
- See Appendix C for transport calculations and references

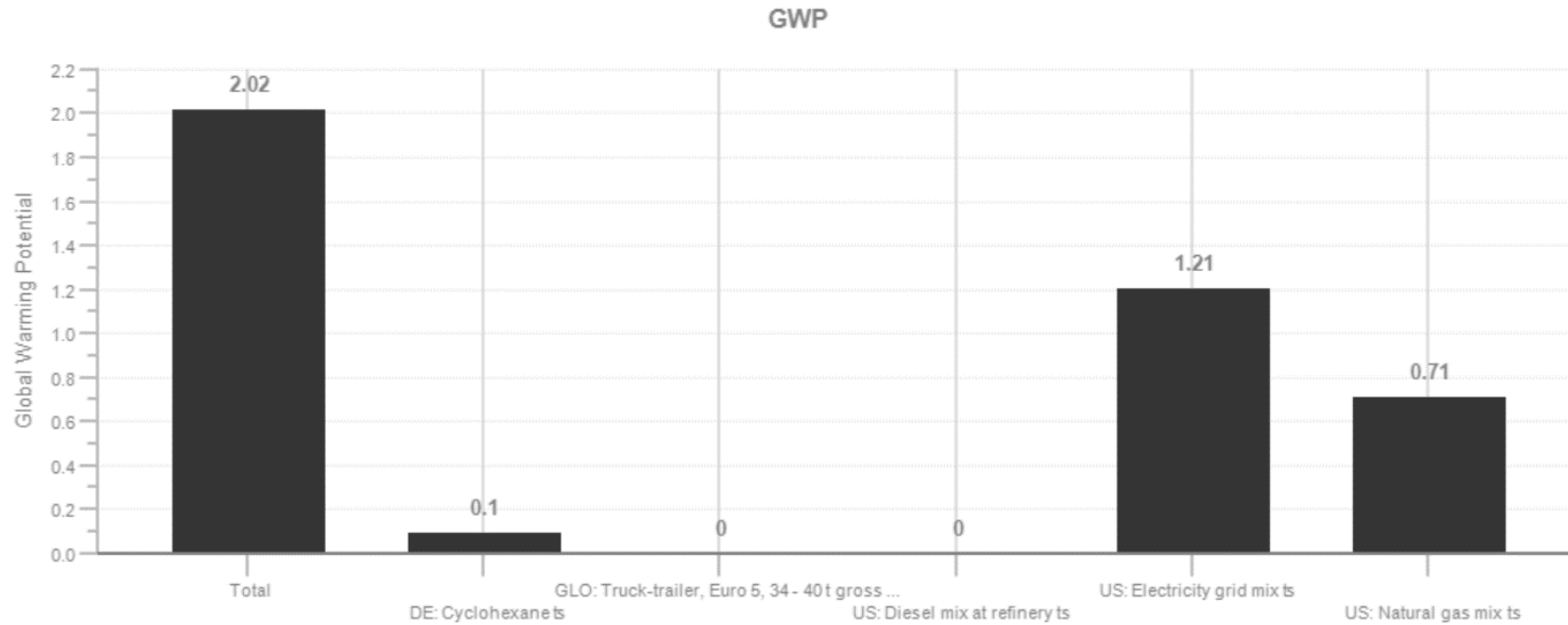


Figure D.3: Global Warming Potential of Separation process requirements (GaBi Thinkstep - PE International, 2017)

D.4 BD Life Cycle Impact Assessment Table, graph and assumptions

Table D.4: GHG impact associated with the Biodiesel production process (scaling factor is 0.0078 equivalent to 100 km driven)

Input /Output	Material / Service	Total value/hr	Value (scaling)	CO₂e contribution
Input	Compressor electrical energy	3.6 kWh		
Input	Electrical energy for material circulation	4.3 kWh		
Input	Centrifuge electrical energy	0.746 kWh		
	Total electrical energy	8.6 kWh	0.067 kWh	0.04 kgCO ₂ e
Input	Dowtherm A	165.6 kg	1.29 kg	1.43 kgCO ₂ e
	Transport of materials	27 km		0.01 kgCO ₂ e
Input	Methanol	49.86 kg	0.23 kg	0.14 kgCO ₂ e
Input	CSTR heat energy (NG)	42.3 kWh		
Input	Evaporation energy (NG)	73 kWh		
Input	Energy for heating/cooling fluid circulation (NG)	0.147 kWh		
	Total NG requirements	115.4 kWh	0.9 kWh	0.23 kgCO ₂ e
Input	Transport of BD to refinery or service stations	27 km		Included in Table 3.2
Total Impact				1.86 kgCO₂e

Details/Assumptions:

- Product output values are found in Appendix A

- This study assumes that NG arrives at plant via existing underground pipeline
- See Appendix C for transport calculations and references
- Methanol is substituted for NG in GaBi. Methanol:NG ratio is 1.7:1 (with density of NG at 25°C and 1 atm at 0.66 g/L) (Aasberg-Petersen et al., 2009)

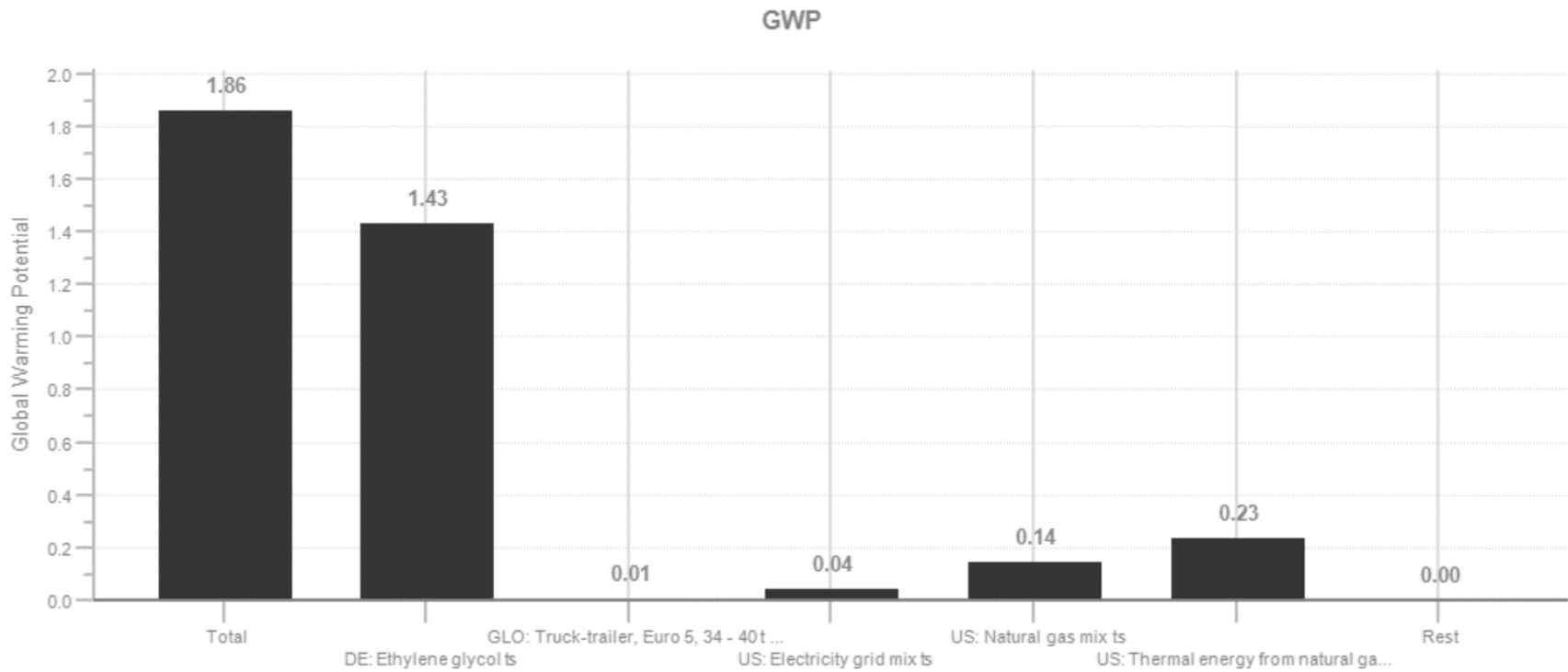


Figure D.4: Global Warming Potential of BD process requirements (GaBi Thinkstep - PE International, 2017)

D.5 ABE Life Cycle Impact Assessment Table, graph and assumptions

Table D.5: GHG impact for ABE production process (scaling factor is 0.0078 equivalent to 100 km drive)

Input /Output	Material / Service	Total value/hr	Value (scaling)	CO₂e contribution
Input	Sulfuric acid	175 kg	1.37 kg	0.38 kgCO ₂ e
Input	Water	9,574 kg	76 kg	0.31 kgCO ₂ e
Input	Heating (NG)	1,160 kWh		
Input	Evaporator heat (NG)	14 kWh		
Input	Energy for heating/cooling fluid circulation (NG)	In BD section (minimal)		
	Total power from NG	1,174 kWh	9.16 kWh	2.39 kgCO ₂ e
Input	Sodium hydroxide	165 kg	1.29 kWh	1.64 kgCO ₂ e
Input	Nitrogen	142 kg	1.11 kg	0.25 kgCO ₂ e
Input	Electrical energy to circulate nitrogen gas	0.024 kWh		
Input	Electrical energy to strip nitrogen gas from SSF exhaust	0.34 kWh		
Input	Electrical energy to circulate evaporator water	6.4x10 ⁻³ kWh		
Input	Electrical energy for material circulation	4.7 kWh		
	Total electrical power	5 kWh	0.039 kWh	0.1 kgCO ₂ e
Input	Transport of products (ABE) to refinery or service station	27 km		Included in Table 3.2
Input	Evaporator water	174 kg	Included in water above	

Output	Wastewater	9,822 kg	76 kg	0.03 kgCO ₂ e
Total Impact				5.1 kgCO₂e

Details/Assumptions:

- Product output values are found in Appendix A
- This study assumes that NG arrives at plant via existing underground pipeline
- See Appendix C for transport calculations and references

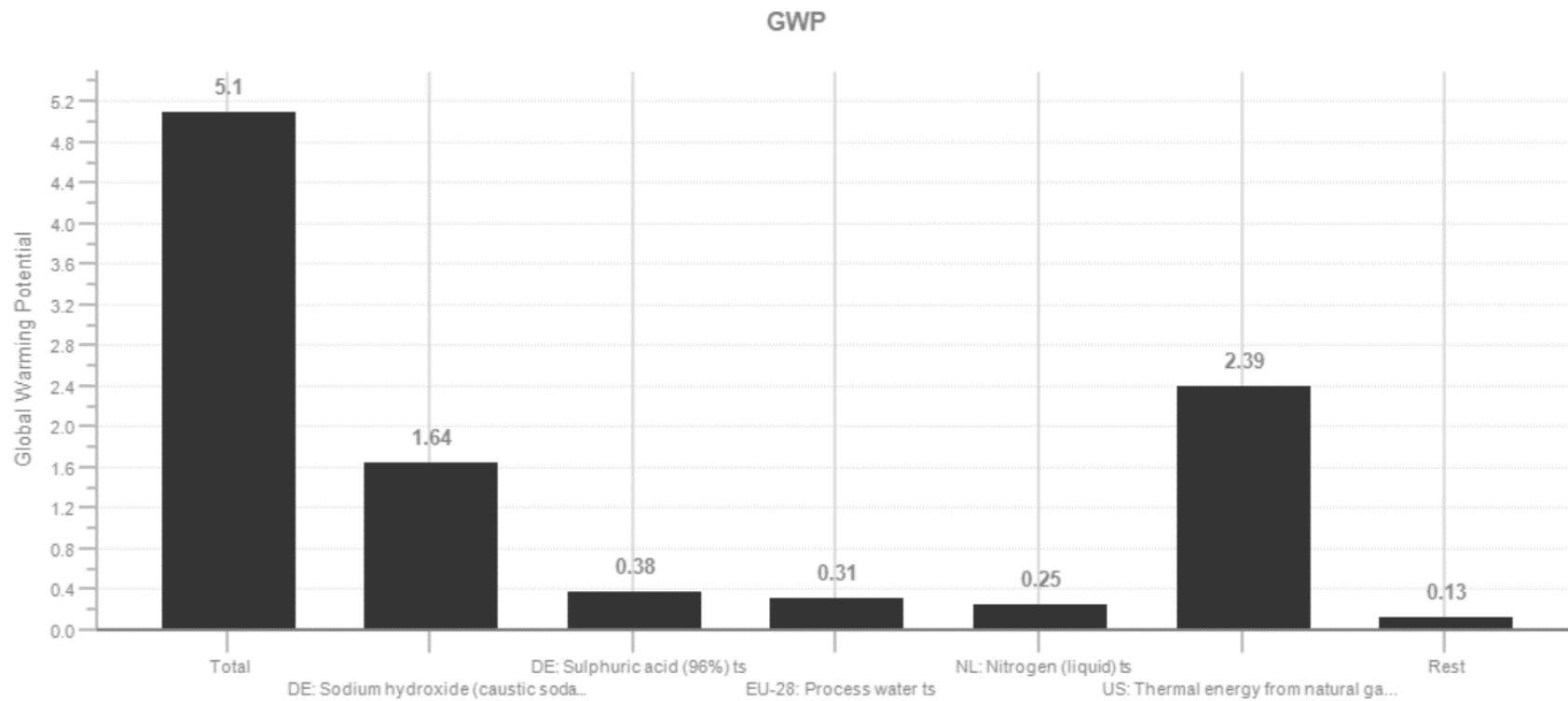


Figure D.5: Global Warming Potential of ABE process requirements (GaBi Thinkstep - PE International, 2017)

D.6 AD and Power Life Cycle Impact Assessment Table, graph and assumptions

Table D.6: GHG impact of AD and power production process (scaling factor is 0.0078 equivalent to a 100 km drive)

Input /Output	Material / Service	Total value/hr	Value (scaling)	CO₂e contribution
Input	Electrical energy for AD operation	53 kWh		
Input	Electrical energy for biogas stripping	80 kWh		
Output	Electrical energy credit from energy production	- 7 kWh		
	Total electrical energy	126 kWh	1 kWh	0.6 kgCO ₂ e

D.7 Scaling Factor Calculations

Bio-butanol energy produced: $33.1 \text{ MJ/kg} \cdot 101 \text{ kg/hr} = 3,343.1 \text{ MJ/hr}$

Bio-ethanol energy: $26.8 \text{ MJ/kg} \cdot (16.9 - 0.65 \text{ kg})/\text{hr} = 435.5 \text{ MJ/hr}$

Bio-acetone energy: $29.6 \text{ MJ/kg} \cdot 50.8 \text{ kg/hr} = 1,503.12 \text{ MJ/hr}$

FAME energy: $41 \text{ MJ/kg} \cdot 430 \text{ kg/hr} = 18,163 \text{ MJ/hr}$

Total Energy produced: **23,445 MJ/hr (6,513 kWh)**

Scaling factor for GaBi: $183 \text{ MJ} / 23,445 \text{ MJ/hr} = 0.0078$

Appendix E – Comparison with other studies (calculations)

E.1 Comparison with Frank et al. (2011) study calculations

E.1.1 Difference between power requirements associated with cultivation + flocculation

7,375 Btu/kg-lipid (assuming lipid = oil) for cultivation and first dewatering (flocculation) (Frank et al. 2011)

This study 4,986 kWh PBR + 72 kWh (floc) = 4,986 kWh/443 kg-oil = 11.26 kWh/kg-oil

11.26 kWh/kg-oil = 38,101 Btu/kg-oil

38,101 Btu/kg-oil / 7,375 Btu/kg-oil = 5

∴ 5x more power required for the cultivation and flocculation stages for this study than Frank et al. (2011)

E.1.2 Difference in flocculation energy

This study used 0.015 kWh/m³ of water

Frank et al. (2011) used 1.33x10⁻⁴ kWh/dry-g microalgae

Total flocculation energy required for this study = **72 kWh**

Using 1.33x10⁻⁴ kWh/dry-g for this study:

1.33x10⁻⁴ kWh/dry-g · 1,266,000 dry-g/hr = **168.4 kWh**

∴ more flocculation energy required for Frank et al. (2011) study

E.1.3 Difference in centrifuge energy calculations

Difference in centrifuge power requirements:

*the term oil = lipid in this section

1.2 kWh/m³ for this study produced 5,450 kWh power requirement for 443 kg-oil (over the course of an hour)

Using Frank et al. (2011)'s centrifuge power requirements and this study's production of microalgae, this study would require slightly less power: 3.3×10^{-3} kWh/g-algae (Frank et al. 2011 pg 22) = 3.3kWh/kg algae · 1,266 kg algae/hr (this study's production rate) = 4,178 kWh

$4,178 \text{ kWh} / 5,450 \text{ kWh} \cdot 100 = 76\%$

∴ Using Frank et al. (2011) centrifuge power requirements, this study would have reduced its power requirement here by 23%

E.1.4 Incongruence between Frank et al. (2011)'s centrifuge energy requirements and gross energy use for remaining dewatering

This study

5,450 kWh/443 kg-lipid = 12.3 kWh /kg-lipid (this value is lower than ** because of this study's higher percent lipid in microalgae at 35%)

12.3 kWh/kg-lipid · 3,412.14 Btu/kWh = 41,978 Btu/kg-lipid

∴ 41,978 Btu/kg-lipid is the power required to dewater this study's microalgae per kg-lipid

Frank et al. (2011) power requirements for remaining dewatering (which is the centrifuge operation) pg 42 is 3,036 Btu/kg-lipid

Considering Frank et al. (2011)'s percent lipid amount in microalgal biomass of 25%, and considering the power requirement for the centrifuge provided in Frank et al. (2011) of 3.3 kWh/kg-algae, the power required for remaining dewatering per kg lipid:

$3.3 \text{ kWh/kg-algae} \cdot 1 \text{ kg-algae}/0.25 \text{ kg-lipid} = 13.2 \text{ kWh/kg-lipid}^{**}$

$13.2 \text{ kWh/kg-lipid} \cdot 3,412.14 \text{ Btu/kWh} = 45,040 \text{ Btu/kg-lipid}$

$45,040 \text{ Btu/kg-lipid} \neq 3,036 \text{ Btu/kg-oil}$

E.1.5 Drying vs. wet processing (Homogenization)

$30.4 \text{ tonnes/day} = 1.267 \text{ tonnes/hr} \cdot 1 \text{ ton}/0.907 \text{ tonne} = 1.396 \text{ ton/hr}$

$365 \text{ kWh/dry ton for homogenation} \cdot 1.396 \text{ ton/hr} = 509.7 \text{ kWh}$

This study's process uses 4,053 kWh to dry the same amount of microalgae

\therefore Would save 3,543.3 kWh by using homogenization instead of a dryer

E.1.6 Power produced vs. power required

Difference between power produced by co-gen and power required for the process:

14,620 Btu/kg-oil generated by combined heat and power (CHP) in Frank et al. (2011)

19,450 Btu/kg-oil required by total process (Frank et al. 2011)

$14,620 \text{ Btu/kg-oil} / 19,450 \text{ Btu/kg-oil} = 75\%$ of the power required by Frank et al. (2011) system is generated internally by CHP

723 kWh co-gen facility produces/10,859 kWh required by the process = 7% of the power required by this study's process is generated by this system's co-generation process

E.1.7 Different in total power requirements

This study: 443 kg microalgal oil/hr requires a total on-site electricity requirement of 10,859 kWh

$10,859 \text{ kWh}/443 \text{ kg-oil} = 24 \text{ kWh/kg-oil}$

$24 \text{ kWh/kg-oil} * 1\text{MJ}/0.28 \text{ kWh} = 87.5 \text{ MJ} * 1,000,000 \text{ Btu}/1055 \text{ MJ} = 82,980 \text{ Btu/ kg-oil}$

$82,980 \text{ Btu/ kg-oil (this study)} / 19,450 \text{ Btu/kg-oil (Frank et al., 2011)} = 4$

∴ 4x more power required for this study per kg-oil produced

E.1.8 Lignocellulosic power generation through digester instead of ABE

$443.26 \text{ kg lignocellulosic biomass /hr} \cdot 0.37 \text{ m}^3 \text{ methane/kg lignocellulosic biomass} = 164 \text{ m}^3/\text{hr}$

$164 \text{ m}^3 \text{ methane/hr} \cdot 0.777 \text{ kg methane/m}^3 = 127.4 \text{ kg methane/hr}$

$127.4 \text{ kg methane/hr} \cdot 47.14 \text{ MJ/kg methane} = 6,007.2 \text{ MJ/hr}$

$6007.2 \text{ MJ/hr} / 443 \text{ kg-oil} = 13.5 \text{ MJ/hr} \cdot \text{kg-oil}$

$13.5 \text{ MJ/hr} \cdot \text{kg-oil} = 12,852.4 \text{ Btu/hr} \cdot \text{kg-oil}$

Power production (30%)

$12,852.4 \text{ Btu/hr} \cdot \text{kg-oil} \cdot (0.3) = 3,858 \text{ Btu/hr} \cdot \text{kg-oil}$

∴ Additional 3,858 Btu/hr·kg-oil produced in this study by sending lignocellulosic biomass to AD

Comparing energy generated in this study with the output generated in Frank et al. (2011)

$723 \text{ kWh this study's co-gen facility produces} + (3,858 \text{ Btu/hr} \cdot \text{kg-oil produced directing lignocellulosic biomass to AD for this study})$
 $= 723 \text{ kWh} \cdot (3,412 \text{ Btu}/1 \text{ kWh}) \cdot (1/443 \text{ kg-oil}) + (3,858 \text{ Btu/hr} \cdot \text{kg-oil}) = 9,426.6 \text{ Btu/hr} \cdot \text{kg-oil}$

9,426.6 Btu/hr*kg-oil is how much power this study would produce if lignocellulosic biomass was added to the power production process

14,620 Btu/kg-oil generated by combined heat and power (CHP) in Frank et al. (2011)

$9,426.6 \text{ Btu/hr*kg-oil} / 14,620 \text{ Btu/kg-oil} * 100 = 64\%$

∴ this study produced 36% less power from methane than did Frank et al. (2011)

*the hourly unit should not play a role in discrepancy here

E.2 Gallon of gasoline equivalent calculations

Amount of product produced per year in liters:

FAME

$430 \text{ kg/hr} / 0.864 \text{ kg/L} = 498 \text{ L/hr} * 24\text{h/day} * 7 \text{ days/week} * 47 \text{ weeks/year} = 3,929,722 \text{ L/year}$ (in line with approximately 4 million liters stated in section 2.3.4)

Butanol

$101.52 \text{ kg/hr} / 0.81 \text{ kg/L} = 125.3 \text{ L/hr} * 24\text{h/day} * 7 \text{ days/week} * 47 \text{ weeks/year} = 989,632 \text{ L/year}$

Ethanol

$16.92 \text{ kg/hr} / 0.79 \text{ kg/L} = 21.42 \text{ L/hr} * 24\text{h/day} * 7 \text{ days/week} * 47 \text{ weeks/year} = 169,114 \text{ L/year}$

Acetone

$50.76 \text{ kg/hr} / 0.784 \text{ kg/L} = 64.7 \text{ L/hr} * 24\text{h/day} * 7 \text{ days/week} * 47 \text{ weeks/year} = 511,226 \text{ L/year}$

Equivalent MJ produced per year (using densities above and LHV of each):

FAME: 1.39×10^8 MJ/year (using 10k tonnes of microalgal biomass)

Butanol: 2.65×10^7 MJ/year (using 10k tonnes of microalgal biomass)

Ethanol: 3.58×10^6 MJ/year (using 10k tonnes of microalgal biomass)

Acetone: 1.19×10^7 MJ/year (using 10k tonnes of microalgal biomass)

\therefore Total energy produced /year (using 10k tonnes of microalgal biomass) = 1.809×10^8 MJ

Verification check using MJ produced per hour from D.7.

$23,445 \text{ MJ/hr} * 24\text{h/day} * 7 \text{ days/week} * 47 \text{ weeks/year} = 1.85 \times 10^8 \text{ MJ}$

$1.85 \times 10^8 \text{ MJ} \sim 1.809 \times 10^8 \text{ MJ}$

Given:

- Gasoline LHV = 31.5 MJ/kg
- Density of gasoline = 0.74 kg/L
- 1 gallon = 3.785 liters

$31.5 \text{ MJ/kg gas} * 0.74 \text{ kg gas/L} = 23.31 \text{ MJ/L}$

$23.31 \text{ MJ/L} * 3.785 \text{ L/gallon} = 88.23 \text{ MJ/gallon gas}$

$1.809 \times 10^8 \text{ MJ total produced (using 10k tonnes of microalgal biomass)} / 10,000 \text{ tonnes of microalgal biomass} = 18,090 \text{ MJ produced per tonne of microalgal biomass}$

$18,090 \text{ MJ / tonne of microalgal biomass} * 0.907 \text{ tonne/ton} = 16,408 \text{ MJ/ton}$

$16,408 \text{ MJ/ton} / 88.23 \text{ MJ/gallon gas} = 186 \text{ gallons/ton}$

\therefore This study produced 186 gallons of gasoline equivalent /ton of microalgal biomass

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